

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

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**EXECUTIVE DIRECTOR**  
Rupert T. Borgsmiller

**BOARD MEMBERS**  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

**AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING  
Wednesday, February 20, 2013  
10:30 a.m.**

James R. Thompson Center – Room 9-040  
Chicago, Illinois  
and via videoconference  
2329 S. MacArthur Blvd.  
Springfield, Illinois

**Roll Call.**

Recess the State Board of Elections and reconvene as the State Officers Electoral Board to approve the minutes from the January 22 and 30 meetings. (pgs.1-3)

Reconvene the as the State Board of Elections.

1. Approval of the minutes from the January 22 and 30 meetings. (pgs.4-10)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Motion for reconsideration
    - 1) *SBE v. Friends of Derrick Smith*, 22275, 12AM026; (pgs.11-15)  
Request for settlement offer
    - 2) *SBS v. Friends of Michael Smith*, 23064, 11MA076; (pgs.16-18)  
Appeal of campaign disclosure fines – carryover from January – hearing officer recommendation appeal be denied
    - 3) *SBE v. Project M PAC*, 23716, 12JQ121; (pgs.19-22)  
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
    - 4) *SBE v. Richland County Democratic Central Committee*, 94, 12SQ003; (pgs.23-25)
    - 5) *SBE v. Macoupin County Republican Central Committee*, 1207, 12AS006; (pgs.26-33)
    - 6) *SBE v. Committee to Re-Elect Patrick J. Herrmann Bureau County State's Attorney*, 15146, 11MQ290; (pgs.34-39)
    - 7) *SBE v. Friends of Tony Mayville*, 17602, 12SQ061; (pgs.40-43)
    - 8) *SBE v. Vernon Township Republican Central Committee*, 19724, 12SQ079; (pgs.44-47)
    - 9) *SBE v. Cook County Republican Party*, 20282, 12AS025; (pgs.48-51)
    - 10) *SBE v. Friends of John Thodos*, 21549, 12SQ105; (pgs.52-54)
    - 11) *SBE v. Citizens for Carole Cheney*, 22683, 12MA089; (pgs.55-85)
    - 12) *SBE v. Friends of Greg Mitchell*, 22816, 12SQ131; (pgs.86-89)

- 13) *SBE v. Citizens for Lori S. Yokoyama*, 23123, 12JQ188; (pgs.90-95)
- 14) *SBE v. Friends of Sandy Sauget*, 23964, 13AD008; (pgs.96-100)
- Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
- 15) *SBE v. Rock Island County Democratic Central Committee*, 343, 12MA097; (pgs.101-104)
- 16) *SBE v. Wheatland Township Republican Org.*, 704, 12AS004; (pgs.105-108)
- 17) *SBE v. Henderson County Democratic Central Committee*, 1214, 12AS007; (pgs.109-114)
- 18) *SBE v. Family Taxpayers Network*, 4942, 12SQ021; (pgs.115-118)
- 19) *SBE v. Citizens for Joe Moore*, 6380, 12AM092; (pgs.119-121)
- 20) *SBE v. Committee to Elect Daniel P. Conness*, 9153, 12SQ033; (pgs.122-125)
- 21) *SBE v. Citizens to Elect Jon Stewart*, 12572, 12JQ029; (pgs.126-128)
- 22) *SBE v. Citizens for Sweeney*, 20608, 12SQ094; (pgs.129-131)
- 23) *SBE v. Citizens to Elect James C. Schlader*, 20680, 12SQ095; (pgs.132-134)
- 24) *SBE v. Lake County Life PAC*, 22333, 12JQ089; (pgs.135-137)
- 25) *SBE v. Friends of August H. Griffin for Edgar County Clerk*, 22674, 12SQ127; (pgs.138-140)
- 26) *SBE v. Friends of Chad Kalecki*, 22763, 12SQ129; (pgs.141-143)
- 27) *SBE v. Friends of Frank Soto*, 23463, 12JQ115; (pgs.144-146)
- 28) *SBE v. WSTU PAC*, 23609, 12SQ147; (pgs.147-150)
- 29) *SBE v. Committee to Elect Kevin W. Horan*, 23658, 12AM106; (pgs.151-154)
- 30) *SBE v. Citizens for Carl R. Wasco for State Rep.*, 23766, 13AD007; (pgs.155-158)
- 31) *SBE v. Friends for Marty Moylan for State Representative*, 23798, 12AM112; (pgs.159-161)
- 32) *SBE v. Friends for Randy Frese*, 23823, 12AS037; (pgs.162-164)
- 33) *SBE v. Citizens to Elect William S. Boyd*, 23872, 12MQ298; (pgs.165-167)
- 34) *SBE v. Citizens for Charlie Meier*, 24136, 12AS039; (pgs.168-170)
- 35) *SBE v. Citizens for Plank*, 24254, 12AJ056; (pgs.171-174)
- 36) *SBE v. Citizens to Elect Nicole Gooden*, 24322, 12SQ176; (pgs.175-178)
- 37) *SBE v. Citizens to Elect Richard Schmack*, 24452, 12AS050; (pgs.179-181)
- 38) *SBE v. Committee to Elect Cheryl L. Jones*, 24606, 12SQ203; (pgs.182-184)
- Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted and denied
- 39) *SBE v. Grundy County Democratic Central Committee*, 174, 13AD001; (pgs.185-187)
- 40) *SBE v. Citizens for Patrick O'Connor*, 4353, 12AJ017; (pgs.188-194)
- 41) *SBE v. Citizens for Dan Patlak*, 13999, 12AJ023; (pgs.195-197)
- 42) *SBE v. Palatine Township Republican Organization*, 22664, 12MA088; (pgs.198-204)
- 43) *SBE v. Friends of Natalie Manley*, 23984, 12MA114; (pgs.205-220)
- 44) *SBE v. Friends of Farkas*, 24372, 12MA120; (pgs.221-225)
- Complaint following public hearing
- 45) *SBE v. Friends of Brian Sleet*, 12CD089; (pgs.226-229)
- Other campaign disclosure items
- 46) Failure to comply with a Board order – *SBE v. First Ward Organization*, 12CD032; (pg.230)
- 47) Civil Penalty Assessments/Final Orders; (pgs.231-236)
- 48) Payment of civil penalties – informational; (pg.237)
- Complaints following closed preliminary hearing (separate packet)
- 48) *Zurek v. Friends of Barrett F. Pedersen*, 12CD137; (pgs.1-33)
- 49) *Zurek v. Friends of Barrett F. Pedersen*, 13CD001; (pgs.37-50)
- 50) *Griffin v. Citizens for Steve McMichael, et al.*, 13CD002 – complaint withdrawn; (pgs.51-63)
- 51) *Carballido v. January, Jr.*, 13CD004; (pgs.64-67)
- 52) *Eberhardt, et al., v. Zabrocki, Jr., et al.*, 13CD005. (pgs.68-74)

3. Report of the Executive Director
  - a. 2013 Elections update;
    - 1) February 26 election day assignments; (pg.238)
    - 2) 2013 Consent Decree update; (pg.239)
    - 3) Voting system pre-testing; (pg.240)
    - 4) Election judge training schools – informational; (pg.241)
  - b. Legislative update; (oral report)
  - c. Counting of State Employee Retirement System Election ballots – informational; (pg.242)
  - d. Report on Campaign Finance Reform Task Force Meeting; (pg.243)
  - e. IVRS update – informational; (pgs.244-247)
  - f. Census data versus registered voters; (pg.248)
  - g. Fiscal status reports – informational;
    - 1) FY13 – month ending January 31; (pgs.249-256)
    - 2) FY13 – Help Illinois Vote Fund; (pgs.257-262)
  - h. Two year plan of staff activity for the months of February & March – informational. (pgs.263-268)
4. Follow up. (pg.269)
5. Comments from the general public. (pg.269)
6. Next regular Board meeting at 10:30 a.m. on Monday, March 18, 2013 in Springfield or call of the Chairman, whichever occurs first. (pg.269)
7. Executive session. (pgs.270-274)

STATE OFFICERS ELECTORAL BOARD  
Tuesday, January 22, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
James Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

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The special meeting of the State Officers Electoral Board was called to order via videoconference at 10:37 a.m. with seven Members present in Springfield and Member Coffrin presented via teleconference.

The General Counsel presented a motion to dismiss and strike objector's petition filed on behalf of the candidate in the *Holloway & Foster v. Beale*, 13SOEBSP501 and reviewed the matter. He concurred with the hearing officer to deny the motion because it was filed prematurely and proceed with the records exam scheduled for January 23 at 9:00 a.m. If, after review of some of the objections, it has been determined that the objections are not well-taken and that the ratio of sustained objections to overruled objections is such that it suggests that the objection indeed is not well grounded in fact, then the exam could be suspended. James Nally was present on behalf of the candidate and argued that the amount of signatures filed were three times the required minimum amount and the objections are groundless and that a records exam would be a waste of time. Andy Finko was present on behalf of the objectors and concurred with the recommendation to deny the motion and continue with the records exam. Lengthy discussion ensued concerning the basis of the objections. Member Schneider moved to adopt the recommendation of the General Counsel and hearing officer. Member Gowen seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the State Officers Electoral Board, Vice Chairman Smart moved to recess until 3:00 p.m. on January 30, 2013. Member Byers seconded the motion which passed unanimously. The meeting recessed at 11:40 a.m.

Respectfully submitted,

  
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Amy Calvin, Administrative Assistant II

  
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Rupert Borgsmiller, Executive Director



STATE OFFICERS ELECTORAL BOARD  
Wednesday, January 30, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
Jim Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Rebecca Glazier, Assistant to Executive Director

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The meeting convened at 3:04 p.m. via videoconference with Members Gowen, Rice, Schneider and Chairman McGuffage present in Chicago; Members Byers and Vice Chairman Smart present in Springfield; and Members Coffrin and Scholz connected via telephone.

Chairman McGuffage presented the minutes of the January 18 State Officers Electoral Board meeting. Vice Chairman Smart moved to approve the minutes as presented. Member Rice seconded the motion which passed unanimously.

The General Counsel presented for consideration objections to two candidates nominating petitions for the February 26, 2013 Special Primary Election in the 2<sup>nd</sup> Congressional District. Mr. Sandvoss noted that in the matter of *Cochrane & Moore v. Washington*, the objector has withdrawn the objection. He reviewed the hearing officer recommendation and concurred with that ruling. Member Gowen moved to adopt the recommendation of the hearing officer and General Counsel and the matter be dismissed and the candidate be certified to the ballot. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

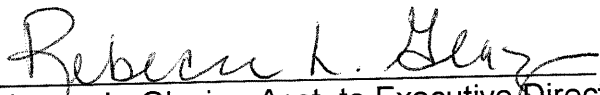
The General Counsel presented *Holloway & Foster v. Beale* and reviewed the hearing officer recommendation. Andrew Finko was present on behalf of the objector. Matt Welch was present on behalf of the candidate. The hearing officer found there were 1,598 presumably valid signatures which is 342 above the minimum necessary. The hearing officer recommended the Rule 9 motion and the objection be overruled and the candidate be certified to the ballot. The General Counsel concurred with the recommendation. Discussion ensued on Mr. Finko's allegation that the petitions represent a pattern of fraud. Member Scholz moved to adopt the recommendation of the hearing officer and General Counsel. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.


Chairman McGuffage presented five objections to candidate nominating petitions for the April 9 Consolidated Election for Regional Office of School Trustees. Jon Zahn was present as the objector. The General Counsel indicated that all five objections and recommendations thereto were the same and suggested taking them as a group. The

General Counsel concurred with the hearing officer's recommendation that the objections be sustained on the grounds that case law provides that the office must be specified on petitions. In this case a full term, 2 year unexpired term or 4 year unexpired term should have been included. Member Schneider moved to adopt the recommendation of the hearing officer and sustain the objection. Member Byers seconded the motion which passed by roll call vote of 8-0.

With there being no further business Member Schneider moved to recess until the call of the Chairman. Member Byers seconded the motion which passed unanimously. The meeting recessed at 3:35 p.m.

Respectfully submitted,

  
Rebecca L. Glazier, Asst. to Executive Director

  
Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS

Regular Meeting

Tuesday, January 22, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
James Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

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The meeting convened at 10:35 a.m. via videoconference with seven Members present in Springfield. Member Coffrin was present via teleconference.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Smart moved to approve the minutes from the December 18 as presented. Member Gowen seconded the motion which passed unanimously.

Member Schneider moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Scholz seconded the motion which passed unanimously. The meeting recessed at 10:37 a.m. and reconvened at 11:40 a.m.

The General Counsel presented a motion for reconsideration for Agenda item 2.a.1, *SBE v. Friends of Derrick Smith*, 22275, 12AM026 and noted that the matter will be placed on the February meeting Agenda.

The General Counsel presented Agenda item 2.a.2, *SBE v. Hanover Township Election Victory Fund*, 24449, 12AJ074 & 12JQ178 and recommended the motion for reconsideration be granted. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Rice seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a settlement offer for Agenda item 2.a.3, *SBE v. Mary Schorr Lake 17*, 23736, 12MQ240 and recommended the settlement offer of 50% (\$462.50) be accepted by the Board. Member Byers moved to accept the recommendation of the General Counsel. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeal for Agenda item 2.a.4, *SBE v. Chicago Police Sergeants Association PAC*, 23385, 12AM104. Vice Chairman moved to accept the recommendation of the General Counsel. Member Rice seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeal for Agenda item 2.a.5, *SBE v. 8<sup>th</sup> Ward Regular Democratic Org.*, 553, 12MA036. No one was present on behalf of the respondent

committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel indicated that Agenda item 2.a.6, *SBE v. Lake County Life PAC*, 22333, 12JQ089 will be placed on the February meeting Agenda. Agenda item 2.a.7, *SBE v. Project M PAC*, 23716, 12JQ121 was presented and, after discussion, the matter will also be placed on the February meeting Agenda.

The General Counsel presented Agenda item 2.a.8, *SBE v. Citizens for Spitz*, 18183, 11MA055 and reviewed the matter. He concurred with the hearing officer recommendation to grant the appeal in part and deny in part. Ken Spitz was present on behalf of the respondent committee and noted that he is a candidate on the ballot for the upcoming 2013 elections and dissolving the committee would not be an option at this time. He then offered a settlement amount of 50% and indicated he would pay it before the date of certification of the ballot. Vice Chairman Smart moved to accept the recommendation of the General Counsel and the 50% settlement offered by the committee to be paid by January 31, 2013. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.9, *SBE v. Friends of Pamela Leeming*, 22436, 12MA049 and reviewed the matter. He concurred with the hearing officer recommendation to grant the appeal in part and deny in part. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a complaint following public hearing for Agenda item 2.a.10, *SBE v. First Ward Organization*, 12CD032 and summarized the matter. He concurred with the hearing officer recommendation to order the filing of the reports and that failure to do so would subject the committee to a civil penalty not to exceed \$5,000 and referral to the Attorney General's office if necessary. No one was present on behalf of the respondent committee. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following complaints following public hearing for Agenda items 2.a.11-27 & 29-31:

- 11) *SBE v. Pulaski County Republican Boosters Club*, 12CD022;
- 12) *SBE v. 1<sup>st</sup> Ward Young Democrats*, 12CD034;
- 13) *SBE v. votedebby.com*, 12CD036;
- 14) *SBE v. Friends for Michelle Chavez*, 12CD037;
- 15) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 12CD041;
- 16) *SBE v. Citizens United for Change in the 20<sup>th</sup> Ward*, 12CD047;
- 17) *SBE v. Taxpayers United for Fairness*, 12CD049;
- 18) *SBE v. Coalition for Property Rights*, 12CD054;
- 19) *SBE v. Committee to Elect Gary Nowak*, 12CD056;
- 20) *SBE v. Friends of Frank Calabrese*, 12CD059;
- 21) *SBE v. Citizens for Marijan*, 12CD061;
- 22) *SBE v. Working People's Campaign Committee for Elections of Honest Governors*, 12CD068;
- 23) *SBE v. The Committee to Elect Tracy McLeMore*, 12CD069;
- 24) *SBE v. Citizens for Cecil Todd Emery for Sheriff*, 12CD072;
- 25) *SBE v. Vargas for 43*, 12CD084;
- 26) *SBE v. St. Clair County Constitution Party*, 12CD085;
- 28) *SBE v. Friends of Brian Sleet*, 12CD089;

- 29) *SBE v. Butler for Alderman 29<sup>th</sup> Ward*, 12CD099;
- 30) *SBE v. People's Choice PAC*, 12CD100;
- 31) *SBE v. Education First Party*, 12CD108;
- 32) *SBE v. Friends of Loree Washington*, 12CD112.

He concurred with the hearing officer recommendation to uphold the complaints and issue a Board order requiring the delinquent reports be filed within 30 days of the order or face a fine up to \$5,000. No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel in the above noted matters. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.28, *SBE v. Friends of Brian Sleet*, 12CD089 and Brian Sleet was present on behalf of the respondent committee. He asked for clarification as to what reports needed to be filed and indicated that this was the first notice he received since filing the final report. Mr. Sleet said he was told that the final report was the only one that needed to be filed. After discussion, it was agreed to place the matter on a future Board meeting Agenda.

The Executive Director discussed the random audits of political committees and noted the procedures for this year's audits were contained in the memo on pages 226-230 of the Board packet. Sharon Steward reviewed the memo and recommended that the percentage of political committees required to conduct random audits be raised from .5% to 1% and the date of selection be changed from no later than the first business day in February to no later than the first business day in May. She noted that that the date change is due to the committees' difficulties in obtaining CPA's due to tax season and the Board has discretion in determining this date. Discussion ensued regarding the selection process of committees and legislative intent of the statute. Member Rice moved to approve the changes to the Rules as recommended by Ms. Steward. Member Scholz seconded the motion which passed by roll call vote of 8-0.

Adjustments to contribution limits and payment of civil penalties were presented for informational purposes.

After a short break, Member Scholz moved to recess to executive session to consider complaints following closed hearing, litigation and personnel matters. Member Schneider seconded the motion which passed unanimously. The meeting recessed at 12:50 p.m. and reconvened at 1:15 p.m.

As to Agenda item 2.a.36, *Chimenti v. Sandra Bury for Mayor of Oak Lawn*, 12CD138, Member Schneider moved to find the complaint was filed on justifiable grounds but no public hearing is necessary because the matters will be addressed through a subsequent filing. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.37, *Hajer-O'Connor v. Committee to Elect Robert Lovero*, 13CD003, Member Schneider moved to find the complaint was filed on justifiable grounds and the matter proceed to a public hearing. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report with an update on the 2013 Elections and said that 17 Democrats and 5 Republicans filed candidate nominating petitions for the Special Election in the 2<sup>nd</sup> Congressional District. Lotteries were conducted for both the 8:00 a.m. simultaneous filers on the first day and for those who filed between 4:00 p.m. and 5:00 p.m. on the last day of filing. He noted that, to staff surprise, only two objections were filed against candidates in that filing period. Furthermore, he said there were a few minor date modifications to the calendar the Board had

previously approved due to the 2013 Consent Decree that was voluntarily entered into with the Department of Justice.

A listing of jurisdictions who will be conducting Consolidated Primary Elections on February 26, 2013 was included in the Board packet and SBE staff will be available to assist as well.

The Executive Director indicated that the date of certification of candidates for Multi-County Regional Trustees and Fox Waterway Agency Chairman and three Directors for the April 9, 2013 Consolidated Election is January 31, 2013. He asked the Board to grant authority to staff to complete and issue the certification. Member Scholz moved to grant authority to staff for certification on January 31, 2013. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The Executive Director said the SBE is no longer operating under the 2010 Consent Decree and commended staff for communicating with the election authorities regarding the need for compliance and noted that the election authorities did a very good job cooperating with submittal of the information for the reports that were required to be filed. The 2013 Consent Decree directs the SBE to seek a legislative remedy to address the transmittal dates for future special elections. The General Counsel indicated that legislation is being prepared to amend Section 25-7, which is the provision dealing with vacancies in the office of congressman. It proposes increasing the date by which the Governor can set a date of a special election from 115 to 180 days to fill the vacancy. This takes into account the two 45-day transmittal periods for the absentee ballots sent to overseas voters and also provide time for circulation of petitions, filing objections and disposing of the objections for both the primary and the general elections. The General Counsel said it was the intent of staff to get the legislation signed into law prior to the next special election if possible.

The Executive Director informed the Board that staff would again tabulate ballots for the election of Annuitant Trustee of the General Assembly Retirement System for the State Employees Retirement System. SBE has provided this service for many years in the past and SERS is very appreciative of the assistance.

Next was a report on the Advisory Committee meeting and the Executive Director noted that items discussed included the electronic canvassing project, the special congressional election, legislation, MOVE and statewide database updates. It was noted that the SBE did not receive a supplemental appropriation and that funding for election judge reimbursement for the General Election would be approximately 66% and that there would not be any funding for the remaining elections scheduled in FY13 at this time. Furthermore, the appropriation received for the county clerk and recorder stipends would only fund \$5,200 per clerk/recorder and that other county officials stipends are funded through the Personal Property Replacement Tax Fund, which is why they are targeted to receive the full stipend of \$6,500.00 in this fiscal year. The next meeting is scheduled for May 20, 2013.

The Executive Director gave a brief legislative update. The proposed bills have been submitted for drafting and the legislative package is basically the same as last year, except for the new piece of legislation that the General Counsel previously discussed.

The Campaign Finance Reform Task Force conducted two public hearings on independent expenditures and a report will be filed by the end of the month. The next meeting is scheduled for January 28, 2013 at 3:00 p.m. in Springfield and Chicago via videoconference.

Kyle Thomas gave an IVRS update and reported that activity is minimal at this time and that the state's voter history is completely entered from the General Election. He noted that information

will be sent to the Interstate Match System and the number of states now involved in the program has increased to twenty-one.

Mr. Thomas said that Pulaski County is now below 100% for the census voters versus registered voters due to clean up of the system after the election. East St. Louis and Clark County have risen above 100% and staff will assist those jurisdictions throughout the purge process.

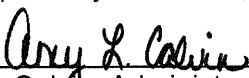
The Executive Director presented the fiscal status reports and noted that the FY12 report was the final one for the year and the Agency expended approximately 87% of the funds. The costs associated with the relocation of the Springfield office were lower than projected and personnel services were also lower than expected. As far as FY13, expenditures are being monitored and are expected to remain below the total appropriated figures.

The two year plan of staff activity was presented for informational purposes.

Next on the Agenda was a follow up item concerning a response to a letter received from Sharon Meroni, Director of Defend the Vote organization. The Executive Director noted that Jim Tenuto and Ken Menzel met with Cook County and the Chicago Board of Election Commissioners (BEC) to review the concerns outlined in Ms. Meroni's letter on page 290 of the Board packet. Mr. Menzel summarized those concerns and said eight were directed at Cook County and three applied to the Chicago BEC. He reviewed the letter that was sent by Ms. Meroni and noted that staff did not find support for the broad allegations that the jurisdictions were engaged in illegal conduct or deviations from the Election Code. Some minor procedural points regarding mail in absentee ballot processing in the Chicago BEC and wording in the Cook County Election Judges Manual had been corrected. The other allegations dealing with processing of absentee ballots prior to election day and election judge staffing in nursing homes in Cook County were discussed. Mr. Menzel said that the majority of the election authorities received approval from their respective state's attorneys on absentee ballot procedures. Going forward, Mr. Menzel recommended staff remain in contact with the two jurisdictions as to the relations between the Cook County Republican Party and the election judge assignments in nursing homes and indicated that no Board action is required on these matters at this time. Ms. Meroni was offered an opportunity to respond and she provided her rebuttal response to Mr. Menzel's findings.

With there being no further business before the Board, Member Byers moved to adjourn until 10:30 a.m. on Wednesday, February 20, 2013 in Chicago. Member Scholz seconded the motion which passed unanimously. The meeting adjourned at 2:30 p.m.

Respectfully submitted,

  
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Amy Calvin, Administrative Assistant II

  
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Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS  
Special Board Meeting  
Wednesday, January 30, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
Jim Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Rebecca Glazier, Asst. to Executive Director

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The meeting convened at 3:00 p.m. via videoconference with Members Gowen, Rice, Schneider and Chairman McGuffage present in Chicago; Members Byers and Vice Chairman Smart present in Springfield; and Members Coffrin and Scholz connected via telephone.

The Chairman opened the meeting by leading everyone in the Pledge of Allegiance to the flag.

Chairman McGuffage presented the minutes of the January 18 special meeting. Vice Chairman Smart moved to approve the minutes as presented. Member Byers seconded the motion which passed unanimously.

Member Byers moved to recess the State Board of Elections and convene as the State Officers Electoral Board. Vice Chairman Smart seconded the motion which passed unanimously.

The meeting recessed at 3:04 p.m. and reconvened at 3:35 p.m.

The General Counsel presented *Hajer-O'Connor v. Committee to Elect Robert Lovero*, a complaint following a public hearing noting that the matter has been continued to March 13 for additional proceedings so no action is necessary today.

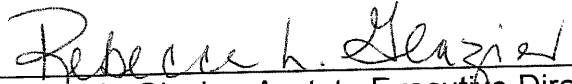
The General Counsel presented two withdrawals for candidates running in the Special Primary Election in the 2<sup>nd</sup> Congressional District. Mr. Sandvoss discussed the two withdrawals and recommended the Board amend the certification as it pertains to the Democratic ballot, remove candidate Napoleon Harris from the certification based on his withdrawal, remove objection pending from candidates Joyce Washington and Anthony Beale, and inform the jurisdictions of the withdrawal of Republican Candidate James Taylor. Mr. Sandvoss noted that Mr. Taylor has asked to withdraw his request for withdrawal from the ballot but indicated there is no provision in the Election Code for



revoking a request to withdraw. Member Schneider then moved to amend the certification to remove Napoleon Harris from the Democratic ballot, remove objection pending by the names of candidates Joyce Washington and Anthony Beale, and accept Republican candidate James Taylor's withdrawal but leave his name on the certification. Chairman McGuffage seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the Board, Member Byers moved to adjourn until February 20, 2012 or call of the Chairman, whichever occurs first. Member Schneider seconded the motion which passed unanimously. The meeting adjourned at 3:45 p.m.

Respectfully submitted,

  
Rebecca L. Glazier, Asst. to Executive Director

  
Rupert T. Borgsmiller, Executive Director

12/24/2012 2:04 PM

STATE BOARD OF ELECTIONS

12 DEC 26 AM 11:18

STATE OF ILLINOIS

COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

**Vs.**

Friends of Derrick Smith (ID 22275)

**Respondent**

**The Friends of Derrick Smith submit this Motion to Reconsider the ruling of a civil penalty assessment of 15,304.00, which was issued on December 18, 2012**

1. The issue under consideration is the failure by the Friends of Derrick Smith to timely submit campaign reports.
2. Specifically, the A1 filings were due on 03/12/2012. They were late by one day.
3. The campaign treasurer who was responsible for submitting that information is Diamond Moreno.
4. Diamond Moreno discontinued her association with the Friends of Derrick Smith on or around March of 2012.
5. Upon information and belief, Diamond Moreno is employed by the 27<sup>th</sup> Ward Alderman of Chicago as his Chief of Staff. In addition, the Alderman is a protégé of a prominent Democrat who holds an elected state-wide office.

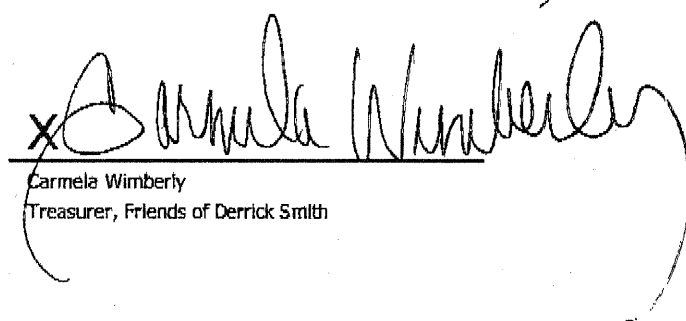
6. Both the Alderman and the prominent state-wide Democrat publicly opposed Derrick Smith, and publicly endorsed his opponent, attorney Lance Tyson, in the general election, which took place on November 6, 2012.
7. Derrick Smith handily won the election, defeating Lance Tyson.
8. Derrick Smith is due to be sworn in as the Representative for the people in the 10<sup>th</sup> District on Wednesday, January 9, 2013.
9. The Friends of Derrick Smith have, on multiple occasions, reached out to Diamond Moreno to ask her to appear before this body to explain why the campaign forms were filed one day late.
10. The Friends of Derrick Smith want and need to know whether the late filing was caused through an inadvertent error or whether there is some other explanation for the late filing. That information, in turn, would be provided to this body, which should have a bearing on what decisions are rendered, including whether a fine, if any, is warranted for the late filing. Without that information, the Friends of Derrick Smith are prevented from providing an honest response to this body.
11. Unfortunately, and upon information and belief, Diamond Moreno, who had previously been a kind and considerate campaign worker, refuses to respond to the Friends of Derrick Smith about the late filing, because she is being intimidated and otherwise threatened by powerful Democrats. Understandably, because she needs her job and is afraid of the powerful Democrats who employ her, directly or indirectly, she cannot be forced to appear without a subpoena.
12. In this same regard, the Friends of Derrick Smith cannot obtain due process without getting the chance to give a full and complete explanation about the late filings, which they cannot do without the assistance of Diamond Moreno.
13. In addition, the Friends of Derrick Smith intend to soon file an updated campaign disclosure, which will establish that the campaign committee has zero dollars. Any funds that the campaign did have were used for the most recent election, other expenses and legal fees.

14. If the Friends of Derrick Smith had campaign money, which it does not, it would be in a position to negotiate and accept a small penalty for the late filing.

15. However, because the campaign does not have money, it would be unwise and improper to agree to even pay a modest fine (e.g., \$2,500), when the funds are not available to pay it.

16. Accordingly, it becomes even more important that this body either waive any fine in its entirety because the forms were only filed one day late, or give the Friends of Derrick Smith a reasonable opportunity to take whatever steps are necessary, including but not limited to filing suit in the Circuit Court of Cook County, in order to compel the appearance of Diamond Moreno, such that an full and complete explanation about the late filing can be provided. Without that information, this body cannot render a decision that has adequately afforded all due process rights that should be accorded to the Friends of Derrick Smith.

Wherefore, for the reasons stated above, the Friends of Derrick of Smith respectfully request the State Board of Elections, State of Illinois to reconsider its decision dated December 18, 2012 and for such other and further relief as this body deems just.

A handwritten signature in black ink, appearing to read "Carmela Wimberly", is written over a horizontal line. To the left of the signature, there is a small "X" mark.

Carmela Wimberly  
Treasurer, Friends of Derrick Smith

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
Illinois State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 12 AM 026  
 )  
Friends of Derrick Smith )  
Respondent(s). )

**FINAL ORDER**

TO: Friends of Derrick Smith  
910 W Van Buren, Box 188  
Chicago, IL 60607

ID # 22275

This matter coming to be heard this 18<sup>th</sup> day of December, 2012, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

**THE BOARD FINDS:**

1. In case number 12 AM 026, a \$30,607.84 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during the first quarter of 2012; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$600.00 for the delinquent filing of its D-1 Statement of Organization (09 D1-11), a penalty of \$1350.00 for the delinquent filing of the December 2009 Semi-annual report (10 MA 004), a penalty of \$4500.00 for the delinquent filing of Schedule A-1 reports in conjunction with the February 2010 election (10 MA 004), and a penalty of \$5000.00 for the delinquent filing of the June 2010 Semi-annual report (10 JS 230). These assessment were not appealed, the \$4500.00 Schedule A-1 assessment was reduced to \$450.00 by the Board, and have been paid by the committee, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$15,304.00 (50% of the value of the delinquently reported contributions).

**IT IS ORDERED:**

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$15,304.00 is imposed and is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is December 20, 2012, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 12/20/2012

  
William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Derrick Smith

ID# 22275

Dear Friends of Derrick Smith:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Health Care Council of IL Action Cmte	3/8/2012	\$25,000	3/13/2012	1	\$12,500
SEIU Healthcare IL IN PAC	3/8/2012	\$11,500	3/13/2012	1	\$5750
Democratic Majority	3/8/2012	\$4215.69	3/13/2012	1	\$2107.84
AT&T Government Relations	2/24/2012	\$3000	2/29/2012	1	\$1500
Democratic Majority	2/24/2012	\$17,500	2/29/2012	1	\$8750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$30,607.84 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$15,304, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

Mr. [unclear]

23064

off [unclear]

STATE BOARD OF ELECTIONS

13 JAN 30 AM 10:02

To the honorable State Board of Elections Members,

11MA076

I would first like to say Happy New Year to you. My name is Michael A.

Smith and I thank you for taking time out of your busy schedules to consider

my letter to ask of a settlement of \$775.00 of penalties that my political

committee Friends of Michael A. Smith #23064 has been assessed. I will

make the payment to you before March. I am seeking election in the Village

of Dixmoor for Village Trustee and would like my name to be printed on the

ballot. I once again thank you for taking time out and considering my

request God Bless you and have a great day.

Sincerely,



Michael A. Smith

STATE OF ILLINOIS           )  
  ) SS  
COUNTY OF COOK           )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:           )  
  )  
State Board of Elections    )  
    Complainant(s),        )  
  )  
Vs.                                ) 11 MA 076  
  )  
Friends of Michael A Smith   )  
    Respondent(s).         )

**FINAL ORDER**

TO:    Friends of Michael A Smith                               ID# 23064  
        2247 W 146th St  
        Dixmoor, IL 60426-1074

This matter coming to be heard this 18<sup>th</sup> day of October, 2011 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

**THE BOARD FINDS**

1. In case number 11 MA 076, a \$1050.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2011 Quarterly report; and
2. In case number 11 MA 076, a \$500.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2011 Quarterly report, and
3. An appeal of the civil penalties was not submitted by the committee.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$1550.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is October 19, 2011, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/19/2011

  
William M. McGuffage, Chairman



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
August 30, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Michael A Smith  
Michael A Smith  
2247 W 146th St  
Dixmoor, IL 60426-1074

ID# 23064

Dear Friends of Michael A Smith:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on June 15, 2011, 42 day(s) late. As such, this committee has been assessed a fine of \$1050.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 29, 2011, 102 day(s) late. As such, this committee has been assessed a fine of \$500. The total for all new assessments is \$1550.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 JQ 121

Project M PAC (ID 23716)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 June Quarterly Report

The Report was received on July 18, 2012, two days late, resulting in a \$100 civil penalty. Additionally, this Committee was assessed a \$3,750 civil penalty (appealed, denied, stayed) for delinquently filing the D-1 Statement of Organization and a \$1,575 civil penalty (appealed, denied, stayed) for delinquently filing the 2011 March Quarterly Report. The total assessment is \$5,425.

Reema Ahmad, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on December 11, 2012.

The Respondent failed to appear at the appeal hearing. I attempted to contact Ms. Reema regarding the appeal; however as of this date I have not received a response. I base the following recommendation on the information contained in the Appeal Affidavit.

The Respondent indicated that Committee staff has moved outside of Illinois and no longer operates this Committee. Furthermore, the PAC is inactive and has not had any financial activity over the past year.

The Affidavit states that this Committee is inactive and out of operation. However, on October 14, 2012, the Committee filed a 2012 September Quarterly Report and reported an ending balance of \$124.87. Section 10 ILCS 5/9-5 mandates that inactive Committees must notify the Board of their status by filing a Final Report detailing the final disposition of all funds and assets. This Committee has not indicated that the PAC is no longer operating by way of a Final Report; therefore, I recommend the appeal be denied. If the Board accepts this recommendation, the stay would be lifted on the two previous fines and a \$5,425 civil penalty will be due. (As of 9/30/12, this Committee reported a funds available balance of \$124.87.)



---

Tara Molnar – Hearing Officer  
December 20, 2012

**PROJECT M PAC**  
7674 W. 63<sup>RD</sup> St.  
Summit, IL 60501

State Board of Elections  
2329 MacArthur Blvd.  
Springfield, IL 62704

January 17, 2013

**RE: State Board of Elections Hearing for Committee ID # 23716**

To Whom It May Concern,

On behalf of Project M PAC, committee ID 23716, I would like to appeal the recommendation made by the Hearing Officer regarding the matter of re-instating fines and penalties against Project M PAC. As indicated in a letter from the Hearing Officer on January 14, 2013, Project M PAC has had no financial activity for over a year and has experienced a change in address and contact information that has inhibited the ability of this PAC and it's fiduciary officers to receive timely notifications from this Board.

Since this PAC operates seasonally around local elections in Illinois and does not seek to close operations through the submission of a final report, I ask that the Board accept the civil penalty for the late receipt of the June 2012 Quarterly report in the amount of \$100.00 and maintain the stay on the remaining fines in the amount of \$5,325.00.

I appreciate your consideration.

Sincerely,



Reema Ahmad  
Treasurer  
Project M PAC

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/ 782-4141  
Fax: 217/ 782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312814-6440  
Fax: 312814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Project M Pac  
7674 W 63rd St  
Summit, IL 60501-1812

ID# 23716

7012 1010 0002 5104 1867

Dear Project M Pac:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 18, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
n/a	D-1 Statement of Organization	\$3750
January 1 through March 31 2011	Quarterly	\$1575
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$5425</b>

7/11/12 068

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of : COOK )

CHICAGO

2012 OCT -4 PM 4:30

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. )  
 )  
PROJECT M PAC )  
Respondent(s). )

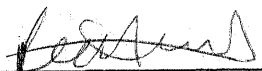
Case No. 12JQ121

APPEAL AFFIDAVIT

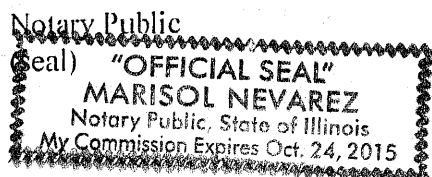
I, REEMA AHMAD, the TREASURER of the  
(Name) (Chairman/Treasurer)  
PROJECT M PAC  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This PAC is dormant and has not had any income  
or expenditures for over a year. Additionally staff previously  
responsible for maintenance of this PAC are no longer on staff or  
in state.

  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Marisol Nevarez  
before me this 4th Day of OCTOBER, 2012



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 003**

Richland County Democratic Central Committee ID# 94  
**Respondent**

**REPORT OF HEARING OFFICER**

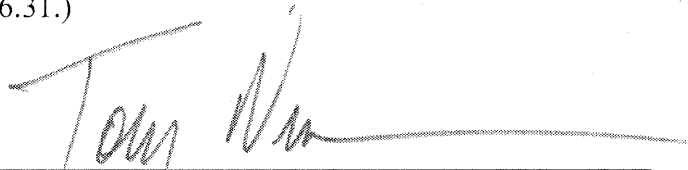
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 17, 2012, 2 days late, resulting in a civil penalty assessment of \$50.

Randy D. Blackford, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Blackford states the Committee Treasurer hand delivered the Report to the post office on Wednesday, October 10, making it a timely filing. He notes that the postmark on the envelope was illegible.

A closer inspection of the envelope reveals that, although blurry, the postmark appears to show a date of Thursday, October 11. This is consistent with Mr. Blackford's assertion, and indicates the Report was sent more than 72 hours before the filing deadline – making it a timely filing. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of \$426.31.)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer  
January 25, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 94

Richland County Democratic Central Comm  
1824 E Main St  
Olney, IL 62450-3325

7012 1010 0002 5104 3922

Dear Richland County Democratic Central Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 17, 2012, 2 days late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of: Richland )

RECEIVED

JAN 17 2013

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. Richland County Democratic )  
Central Committee )  
ID # 94 )  
Respondent(s). )

Case No. 12SQ003

APPEAL AFFIDAVIT

I, Randy D. Blackford, the Chairman of the  
(Name) (Chairman/Treasurer)  
Richland County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

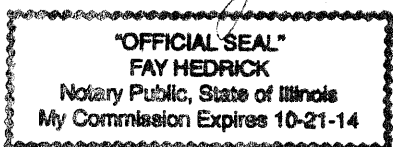
The post mark on our D-2 Quarterly Report form envelope was illegible. Our Treasurer Jane Zwilling hand delivered the envelope to the Dundas Bl. Post Office on Wed. Oct. 10 which puts our committee within compliance. Therefore we should not be assessed a penalty.

Randy Blackford  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Fay Hedrick  
before me this 16<sup>th</sup> Day of Jan, 2013

Notary Public  
(seal)





**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 AS 006**

Macoupin County Republican Central Committee ID# 1207  
**Respondent**

**REPORT OF HEARING OFFICER**

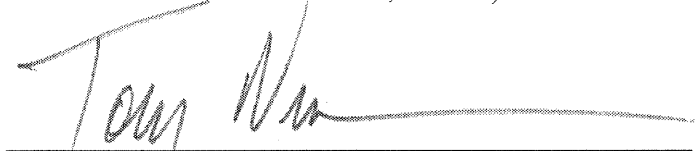
**Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report**

The Committee received a \$1,000 contribution on 8/3/12 and reported it on a Schedule A-1 received by the Board on 8/14/12, 2 days late, resulting in a civil penalty assessment of \$500.

Kevin A. Polo, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Polo states that the contribution in question was taken to the bank for deposit by the Committee Chairman on 8/10/12, and was credited to the Committee's account on 8/13/12. After receiving notice of the deposit, Mr. Polo says he filed the Schedule A-1 to report the contribution on 8/14/12. He says the date listed on the check, 8/3/12, was inadvertently used when reporting the contribution. He encloses a copy of the check and a bank deposit slip as well as a bank statement showing the deposit on 8/13/12.

Based on the evidence provided, I see no reason to doubt Mr. Polo's contention that the contribution was timely reported. The Committee's Quarterly Report has already been amended to reflect the correct date of receipt. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of \$3,511.98.)



Tom Newman – Hearing Officer  
January 25, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 1207

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Macoupin County Republican Central Comm  
207 N Macoupin St  
Gillespie, IL 62033-1470

7012 1010 0002 5104 2963

Dear Macoupin County Republican Central Comm:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Foresight Energy Services	8/3/2012	\$1000	8/14/2012	2	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
 )  
County of: \_\_\_\_\_ )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. ) Case No. 12 AS006  
 )  
MACOUPIN COUNTY REPUBLICAN )  
Respondent(s). )  
CENTRAL COMMITTEE )

APPEAL AFFIDAVIT

I, KEVIN A. POLO, the TREASURER of the  
(Name) (Chairman/Treasurer)  
MACOUPIN COUNTY REPUBLICAN CENTRAL  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(SEE ATTACHED STATEMENT)

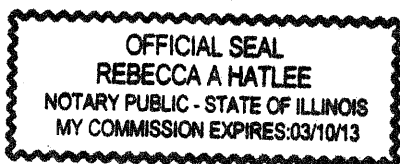
Kevin Polo  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Rebecca A. Hatlee

before me this 21st Day of December, 2012

Notary Public  
(seal)



On information and belief, the \$1,000 received from Foresight Energy Services was actually deposited by our chairman on August 10, 2012 at around 3:30 p.m. as evidenced by the enclosed copy of the deposit slip. The deposit was credited to the account on August 13, 2012, apparently as a result of the August 10, 2012 deposit being made late in the day on a Friday. The August 13, 2012 crediting date is evidenced by the enclosed copy of our bank statement which indicates a \$ 1,375.00 deposit on August 13, 2012 (\$ 1,000.00 of which was the check from Foresight Energy Services). Our chairman made the deposit and emailed a scanned copy of the deposit slip to my office on Tuesday August 14, 2012. My office then immediately filed the A-1 report on the same day that we received notice of the deposit which was eventually credited on August 13, 2012. The check from the company was dated August 3, 2012. The date from the check was erroneously entered on the Quarterly Report. We filed an Amended D-2 form indicating the accurate receipt date (date of credited deposit) of August 13, 2012 on December 20, 2012.

I have also attached a copy of the check from Foresight Energy Services (check #9517).

Regardless of whether the deposit date is considered to be the date the deposit was physically made at the bank or the date that the deposit was credited at the bank, the report was timely filed on August 14, 2012, within five business days of either date.

DATE 8-10-12

DEPOSIT TO  
ACCOUNT NUMBER

030611



BANK & TRUST, N.A.

NAME Michigan County Republican  
Committee

SIGN HERE ONLY IF CASH RECEIVED FROM DEPOSIT

ALL ITEMS ARE ACCEPTED SUBJECT TO THIS BANK'S RULES AND REGULATIONS PERTAINING TO CHECKING ACCOUNTS.  
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL.

### CHECKING DEPOSIT

CASH ▶

DATE

AMOUNT

BY

SUB TOTAL ▶

☐ LESS CASH ▶

NET  
DEPOSIT

1,200.00

350.00

25.00

\$ 1,375.00



000 00001 01

ACCOUNT:

DOCUMENTS:

PAGE: 1

08/17/2012

866-342-2620 • www.cnbil.com 217-287-2265 • www.palmerbank.com

9

12 LOCATIONS TO SERVE YOU - Alton, Brighton, Carlinville, Carrollton, Clayton-MO,  
Hillsboro, Jacksonville, Jerseyville, Oak Forest, Pittsfield, Shipman, Taylorville

Member FDIC and the Community

\*\*\*\*\*SNGLP

38 0.5950 SP 0.450

1 1 38

MAC CO REPUBLICAN CENTRAL COMM

KEVIN A POLO

TERRI J KOYNE

207 N MACOUPIN ST

GILLESPIE IL 62033-1470

*balanced  
8/27/12*

18-0

1

8

=====

WE ARE INVESTED IN YOUR COMMUNITY!

GIVE BACK TO YOUR COMMUNITY BY BANKING AND SHOPPING LOCAL.

=====

NONPROFIT CHECKING ACCOUNT 20611

=====

- - - ITEMIZATION OF OVERDRAFT AND RETURNED ITEM FEES - - -

\*\*\*\*\*

\*\*\*\*\*

**CNB** BANK & TRUST, N.A. CHECKING DEPOSIT

DATE 8-10-12 DEPOSIT TO ACCOUNT NUMBER 020611

NAME MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE

SIGN HERE ONLY IF CASH RECEIVED FROM DEPOSIT

ALL DEPOSITS ARE ACCEPTED SUBJECT TO THIS BANK'S RULES AND REGULATIONS PERTAINING TO CHECKING ACCOUNTS. DEPOSITS MAY NOT BE AVAILABLE FOR BANKING WITHDRAWALS.

11

\$1,375.00 08/13/2012 - DDA Credits

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2196 70-466919

DATE 8/2/12

ORDER OF Magnuson \$ 187.50

FOR mail rental

Carlinoille & Reed Community Bank Since 1888

2196 \$187.50 08/06/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2188 70-466919

DATE 6/18/12

ORDER OF Macoupin County Fair \$ 110.00

FOR 10x10 booth space fee

Carlinoille & Reed Community Bank Since 1888

2188 \$110.00 07/23/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2197 70-466919

DATE 8/3/12

ORDER OF Area Diesel Service \$ 220.00

FOR Aug. 2012 rent/internet

Carlinoille & Reed Community Bank Since 1888

2197 \$220.00 08/06/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2191 70-466919

DATE 7/13/12

ORDER OF Sum McCann for Snake Committee \$ 100.00

FOR outfitting hole sponsor

Carlinoille & Reed Community Bank Since 1888

2191 \$100.00 08/08/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2198 70-466919

DATE 8/10/2012

ORDER OF Rural King \$ 552.08

FOR five hundred fifty two cents

Carlinoille & Reed Community Bank Since 1888

2198 \$552.08 08/14/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2192 70-466919

DATE 7/13/12

ORDER OF friends for Mike McElroy \$ 150.00

FOR outfitting hole sponsorship

Carlinoille & Reed Community Bank Since 1888

2192 \$150.00 07/23/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2193 70-466919

DATE 7/26/12

ORDER OF Jeri Kayne \$ 251.43

FOR ration for candy/water for parades

Carlinoille & Reed Community Bank Since 1888

2193 \$251.43 07/30/2012

MACOUPIN COUNTY REPUBLICAN CENTRAL COMMITTEE 2195 70-466919

DATE 7/31/12

ORDER OF Secretary of State \$ 10.00

FOR Annual Report filing fee

Carlinoille & Reed Community Bank Since 1888

2195 \$10.00 08/03/2012

FORESIGHT ENERGY SERVICES  
211 N. Broadway, Ste 2600  
ST LOUIS, MO, 63102  
US

Huntington  
69-376519/519

00009517  
08/03/2012

PAY:

MACOUPIN CTY REPUBLICAN CC

ONE THOUSAND \*\*\*\*

\$ 1,000.00

USD

MACOUPIN CTY REPUBLICAN CC  
503 N MAIN ST  
BENLD IL 62009

*John S. Mick*

*T-post &*



**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

11 MQ 290

Cmte to Re-Elect Patrick Herrmann Bureau County State's Attny 15146

**Respondent**

**REPORT OF HEARING OFFICER**


Appeal of Civil Penalty Assessment for Delinquently Filing  
The March 2012 Quarterly Report

The Committee filed the March 2012 Quarterly Report on 4/13/12 which would have been filed timely. However, the Committee received more than \$10,000 during the reporting period and they were required to file the report electronically. The State Board of Elections sent the Committee a letter on 4/16/12 (attached) which indicated that the Committee had until 5/16/12 to re-file the report or the paper report would be considered as never having been filed and penalties for late filing would accrue from the date of the filing deadline. The Committee re-filed the report electronically on 5/17/12. Since the report was not filed by 5/16/12, the paper filing is considered as never been filed and the report was filed 23 days late, resulting in a civil penalty assessment of \$1,150. The total assessment is \$1,150 and the penalty is stayed, for it is considered a first violation for assessment purposes.

The hearing was rescheduled at the Respondent's requested and Patrick Herrmann, the candidate, appeared at the December 14, 2012 appeal hearing.

Mr. Herrmann indicated that he did not realize that the committee was required to file electronically. After he received the letter indicating that he was required to file electronically he downloaded the IDIS program before the 30 days had lapsed, and that is all the farther he could get. He indicated that he called the State Board of Elections for help and was informed that someone in the IT department was going to get back to him. Mr. Herrmann supplied the hearing officer with his telephone records which show that he talked to the State Board of Elections on May 16, 17, and 18<sup>th</sup>. Mr. Herrmann indicated that he had improperly installed the wrong IDIS program on his PC and the IT department helped him reinstall the correct version. He also indicated that he underestimated how complex the data entry process was or he would have started working on the report earlier. He requests that the Board grant his appeal or at least only fine him for being 1 or 2 days late for he had technical difficulties with the IDIS program. He electronically filed a blank report 1 day past the 30 day deadline and he subsequently electronically filed an amended report the following day, which would have been 2 days past the 30 day deadline.

Mr. Herrmann had technical difficulties with the IDIS program for he accidentally installed the 64 bit version rather than the 32 bit version of the program. I looked at his service request and it appears that on the last day that the committee had to re-file the report electronically Mr. Herrmann contacted the Board at around 3:30 P.M. I find it rather troubling that the respondent waited until the last day. However to be consistent with previous Board rulings when an electronic filing defense is raised, I recommend the appeal be granted (As of 9/30/12, this Committee reported a funds available balance of \$3,882.36.)

  
Andy Nauman – Hearing Officer  
December 17, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
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Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Cmte to Re-Elect Patrick Herrmann Bureau County State's Attny  
624 N Power St  
Spring Valley, IL 61362-1560

ID# 15146

7011 2970 0003 6439 3038

Dear Cmte to Re-Elect Patrick Herrmann Bureau County State's Attny:

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 2, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on May 17, 2012, 23 day(s) late. As such, this committee has been assessed a fine of \$1150.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely;

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of: Bureau )

STATE BOARD OF ELECTIONS  
12 SEP 17 PM 2:21

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Committee to Re-Elect )  
Patrick J. Herrmann )  
Respondent(s). )

Case No. 12MQ290

APPEAL AFFIDAVIT

I, Patrick J. Herrmann, the Candidate of the  
(Name) (Chairman/Treasurer)  
Comm. Here to Re-Elect Patrick J. Herrmann BC5A  
(Name of the Committee)

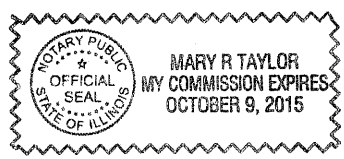
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I filed the 1<sup>st</sup> Quarterly Report of 2012 on April 13, 2012 on Paper.  
I was notified by the Board of Elections that Electronic Filing was  
required because the campaign fund exceeded \$10,000.<sup>00</sup>. That notification  
also provided that the committee had until May 16, 2012 (30  
Days from April 16, 2012 Deadline) to refile electronically. Because of  
difficulty with technology, electronic filing occurred on May 17, 2012.

[Signature]  
Signature of Chairman/Treasurer  
Candidate

Signed and Sworn to by:  
Mary R Taylor  
before me this 11 Day of SEPTEMBER, 2012

Notary Public  
(seal)



This Quarterly Rep  
was filed by myself  
as candidate & therefore  
am only person that  
can attest to these  
facts. Thank you.



NE&TO  
650 Centerton Road  
Moorestown, NJ 08057  
866-947-8572 Tel  
866-947-5587 Fax

**CONFIDENTIAL**

November 5, 2012

**VIA UPS DELIVERY**

PAT J HERRMANN  
624 N POWER ST  
SPRING VALLEY, IL 61362-1560

Re: Subscriber Request  
Comcast File #: [REDACTED]

Dear PAT J HERRMANN:

The Subscriber Request received on 10/31/2012 with respect to the above-referenced matter has been forwarded to the Legal Response Center for a reply. The Subscriber Request asks Comcast to produce certain subscriber account records pertaining to the following between 5/14/2012 and 5/18/2012:

Telephone No. 815-663-1836  
Comcast Account No. [REDACTED]

Based on the information provided pursuant to the Subscriber Request, the subscriber information obtained has been provided below:

Subscriber Name: PAT J HERRMANN  
Service Address: 624 N POWER ST  
SPRING VALLEY, IL 61362-1560  
Telephone Number: 815-663-1836  
Type of Service: Comcast Digital Voice Service  
Account Number: [REDACTED]  
Call Detail Records: See attached

If you need further assistance, or if you have any questions regarding this matter, please feel free to call 866-947-8572.

Very Truly Yours,

Comcast Legal Response Center

Enclosure

Originating  
 Start Date: 14-May-2012  
 End Date: 18-May-2012  
 Telephone Number: 8156631836  
 Call Type: ALL  
 LP#:

CALLDATE	CONNECTTIME	FROMNUMBER	TONUMBER	BILLABLETIME
MAY-14-2012	18:57:21	8156631836	8153031836	0:00:14
MAY-14-2012	19:08:27	8156631836	8153031836	0:00:32
MAY-16-2012	7:36:59	8156631836	8153031836	0:00:00
MAY-16-2012	15:03:42	8156631836	2177824141	0:02:59
MAY-16-2012	15:50:15	8156631836	2177854001	0:01:03
MAY-16-2012	15:55:03	8156631836	2177854001	0:31:23
MAY-16-2012	19:29:20	8156631836	2177854001	0:00:10
MAY-17-2012	8:15:51	8156631836	8158661836	0:00:18
MAY-17-2012	8:26:43	8156631836	2177854141	0:00:00
MAY-17-2012	8:27:01	8156631836	2177824141	0:03:55
MAY-17-2012	8:42:10	8156631836	2177824141	0:12:35
MAY-17-2012	17:04:53	8156631836	8153031836	0:00:29
MAY-18-2012	8:46:32	8156631836	2177824141	0:04:18
MAY-18-2012	9:02:09	8156631836	2177824141	0:04:26
MAY-18-2012	10:57:28	8156631836	8158751456	0:00:31

Terminating  
 Start Date: 14-May-2012  
 End Date: 18-May-2012  
 Telephone Number: 8156631836

Call Type: ALL

LP#: [REDACTED]

CALLDATE	CONNECTTIME	FROMNUMBER	TONUMBER	BILLABLETIME
MAY-14-2012	9:22:42	8156992207	8156631836	0:00:19
MAY-14-2012	12:03:52	8004592110	8156631836	0:00:27
MAY-14-2012	18:56:45	8156644026	8156631836	0:00:18
MAY-14-2012	19:20:12	8153031836	8156631836	0:00:21
MAY-15-2012	11:32:02	8156641536	8156631836	0:00:29
MAY-15-2012	19:34:25	8153031836	8156631836	0:02:35
MAY-15-2012	20:26:34	8153032444	8156631836	0:00:22
MAY-16-2012	13:51:51	8156992207	8156631836	0:00:28
MAY-16-2012	15:41:48	2177854001	8156631836	0:05:44
MAY-17-2012	9:11:25	8158665114	8156631836	0:01:41
MAY-17-2012	16:51:13	8156630146	8156631836	0:00:51
MAY-17-2012	17:32:40	8153031836	8156631836	0:00:26
MAY-17-2012	17:52:02	8153031836	8156631836	0:00:23
MAY-17-2012	17:56:43	8153430404	8156631836	0:01:04
MAY-17-2012	18:13:35	8153031836	8156631836	0:00:19
MAY-17-2012	18:16:24	5034571209	8156631836	0:00:26
MAY-18-2012	10:31:54	4256588918	8156631836	0:00:04
MAY-18-2012	11:20:35	4256588918	8156631836	0:00:32
MAY-18-2012	15:29:25	8156633044	8156631836	0:04:41
MAY-18-2012	15:31:54	4256588918	8156631836	0:00:04

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 061**

Friends of Tony Mayville  
Cmte ID: 17602  
**Respondent**

**REPORT OF HEARING OFFICER**

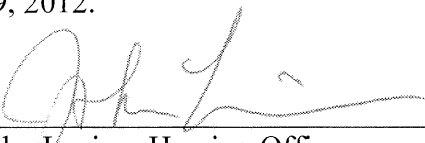
Appeal of Civil Penalty Assessment  
For Delinquently Filing the September 2012 Quarterly Report

The Report was received October 29, 2012, 10 days late, resulting in an assessment of \$500. The Committee was previously fined \$25 (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total fine amount is \$525.

Tony Mayville, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Mayville he moved out of state for professional reasons in May, 2012, and left instructions with his daughter to file a Final Report for the committee. Both he and his daughter mistakenly believed the June 2012 Quarterly Report closed the committee. Since being notified the September 2012 Quarterly Report was delinquent, Mr. Mayville electronically filed both that Report and a Final Report.

The oversight here appears technical in nature. The June Quarterly Report did show the dissolution of all Committee funds, supporting Mr. Mayville's account. There was no reported activity after June, 2012. Accordingly, I recommend the appeal be granted. If the Board accepts the recommendation, the stay on the \$25 assessment for the delinquent June 2011 Quarterly Report would remain in place. The Committee filed a Final Report, including both a balance of \$0.00 and no activity, on October 29, 2012.



---

John Levin – Hearing Officer  
February 4, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 17602

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Tony Mayville  
2009 Hickory Creek Rd  
DuBois, IL 62831-1044

Dear Friends of Tony Mayville:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 29, 2012, 10 day(s) late. As such, this committee has been assessed a fine of \$500.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$25
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$525</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet



State of Illinois )

County of: Washington )

STATE BOARD OF ELECTIONS

13 JAN -7 PM 2:09

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12 SQ 061

Friends of Tony Mayville  
Respondent(s). )

APPEAL AFFIDAVIT

I, Tony Mayville, the Chairman of the  
(Name) (Chairman/Treasurer)

Friends of Tony Mayville

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please read accompanying sheet.

Tony Mayville  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Neda K. Banach

before me this 3 Day of January, 20 13

Notary Public  
(seal)



On May 9, 2012 I, Tony Mayville, accepted a presidential appointment to be Deputy Assistant Secretary of Labor in Arlington, Virginia. I was told by the Ethics Officer that I had to close my political account back in Illinois. I contacted my daughter and discussed getting this done for me, as she was the treasurer of my account and I was in Arlington. I told her what political accounts I wanted her to donate the money from the Friends of Tony Mayville account to and zero out the account and close it after the checks had cleared the bank. She was just learning how to do the Quarterly Reports on line. We thought the second quarter report closed my election fund as she had talked to the State Board of Elections and got advice as to how to do it on line. I quit my job in Arlington for family health reasons and moved home to Illinois in August, 2012. In October I received notice that I was late filing my third quarter report. I wasn't late, I thought the fund had been closed out at the end of the second quarter. No activity happened during the third quarter and the bank account was closed. There was No Malice, this was just a misunderstanding and I will pay a fine if the board feels that I owe one. I just don't feel that my report was late or that I did anything illegal. I feel a \$500 fine is excessive for what happened. Please let me know what your decision is and what I need to do to resolve this matter.

Thank you for your time,  
Tony Mayville

A handwritten signature in cursive script that reads "Tony Mayville". The signature is written in dark ink and is positioned below the typed name.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 SQ 079**

Vernon Township Republican Central Committee ID# 19724

**Respondent**

**REPORT OF HEARING OFFICER**

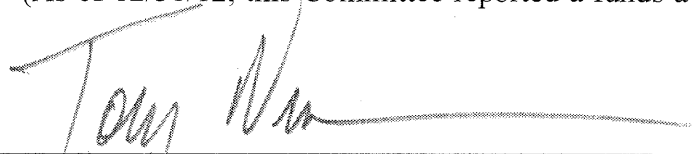
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 26, 2012, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the September 2011 Quarterly Report. The total assessment is \$550.

H. Shaw Clifton, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Clifton states that he had problems getting into the IDIS electronic filing software that prevented him from filing the Report. He says when he was available to work on the problem, the Board's IT staff was not, and vice-versa. As a result, Mr. Clifton says that once he received help and was able to get the program working, the Report was 9 days late.

In order to be consistent with prior Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the prior \$100 civil penalty. (As of 12/31/12, this Committee reported a funds available balance of \$2,542.27.)



---

Tom Newman – Hearing Officer  
January 29, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 19724

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Vernon Twp Republican Central Committee  
207 Annapolis Dr  
Vernon Hills, IL 60061-2049

Dear Vernon Twp Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 26, 2012, 9 day(s) late. As such, this committee has been assessed a fine of \$450.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$100
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$550</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of: LAKE )

STATE BOARD OF ELECTIONS

13 JAN 15 PM 12:27

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. Republican )  
VERNON Township Central Committee )  
Respondent(s). )

Case No. 12SQ079

APPEAL AFFIDAVIT

I, N. Shaw Clifton, the Treasurer of the  
(Name) (Chairman/Treasurer)  
VERNON Township Republican Central Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

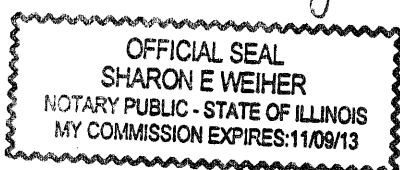
When tried to file, had issues with software program, called a number of times (should to on computer). When I was available your office were not, when final got a knowledgeable person, was referred to IT, who finally returned call + after working with me was finally able to get IDIS working + I filed report 9 days late. (Issue was in program)

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by: Sharon E. Weiher

before me this 11 Day of January, 2013.

Notary Public  
(seal)



Vernon Township Republican Central Committee.

STATE BOARD OF ELECTIONS

13 JAN 18 AM 9:37

**Statement as is shown on attached Appeal Affidavit**

When I tried to file, had issues with your software program, called a number of times reporting that had problems getting into IDIS (should be on your computer), not getting any results.

Also when I was available your offices were not, When I finally got a knowledgeable person, who seem willing to assist, I was referred to your IT, who finally returned my call and after working with me, we were able to get the IDIS working and I was able to file the report 9 days late because the issue was in your program.



H. Shaw Clifton  
Treasurer

1-18-2013

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 AS 025**

Cook County Republican Party      ID# 20282  
**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report**

The Committee received a \$2,000 contribution on 7/7/12, and reported it on a Schedule A-1 received by the Board on 8/8/12, 18 days late, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, paid) for delinquently filing the June 2012 Quarterly Report, a \$50 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 in the third quarter of 2011, a \$550 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$1,000.

Aaron Del Mar, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Del Mar states that the contribution in question was actually received and deposited by the Committee on 8/7/12, but inadvertently entered as 7/7/12 when the Committee Treasurer filed the Committee's Quarterly Report. He encloses a copy of the contribution check and deposit statement from the Committee's bank, both showing dates of 8/7/12.

Based on the evidence provided, it is clear the contribution was received on 8/7/12 and was therefore reported timely. The Committee's Quarterly Report has already been amended to reflect the correct date of receipt. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of \$4,038.51.)



---

Tom Newman – Hearing Officer  
January 25, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 20282

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Cook County Republican Party  
839 N Quentin Rd  
Palatine, IL 60067

7012 1010 0002 5104 3151

Dear Cook County Republican Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Roeser	7/7/2012	\$2000	8/8/2012	18	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.



W

State of Illinois )  
County of: Cook )

**RECEIVED**

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

JAN 17 2013

**State Board of Elections**

IN THE MATTER OF: )  
ILLINOIS STATE BOARD OF ELECTIONS. )  
Complainant )  
Vs. )  
Cook County Republican Party )  
Respondent(s). )

Case No. 12AS025

APPEAL AFFIDAVIT

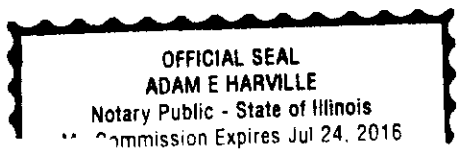
I, Aaron Del Mar, the Chairman of the  
(Name) (Chairman/Treasurer)  
Cook County Republican Party  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This was a stupid but inadvertent in putting error the check  
was written on August 7, 2012, and deposited on the same  
day. Please see the enclosed proof from our bank. Unfortunately  
our Treasurer inadvertently put "7/7/12" in the IDIS system  
instead of the accurate "8/7/12". We apologize for the inconvenience

Aaron Del Mar  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Aaron Del Mar  
before me this 15 Day of JANUARY, 2013  
Notary Public Adam E. Harville  
(seal)



Chase Online

Thursday, December 27, 2012

## Check Details for Check Number 4612

Post Date	Amount	Account number	Routing number
08/07/2012	\$2,000.00	6032929002	07192277

## Check Images (Front and Back)

**JOHN O. ROESER**  
**JEANNINE J. ROESER**  
 522 LAKE SHORE DR. N  
 BARRINGTON, IL 60010

70-2277/719 **4612**

DATE Aug 7, 12

PAY TO THE ORDER OF Cook County Republican Party \$ 2,000.00  
Two thousand & no DOLLARS

Dundee, Illinois 60118

MEMO J O Roeser

JPMorgan Chase Bank, N.A.

**CREDITED TO ACCOUNT OF  
 WITHIN NAMED PAYEE  
 FOR DEPOSIT ONLY. THIS LINE  
 JPMorgan Chase Bank, N.A.**

DEPOSIT HERE

This information is provided for your convenience and does not replace your monthly account statement(s), which are the official records of your accounts and does not replace any other notice we send you.

JPMorgan chase Bank, N.A. Member FDIC

© 2012 JPMorgan Chase & Co.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 105**

Friends of John Thodos      ID# 21549  
**Respondent**

**REPORT OF HEARING OFFICER**

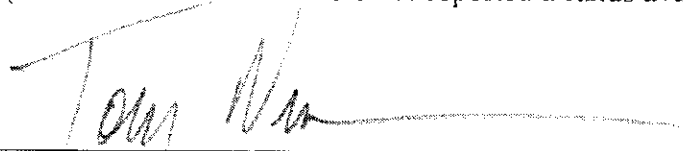
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 16, 2012, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$150.

John S Thodos, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Thodos states that a good faith effort was made to file the Report on time, but computer connectivity issues left the Committee unable to meet the deadline. He says they were able to correct the problem and file the Report the next day.

In order to be consistent with prior Board rulings where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$100 assessment. (As of 12/31/12, this Committee reported a funds available balance of \$654.40.)



---

Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 21549

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of John Thodos  
4087 4th St, A  
East Moline, IL 61244

Dear Friends of John Thodos:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 16, 2012, 1 day late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31 2010	Semi-Annual	\$100
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$150</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
 )  
County of Rock Island )

STATE BOARD OF ELECTIONS  
13 JAN -7 PM 2:09

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. ) Case No. 12 SQ 105  
 )  
Friends of John Thodos )  
Respondent(s). )

APPEAL AFFIDAVIT

I, John S. Thodos, the Chairman of the  
(Name) (Chairman/Treasurer)

Friends of John Thodos

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

A good faith effort was made to timely file the report in question, but due to computer connectivity issues the transmittal of the report was not completed before the deadline. The late filing was not intentional and the committee did not act negligently.

The committee was able to correct the underlying issue and was able to file the return less than 24 hours after the original due date.

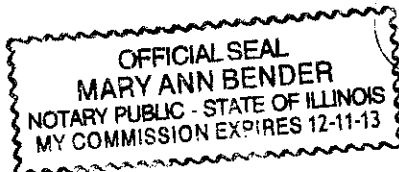
The committee has had a good filing record, aside from a single prior late filing that was a direct result of changes to the filing schedule. The committee has never knowingly or intentionally filed a report late.

Signed and Sworn to by:

John S. Thodos  
before me this 2nd Day of  
January 2013

Mary Ann Bender  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 MA 089

Citizens for Carole Cheney (ID 22683)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessments for the Delinquent Filing of the March 2012 Quarterly Report and the Failure to File a Schedule A-1 Report

The 2012 March Quarterly Report was received on July 12, 2012, 61 days late, resulting in a \$3,050 civil penalty. On February 13, 2012, the Committee reported receiving a \$1,000 contribution from Robert Lefort and failed to report it on a Schedule A-1 Report, resulting in a \$500 civil penalty. As a first Schedule A-1 violation, the fine is reduced to \$50 or 10% of the above-referenced amount. Additionally, this Committee was assessed a \$1,500 civil penalty (appealed, denied, reduced, paid) for delinquently filing three Schedule A-1 Reports during the 1<sup>st</sup> Quarter of 2012. The total assessment is \$3,100.

Carole Cheney, Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on November 1, 2012.

Carole Cheney appeared on behalf of the Respondent and submitted the following defenses:

Ms. Cheney testified that the \$1,000 contribution from Robert Lefort was never received by the Committee. This contribution was reported in error. This contribution was from Paul & Eileen Lefort on 2/13/12 and it was reported on a Schedule A-1 Report filed on 2/15/12. The error was corrected on the Amended Quarterly Report filed on August 26, 2012.

Ms. Cheney stated that she ran for State Representative for the 84<sup>th</sup> district and lost the 2012 General Primary. She testified that during the filing period in April, she was in treatment for three damaged discs and a collapsed bone in her spinal column. Due to her condition, she experienced limited movement, rendering her unable to operate a computer. On the last day to file, she checked the SBE website which indicated that the report had not been filed. Ms. Cheney stated she was informed by her Treasurer, Eric Smith that he was working on the Quarterly Report. From April 17 through April 20, she tried contacting Mr. Smith through telephone, text message and e-mail. Mr. Smith failed to respond to her attempts to reach him. On, April 20, 2012, she received an e-mail from Mr. Smith stating he was out of town, that he had a computer glitch and suggested hiring an outside consultant to file the report. Chris Marchione, the Committee's campaign manager, offered to work on the report and filed it on July 12, 2012. He cited the delay in file was due to electronic filing issues and he created a contact log (Attached) and submitted it to Ms. Cheney. The log details that he spoke with IT Staff indicating compatibility issues attributed with electronic filing software. Upon her review of the report, Ms. Cheney discovered several inconsistencies and omitted information. Ms. Cheney indicated she constantly attempted to communicate to her staff the importance of filing timely. She received unclear information from Mr. Smith and Mr. Marchione regarding status of the filing and at times failed to respond at all. They failed to

timely alert the Committee of problems and provided muddled explanations to why the report was delayed. Ms. Cheney stated that the Committee did not have the funds to hire additional consultants to take over the filing. In August, Ms. Cheney's son amended the report on her behalf to correct the errors made by Mr. Marchione.

This hearing officer submits the following information to clarify the above defense: It appears from the affidavit, exhibits and Ms. Cheney's statements made at the hearing that the Treasurer and Campaign Manager experienced electronic filing problems that prohibited the timely filing of the report. Also, it appears that Committee staff failed to communicate the status of the file to Ms. Cheney and at times, implied that report was filed when it was not. Mr. Marchione's log indicates he spoke with Board IT staff; however the names and terms used are not employed by Board staff. Specifically, the Board does not employ persons named Debra, Jim or Paul in the IT or Campaign Disclosure Divisions. The State Board of Elections does not have a compliance division relating to IT or Campaign Disclosure. It appears to this hearing officer that Mr. Marchione spoke with persons from a third party software company. Furthermore, had Committee staff contacted the Board for assistance for connectivity issues, a service request would have been generated to expedite the call. No service request exists for this Committee on or about the time as indicated in the exhibits.

The Respondent submitted and details her conversations with committee staff in e-mail and text message documents. These exhibits sufficiently support Ms. Cheney's claim that she was aware of the filing deadline, that she was rarely responded to, that both staff members experienced electronic filing issues and that the information she received was convoluted. She stated on August 27, 2012, she had tri-level discectomy surgery and received assistance from friends and family to submit subsequent amended reports and respond to this assessment. She indicated she is seeking legal action to pursue matters mishandled by members of her campaign staff.

The Committee supported her claim that an error was made to the entity of the \$1,000 contribution from Paul and Eileen Lefort and an amended report has been filed. Ms. Cheney was aware of the 2012 March Quarterly filing deadline, was physically incapacitated and had ample staff available to file the report. This is not a case where the Candidate simply attributes the delinquency to negligence of the law or her staff. The Respondent sufficiently provided evidence that she was actively working to the best of her ability to get the report filed. Mr. Smith and Mr. Marchione alleged experiencing electronic filing issues causing a delay in filing; however, it is apparent from the text message log that rectifying these issues and filing the report was not an immediate priority. Ms. Cheney stated she has requested more information from her staff and was not responded to and received no further information to clarify the electronic filing defense. I recommend the appeal be granted. Had Ms. Cheney been able to file the report herself, I believe that it would have been timely filed.



---

Tara Molnar – Hearing Officer  
November 14, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Carole Cheney  
2586 Chasewood Ct  
Aurora, IL 60502-9464

ID# 22683

7011 2970 0003 6439 3120

Dear Citizens for Carole Cheney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 12, 2012, 61 day(s) late. As such, this committee has been assessed a fine of \$3050.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Robert Lefort	2/13/2012	\$1000	*	99	\$500

The committee is fined a **total** of \$500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$3100.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed October 4. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**



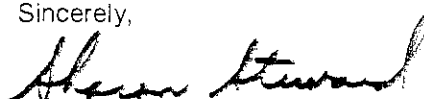
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Schedule A-1	\$150
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3250</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

\* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
County of: Kane )

STATE BOARD OF ELECTIONS

12 OCT -9 PM 4:04

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )  
ILLINOIS STATE BOARD OF ELECTIONS. )  
Complainant )  
vs. )  
Citizens for Carole Cheney )  
Respondent(s). )

Case No. 12 MA089

APPEAL AFFIDAVIT

I, Carole Cheney, the Candidate of the  
(Name) (Chairman/Treasurer)  
Citizens for Carole Cheney  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

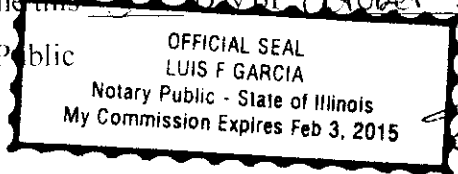
See attached.

Carole Cheney  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Carole A. Cheney

before me this 3rd day of October, 2012

Notary Public  
(seal)



I am the candidate for this Committee and hired people to handle the required disclosures. Treasurer Eric Smith informed me that he had computer problems when attempting to enter information the weekend of April 14-15. I contacted him April 16, the day the quarterly disclosures were due, and was informed that he was "working on reporting." (Ex. 1) I discovered the next day that the report had not been filed and tried to find out what had happened, but received no response. (Ex. 2) On April 20, I received an email from Mr. Smith stating that there was a "glitch with the system when I submitted the report." (Ex. 3) In a later conversation, Mr. Smith stated he had tried unsuccessfully to submit the report at least twice, but that the ISBE computer program would not accept his submission.

At this point, the person I had hired to run the campaign, Chris Marchione, took over the responsibility for filing the ISBE disclosures. Attached is a log prepared by Mr. Marchione detailing his purported attempts to file. (Ex. 4) I repeatedly urged Mr. Marchione to complete the filing. At various points, Mr. Marchione informed me (among other things) that:

- he was having trouble with the ISBE software;
- he was having a friend review the disclosures before entry to make sure they were in proper form before sending;
- the "filing should be up any day";
- the filing was submitted (he stated this in May);
- he was being trained by the ISBE (June 1);
- he would be filing the report on June 11<sup>th</sup>;
- he was told by Paul at the ISBE that the committee would not be fined if the report was filed by the time the next quarterly report was due. (Ex. 5)

I was unable to complete the report myself as I have had serious neck issues and underwent a tri-level cervical discectomy and fusion on August 27, for which I remain in a neck brace and am unable to move my head down or to sit for any period of time at a computer. (A friend has typed this statement.) My Committee has nothing remaining in its account and will be closed shortly.

The violation was not committed intentionally. Initially, computer problems and program compatibility issues apparently caused the inability to file. Thereafter, I repeatedly attempted to have the quarterly report submitted. Unfortunately, I apparently hired the wrong people in whom to place my trust.

The belated A-1 disclosure was related both to the above issues and a staff transition that occurred in mid-February. This inadvertent oversight resulted from the fact that the Committee had hired a new consultant who believed the prior person handling campaign financial disclosures had taken care of disclosures during this transition period. A \$50 payment is enclosed.

Thank you for your consideration of this appeal.

Messages (24)

Erik Smith

Edit

Apr 16, 2012 10:30 AM

1

Please please call

Apr 16, 2012 12:03 PM

Hi tried to call a couple times. Working on reporting

Bless you!!!!!!!!!!

Apr 16, 2012 4:38 PM

I just came across a \$50 contrib from hay mitchell

Jay not hay

Thanks

Apr 17, 2012 4:57 PM

Thanks

2

Apr 17, 2012 4:57 PM

What is going on with report? I can't afford paying a fine. Have you been in touch w bd of elec? Pls pls call

Apr 18, 2012 8:59 AM

Tried to call. Please call me. Begging. Thanks. Chris will finish report if it isn't in but we don't know if u submitted paper version or whether it isn't done. Really really need to hear from you. Our yourself in my shoes. Just

Apr 18, 2012 6:59 AM

2

Tried to call. Please call me. Begging. Thanks. Chris will finish report if it isn't in but we don't know if u submitted paper version or whether it isn't done. Really really need to hear from you. Our yourself in my shoes. Just need to know what's going on. Thanks.

Apr 18, 2012 1:14 PM

Please call

Apr 18, 2012 2:49 PM

Trying again

Apr 18, 2012 4:45 PM

ried to call. Please call  
me. Begging. Thanks.

2

Chris will finish report if it  
isn't in but we don't know  
if u submitted paper  
version or whether it isn't  
done. Really really need  
to hear from you. Our  
yourself in my shoes. Just  
need to know what's  
going on. Thanks.

Apr 18, 2012 1:14 PM

Please call

Apr 18, 2012 2:49 PM

Trying again

Apr 18, 2012 4:45 PM

Trying again

**Report- We will be ok**

Friday, April 20, 2012 4:52 PM

"Erik Smith" &lt;erikhaywardsmith@gmail.com&gt;

"Carole Cheney" &lt;ccheney@att.net&gt;

Hi,

I am in Wisconsin camping. I emailed the Board of Elections and we will be ok. There was a glitch with the system when I submitted the report. It is very archaic. We will need to redo the report so there are a few things the we need.

First, it looks like the Chase online banking password needs to be reset for the expenditures. I have the contributions already from ngp.

Second, we should have Barb Mason either do the report or I need to get the old file from her to redo the report.

It would cost about 300-400 dollars to have barb do the report. Between what is left in the bank and the computers we have enough for that. Again, the Board of Elections says we will be fine we just need to get the report in.

—

Erik Hayward Smith

740.297.0088

[erikhaywardsmith@gmail.com](mailto:erikhaywardsmith@gmail.com)



**Fw: Contact log**

Monday, October 1, 2012 11:58 AM

From: "Carole Cheney" <ccheney@att.net>  
 To: ccheney@att.net  
 1 File (18KB)



Contact l...

--- On Sat, 7/28/12, chris marchione <cfcapitol@gmail.com> wrote:

From: chris marchione <cfcapitol@gmail.com>  
 Subject: Contact log  
 To: "Carole Cheney" <ccheney@att.net>  
 Date: Saturday, July 28, 2012, 5:49 PM

Sorry for the delay. I was unable to get back to Detroit and dig the notebook out until this past Wednesday.

Got your text but did not receive a vm. There are some dates missing but the attached document contains what I do have. Will be digging around to find notes from missing dates.

Will send check for Stacy this week (probably Tuesday or Wednesday).

Am available tomorrow evening between 8-9pm to discuss.

--

**Christopher C. Marchione**  
 Deputy Campaign Manager  
 Trevor for Congress  
 Cell: (586) 612-1187

This message is intended only for the individual or entity to which it is addressed. It may contain privileged, confidential information which is exempt from disclosure under applicable laws. If you are not the intended recipient, please note that you are strictly prohibited from disseminating or distributing this information (other than to the intended recipient) or copying this information.

## ISBE Contact Log

4/16/12 - Spoke to Paul regarding late filing. Was told that there would be no fines assessed so long as report was filed by the time next report was due as campaign was in good standing (no outstanding fines or previous offenses)

4/17/12 - First attempted filing.

4/24/12 - Left message with disclosure department re: why report was not posted online.

4/25/12 - Spoke to Debra in support regarding issues with filing. Refiled rebuilt NGP database using .csv formatting

5/11/12 - Spoke to Debra in support regarding compatibility issues. Was told file was received.

Cannot find notes pertaining to calls made between 5/11/12 & 6/14/12.

6/14/12 - left message with support.

6/15/12 - left message with support.

7/9/12 - Spoke with Jim in compliance about third party software failure and compatibility issues.

7/11/12 - Spoke with Jim in compliance regarding filing procedure through state party software. Software was downloaded and database was rebuilt. Was advised to file database "as-is" and file amendments as needed.

7/12/12 - Spoke again with Jim in compliance to make sure that report was successfully uploaded. Received confirmation that report was received without issue.

Mess... 19)

Chris Marchio...

Edit

Disclosures? What's going on with them? Did your friend look at them? Would you please call me tomorrow?

iMessage

Apr 27, 2012 10:52 AM

Stacy called again. Did you two speak?

Apr 27, 2012 11:21 AM

I just sent her an email asking her to call me. She hasn't answered my calls yet.

Apr 30, 2012 12:25 PM

So did Stacy ever send you the contract?



Chris Marchio

68

Send

Mess... 19)

Chris Marchio...

Edit

So..... Filing in? Haven't heard. Can you let me know? Also can you pay Stacy if you haven't yet. It is going on two months so it would be great if everything could be wrapped up. Thanks Chris. Feel free to call.

Filing should be up any day. Sorry, been dealing with some shit here the last couple of days. Will take care of Stacy ASAP

May 10, 2012 6:37 PM

Has it been submitted?



Send

Mess... 19)

Chris Marchio...

Edit

May 16, 2012 6:37 PM

Has it been submitted?

Yes it has

Thank you so much.

No problem.

Liar

Seriously.

Well I do appreciate it.  
Talk soon.

Jun 1, 2012 1:40 PM

Did you have training and  
file report? I really really  
really need it filed



Message

70

Send

Mess... (19)

Chris Marchio...

Edit

Did you have training and file report? I really really really need it filed.

Thanks. I'm tied up til 3:30 but can talk after that.

Sorry about your friend by the way.

I'm on the training right now. Will call this evening.

Jun 2, 2012 12:38 PM

Haven't forgot about you. Funeral home this am and now a wedding that Andi is standing up in. Will call when I can.

Jun 5, 2012 10:47 PM



Message input field

Send

Mess...19)

Chris Marchio...

Edit

Sorry to bother so late.  
Just checking on  
disclosures?

Jun 8, 2012 9:45 PM

Sorry again to bother you.  
Never heard back on  
disclosures and fine issue.  
Maybe you can call me  
tomorrow

If that's okay. Oops hit  
send before I finished the  
sentence!

I'm sorry. I've been  
meaning to call you. I've  
had two interviews in two  
different states. The file is



Message

72

Send



Mess...19)

Chris Marchio...

Edit

I'm sorry. I've been meaning to call you. I've had two interviews in two different states. The file is completed and being reviewed by a friend who works in compliance. Will have it back tomorrow and will actually file on Monday.

Jun 9, 2012 12:11 PM

Thanks Chris. Maybe we can talk Monday on the fine issue also? How'd the interviews go?

I'll call you Monday afternoon. Both went really good. One is supposed to



6/9/12 12:07 PM

Send





Various - election board filing; Stacy pay; Fine/e-mail to Eric

Tuesday, June 19, 2012 4:23 PM

"Carole Cheney" <ccheney@att.net>

"Chris Marchione" <cfcapitol@gmail.com>

Hi Chris. I understand from your voicemail you're away. Could you let me know the status of the above? Has the disclosure been filed and is everything ok re state bd of elections on that front? Also, you were going to send me the NGP list. Any chance you can do so? Did you get a chance to contact Eric? I am going to email him as well but don't know if you have on the fine issue. Finally, Stacy's name showed up on my caller ID today. Has she been sent the check? If not could you pretty please do so ASAP. Thanks. Feel free to call.

Carole



Mess...19)

Chris Marchio...

Edit

make an offer Monday or  
Tuesday.

Jun 9, 2012 2:54 PM

I'm glad. Now go get me a  
job too.

I'll get right on it!

Text Message

Jun 20, 2012 2:21 PM

Tried to call and sent  
email. Can you let me  
know status of things.

iMessage

Jul 6, 2012 9:16 AM

Begging. Please call i am  
not seeing filing on line. I  
need names of people you  
spoke with at bd of



Message input field

Send



5

Mess...19)

Chris Marchio...

Edit

Jul 6, 2012 9:16 AM

Begging. Please call i am not seeing filing on line. I need names of people you spoke with at bd of elections and info you used. I am seriously begging.

Jul 6, 2012 9:08 PM

Hey. Checking status?  
Can you let me know?  
Thanks.

Jul 6, 2012 8:30 PM

Hi. Checking in on filing. ?

Will be filing tomorrow and cc you on the email



Send

Mess... 19)

Chris Marchio...

Edit

Hi. Checking in on filing. ?

Will be filing tomorrow and  
cc you on the email

Was gonna call you after  
this conference call.

Text Message

Will be filing tomorrow and  
cc you on the email

Was gonna call you after  
this conference call.

iMessage

Jul 8, 2012 9:53 PM

Ok. Can you call when it's  
been filed? Also what's  
found on with Stacy?



Message input field

Send

Mess...19)

Chris Marchio...

Edit

been filed? Also what's  
found on with Stacy?  
Thanks.

I tried to call her but  
haven't heard back.

K

Jul 9, 2012 11:31 PM

Just checking to see if  
filed? Please please let it  
be yes?

Sent as Text Message

Jul 10, 2012 8:32 AM

Sorry this must have come  
after I'd gone to sleep. Will  
be filing in 30 minutes.

Jul 10, 2012 11:05 AM



Mess... 19)

Chris Marchio...

Edit

5

Jul 10, 2012 11:05 AM

Hi. Just picked this up. So is it filed?

Yep. Just waiting on conf#

Jul 10, 2012 11:24 AM

Yay! Thanks. Can you send me names of people you've spoken with when you send conf. Thanks again.

It's in my notebook back home. I left Andrea a vm telling her where to find it so she can read me the names. Soon as I get it I'll email it over.



Mess...19)

Chris Marchio...

Edit

Yay! Thanks. Can you send me names of people you've spoken with when you send conf. Thanks again.

It's in my notebook back home. I left Andrea a vm telling her where to find it so she can read me the names. Soon as I get it I'll email it over.

Going to be in debate negotiations for the next couple hours. Will text when I get something.

K. Thanks so much



Send

Mess...19)

Chris Marchio...

Edit

K. Thanks so much.

Jul 10, 2012 5:13 PM

On conf call. Will call  
ASAP.

Jul 10, 2012 6:42 PM

Is conf call over?

I've been calling you for  
the last hour. It keeps  
going to vm

I'll call you in half hour.

Jul 11, 2012 10:12 AM

So what's going on with  
filing? I seriously can't be  
getting a fine. I'm not even  
working right now. Can





Mess... 19)

Chris Marchio...

Edit

So what's going on with filing? I seriously can't be getting a fine. I'm not even working right now. Can we please get this resolved today???

I am online right now resolving it. Check it in one hour.

Ok

I thought you filed last night? What happened

Jul 11, 2012 11:50 AM

On the other line with IL  
DOE



82

Send

Mess... 19)

Chris Marchio...

Edit

5

On the other line with the  
DOE

Ok. Make sure to keep  
track of the name for me.  
Thanks.

I am.

This guy is named Tom.

It's taking so long because  
I have to download a ton  
of additional software.  
Literally a ton.

I thought you said it was  
working last night. What  
happened?

Delivered



Type a message...

83

Send

Search

Search Web



Mail Contacts Calendar Netpad

What's New? Mobile Mail Options

Check Mail New

Mail Search

Try the new att.net Mail

3 Bureau CREDIT REPORT

Previous | Next | Back to Messages

Mark as Unread Print

Delete Reply Forward Spam Move...

Wednesday, October 31, 2012 4:36 PM

Folders

- Inbox (3547)
- Drafts (41)
- Sent
- Spam (8) [Empty]
- Trash [Empty]
- My Photos
- My Attachments

Re: Re:

From: "Erik Smith" <erikhaywardsmith@gmail.com>  
To: "Carole Cheney" <ccheney@att.net>

I am not sure but there was an apparent compatibility problem between the software and my computer.

Sent from my iPhone

On Oct 31, 2012, at 12:21 PM, Carole Cheney <ccheney@att.net> wrote:

Thanks Erik. Quick question - glitch on their end or glitch on your computer? I'm guessing by glitch you mean your computer not able to save entries - you would enter contributions on IDIS but then when you'd go to send and save, it wouldn't do it? Is that correct?

— On Wed, 18/31/12, Erik Smith <erikhaywardsmith@gmail.com> wrote:

From: Erik Smith <erikhaywardsmith@gmail.com>  
Subject:  
To: "Carole Cheney" <ccheney@att.net>  
Date: Wednesday, October 31, 2012, 11:49 AM

Carole:

I downloaded the electronic reporting software from the Board of Elections on Saturday April 14th, 2012. The software would not allow me to commit the data I enter into the system. I attempted to remove and re-download the software numerous times in the hopes it would function properly. I also called the board of elections on Monday and the only advice they offered was to re-download the software. There was an apparent glitch with the software hence I was unable to finish the report.

Regards,

Erik Hayward Smith  
740.297.0088  
erikhaywardsmith@gmail.com

Delete Reply Forward Spam Move...

Previous | Next | Back to Messages

Select Message Encoding

Full Thread

Chat & Mobile Text [Add]

I am Available

0 Online Contacts [Add]

No contacts online right now.

Start a New Chat

4 Mobile Contacts [Add]

Nick Daggers 815-562...

Nicole Levey 546-479...

patrick brown 978-521...

Ram Vilivalam 512-9...

Not Listed? New Text

My Folders [Add - Edit]  
Notes

**Penny Stocks Made Me Rich!**

Join the webs #1 Free Stock Newsletter Today!

Check Mail New

Mail Search

Check Mail New

Mail Search

[ No Subject ]

Wednesday, October 31, 2012 11:49 AM

From: "Erik Smith" <erikhaywardsmith@gmail.com>  
To: "Carole Cheney" <ccheney@att.net>

Carole:

I downloaded the electronic reporting software from the Board of Elections on Saturday April 14th, 2012. The software would not allow me to commit the data I enter into the system. I attempted to remove and re-download the software numerous times in the hopes it would function properly. I also called the board of elections on Monday and the only advice they offered was to re-download the software. There was an apparent glitch with the software hence I was unable to finish the report.

Regards,

--

Erik Hayward Smith  
740.297.0088  
[erikhaywardsmith@gmail.com](mailto:erikhaywardsmith@gmail.com)

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 131**

Friends of Greg Mitchell      ID# 22816  
**Respondent**

**REPORT OF HEARING OFFICER**

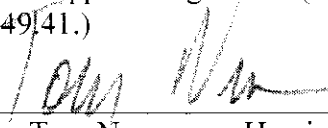
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 22, 2012, 5 days late, resulting in a civil penalty assessment of \$375. Additionally, the Committee had previously been assessed a \$400 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report, and a \$50 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly Report. The total assessment is \$375.

Greg Mitchell, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Mitchell states that he attempted to file the Report several times during the filing period, but each time he received a transmission error, of which he includes a copy. He says rebooting his computer and modem did not correct the problem. Mr. Mitchell says he then decided to try filing at a later date, but admits that he forgot to do so until after the Report was already late. He adds that the Committee has had no activity for some time.

Based on the error message provided, it appears the Committee may not have had an active internet connection at the time of the filing attempt, but it seems Mr. Mitchell did make a good faith effort to correct the problem. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of ~~-\$349~~41.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 22816

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Greg Mitchell  
9521 S Oglesby Ave  
Chicago, IL 60617-4824

Dear Friends of Greg Mitchell:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 22, 2012, 5 day(s) late. As such, this committee has been assessed a fine of \$375.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

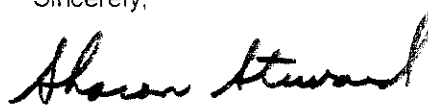
If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$50
October 1 through December 31 2011	Quarterly	\$400
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$825</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

W

State of Illinois )  
County of: COOK )

RECEIVED

JAN 17 2013

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
FRIENDS OF GREG MITCHELL )  
Respondent(s). )

Case No. 12 SQ 131

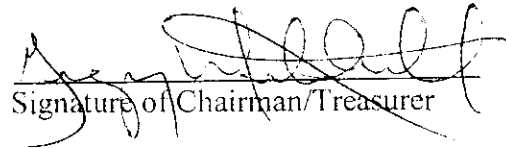
APPEAL AFFIDAVIT

I, GREG MITCHELL, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
FRIENDS OF GREG MITCHELL  
(Name of the Committee)

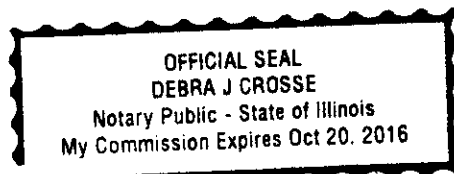
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I attempted to file the September Quarterly report within the required filing period, however, each attempt to file resulted in some sort of transmit error. After several failed attempts to file the report, I closed the application, rebooted my DSL modem, rebooted my PC, re-launch the application and attempted to submitted the report again, and still received the transmit error. Concluding that there may be some sort of an issue with the application, I decided to file at a later time but before the filing period was up. Unfortunately, I didn't remember to file the report until October 22, 2012, five days late. I realize that forgetting to file the report was my own fault. However, as I made several good faith attempts to file the report (within the required filing period), I ask that the assessed fine of \$375.00 be waived. Once again, I realize that it was my responsibility to ensure that the report was filed on time, however, I ask that the following be taken into consideration: 1). I am NOT actively campaigning/involved in my campaign committee at this time, 2). Since the February 2011 Aldermanic election, I do not have staff available (anymore) that is responsible for the financial reporting, and 3). I work a full time job. These are all reasons, why filing the reports on time has been a challenge. Thank you for your time, consideration and understanding in this matter.

\* I have enclosed a copy of the error message.

  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Debra J Crosse  
before me this 14 Day of Jan, 2013  
Notary Public  
(seal)



Errors	
Source	Message
WebConnection.ValidClientDateTime	Validation of date and time failed.
WebConnection.IsDISSysAvailable()	Error contacting Web Services.
System	A connection attempt failed because the connected party did not properly respond after a period of time, or established connection failed because connected host has failed to respond 163.191.231.120:443
System	Unable to connect to the remote server
mscorlib	Could not connect to https://hds.elections.il.gov/IDISDigestServices/IDISWcfUpdate.svc. TCP error code 10060: A connection attempt failed because the connected party did not properly respond after a period of time, or established connection failed because connected host has failed to respond 163.191.231.120:443.

Please explain what you were doing when the error occurred

Save File

Done



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 JQ 188**

Citizens for Lori S Yokoyama      ID# 23123  
**Respondent**

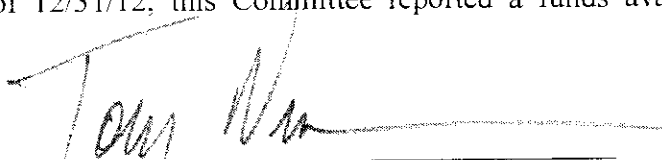
**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
June 2012 Quarterly Report

The Report was received by the Board on October 12, 2012, 62 days late, resulting in a civil penalty assessment of \$3,100.

Craig Yokoyama, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Yokoyama states the Committee erred when it filed its Statement of Organization, by mistakenly reactivating a prior committee with the same name that had filed a final report on 4/3/12. He says the new Committee was actually created on August 8, meaning the June Quarterly Report was not required.

The Committee's real error was in filing an unnecessary June Quarterly Report, which led to the assessment for late filing. However, based on the date of creation reported on the Committee's Statement of Organization, and based on the fact that the June Quarterly Report that was filed showed no activity, it is apparent the June report was not needed. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of \$1,700.42.)



---

Tom Newman – Hearing Officer  
January 31, 2013

State of Illinois )

County of Cook )

2013 JAN 10 AM 10:15

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 120Q188Citizens for Lori S. Yokoyama )  
Respondent(s) )

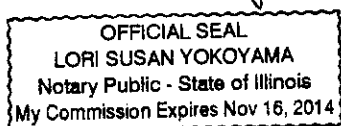
## APPEAL AFFIDAVIT

I, Craig Yokoyama, the Treasurer of the  
(Name) (Chairman/Treasurer)Citizens for Lori S. Yokoyama  
(Name of the Committee)

Committed, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

A Committee called "Citizens for Lori S. Yokoyama" was created on  
November 18, 2010 and finalized on April 3, 2012When Lori S. Yokoyama was chosen as the Republican Candidate for Cook  
County State's Attorney, an inadvertent error occurred by indicatingthe committee was to be reactivated vs. a new committee. The new  
committee was created on August 8, 2012 following the second  
quarterly reporting period. Therefore, no reportwas due. See attached: BI statement of Organization & receipt.  
Signature of Chairman/Treasurer Graig Yokoyama

Signed and Sworn to by:

Lori S. Yokoyama  
before me this 9<sup>th</sup> Day of January, 2013Notary Public  
(Seal)

Your fax has been successfully sent to 312-814-6485. RE: Statement of Organization

Thu, Aug 9, 2012 at 5:01



Attempt 1:	
Page Time	8:52:12.536:47 PM (GMT-05:00)
Page #	1
Transmission Time	0:20
File Size	316,668,000 Bytes

92



FORM

D-1

## STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Citizens for Lori S. Yokoyama  
123 N. Wacker, S. 1350  
Chicago, Illinois 60606

E-MAIL ADDRESS: Lori@lori2012.com

☐ CHECK HERE IF ADDRESS CHANGEPOLITICAL COMMITTEE  
IDENTIFICATION No.

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: November 18, 2010	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$ 0		
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES. <u>ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.</u> ) <input checked="" type="checkbox"/> REACTIVATING				
4.	POLITICAL COMMITTEE'S DESIGNATION: <u>ALL COMMITTEES CHOOSE ONLY ONE:</u> <input checked="" type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* *For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: <u>Cook County State's Attorney</u> <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures.				
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) <u>Cook County</u> B. POLITICAL PARTY AFFILIATION: <u>Republican</u> C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:				
6.	PURPOSE OF THE POLITICAL COMMITTEE. <u>To elect Lori S. Yokoyama and others to political office.</u>				
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)				
NAME AND ADDRESS		SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
Lori S. Yokoyama 4800 S. Greenwood Chicago, Illinois 60615		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Cook County State's Attorney	Republican

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

DATE: 1/3/12

COMMITTEE NAME: Citizens for Lori S. Yokoyama	POLITICAL COMMITTEE IDENTIFICATION No.: 27-4272499
---	--

**8. REQUIRED COMMITTEE OFFICERS.**

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Lori S. Yokoyama	4800 S. Greenwood Chicago, Illinois 60615 (312) 380-5170
TREASURER	Craig Yokoyama	123 N. Wacker Chicago, Illinois 60606 (312) 380-6580

**9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.**

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
Treasurer	Craig Yokoyama	123 N. Wacker, S. 1350 Chicago, Illinois 60606 (312) 380-6580

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.  
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

NAME	MAILING ADDRESS AND PHONE NUMBER
MB Financial Inc.	800 W. Madison Chicago IL 60607 888-422-6562

**11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:**

☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.

☒ TRANSFER TO ANOTHER POLITICAL COMMITTEE: \_\_\_\_\_

☐ TRANSFER TO A CHARITABLE ORGANIZATION: \_\_\_\_\_

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

**VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY**

I DECLARE THAT THIS **BALLOT INITIATIVE COMMITTEE** IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON \_\_\_\_\_ DATE \_\_\_\_\_

**VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY**

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE, OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON \_\_\_\_\_ DATE \_\_\_\_\_

**VERIFICATION: ALL POLITICAL COMMITTEES**

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Lori S. Yokoyama *Lori S. Yokoyama* 8/9/12  
 PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE \_\_\_\_\_ DATE \_\_\_\_\_

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS  
 2329 S MACARTHUR BLVD  
 SPRINGFIELD, IL 62704-4503  
 fax: 217-557-5630  
 e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)

STATE BOARD OF ELECTIONS  
 JAMES R. THOMPSON CENTER  
 100 W RANDOLPH ST, STE 14-100  
 CHICAGO, IL 60601-3232  
 fax: 312-814-6485  
 e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)

3/17/2012

Citizens for Lori S Yokoyama  
123 N Wacker S 1350  
Chicago, IL 60606

State of Illinois  
State Board of Elections  
Campaign Disclosure Division

2329 S. MacArthur  
Springfield, Illinois 62704

100 West Randolph St.  
Suite 14-100  
Chicago, Illinois 60601

Candidate 23123 00  
Citizens for Lori S Yokoyama

**PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS**

The Illinois State Board of Elections has received the following document(s) from your committee.  
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

8/10/2012 1:10:33PM

Received in Chicago

D-1 Statement of Organization

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 AD 008**

Friends of Sandy Sauget ID# 23964  
**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report**

The Committee received an in-kind contribution of \$2,361.52 on 10/15/12, and reported it on a Schedule A-1 received by the Board on 10/22/12, 3 days late, resulting in a civil penalty assessment of \$1,180.76.

Geoffrey McNail, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. McNail states the Committee erred by reporting the in-kind contribution using the date the expenditure was made, rather than the date the Committee was informed of it by the contributor. He includes an e-mail dated October 22 from the contributor, the Monroe County Democratic Central Committee, informing the Committee of the in-kind.

The date of receipt of an in-kind contribution is considered to be the date the Committee receives notice from the contributor – in this case, October 22. The A-1 was therefore timely filed. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of \$10,220.64.)



Tom Newman – Hearing Officer  
January 30, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 23964

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Sandy Sauget  
PO Box 774  
Columbia, IL 62236-0774

7012 1010 0002 5104 4509

Dear Friends of Sandy Sauget:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Monroe Co Democratic Central Cmte	10/15/2012	\$2361.52	10/22/2012	3	\$1180.76

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1180.76 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$118, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.



W

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

13 JAN 16 PM 12:13

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Sandy Saugert )  
Respondent(s). )

Case No. 13 AD 008

APPEAL AFFIDAVIT

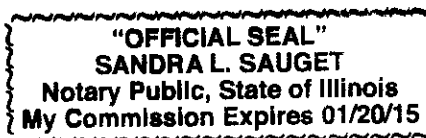
I, Groffrey McNail, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends of Sandy Saugert  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

"Please see enclosed letter"

Groffrey McNail  
Signature of Chairman/Treasurer

Signed and Sworn to by: Sandy Saugert  
before me this 14<sup>th</sup> Day of JAN, 2013  
Notary Public  
(seal)



Friends of Sandy Sauget  
PO BOX 774  
Columbia, IL 62236

1/13/2013

RE: In-Kind Contribution filing notice

The attached notification has brought to our attention a mistake made during the filing of the Schedule A-1 Report of Campaign contribution, as it pertained to an In-Kind contribution from the Monroe County Central Committee filed on 10/22/2012.

The Schedule A-1 reports the In-Kind contribution date received as 10/15/2012, in the amount of \$2,361.52. The treasurer was notified of this contribution on 10/22/2012, as per the attached email from Alan Pirtle, and was filed the same day. Geoffrey McNail (Treasurer) submitted the filing electronically using the date of the contribution, rather than the date the committee was notified. Should the correct date been used on the filing, we believe our committee would have been in compliance with the reporting rules.

Please review the attached email, showing a notification to our committee of 10/22/2012, as well as our electronic filing date of 10/22/2012. It was clearly our intention to submit this report as expediently as possible, however, we did make an error on the date of the contribution.

Regards,

A handwritten signature in black ink, appearing to read 'Geoffrey McNail', with a stylized flourish at the end.

Geoffrey McNail

Treasurer, Friends of Sandy Sauget

Subject: In Kind  
From: Alan Pirtle (pirtlejd@charter.net)  
To: gmcnail@yahoo.com;  
Cc: nannertons@yahoo.com;  
Date: Monday, October 22, 2012 6:34 PM

Please let this e-mail serve as the notification which was meant to be sent to you last Saturday October 20. The Monroe County Democratic Central Committee has made an "in-kind" donation to your campaign on 10/15/2012 to Downstate Strategies, PO Box 8668, Alton, IL. The value of this donation is \$\$2,361.52. This donation was for campaign literature.

Thanks,

ALAN PIRTLE

Chairman

Monroe County Democratic Party

[www.monroecountydems.com](http://www.monroecountydems.com)

"Twenty years from now you will be more disappointed by the  
things you didn't do than by the things you did."--- Mark Twain

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 MA 097**

Rock Island County Democratic Central Committee  
**Respondent**

ID# 343

**REPORT OF HEARING OFFICER**

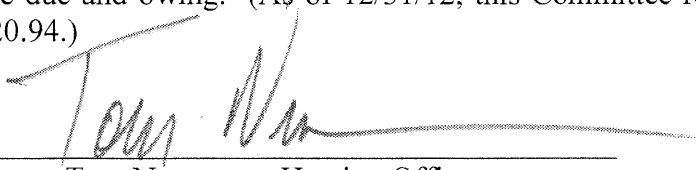
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$2,500 contribution on 8/18/12 and reported it on a Schedule A-1 received by the Board on 9/28/12, 16 days late, resulting in a civil penalty assessment of \$1,250. The Committee also received a \$1,000 contribution on 10/22/12 and reported it on a Schedule A-1 received by the Board on 10/29/12, 3 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$400 civil penalty (not appealed, paid) for delinquently reporting three A-1 contributions in the second quarter of 2012. The total assessment is \$1,750.

Michael Halpin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Halpin states that the late filings were unintentional and both contributions were reported as soon as the Committee's error was discovered. He also points out that the contributions were relatively small compared to others received by the Committee, and asks that the penalty for late filing be waived or reduced.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since the \$2,500 contribution is the second A-1 violation for this Committee, I recommend the penalty for that late filing be reduced to 50% of the original assessment, or \$625. Since the \$1,000 contribution was the third A-1 violation for this Committee, I recommend the penalty remain at the originally assessed amount, \$500. If these recommendations are accepted by the Board, the \$1,125 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$32,020.94.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 28, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Rock Island County Democratic Central Cmte ID# 343  
1800 3rd Ave, Rm 203,  
PO Box 3128  
Rock Island, IL 61204-3128

7012 1010 0002 5104 3519

Dear Rock Island County Democratic Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Martha & Michael Meersman	8/18/2012	\$2500	9/28/2012	16	\$1250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$625, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Friends of Verschoore State Rep Dist 72	10/22/2012	\$1000	10/29/201	3	\$500

Your committee is subject to a fine of \$500 for delinquent filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$1125.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30 2012	Schedule A-1	\$400
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1125</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

State of Illinois )  
County of: )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JAN -9 PM 1:05

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12MA097

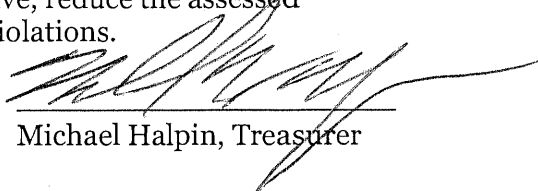
ROCK ISLAND COUNTY DEMOCRATIC  
CENTRAL COMMITTEE, )

Respondent. )

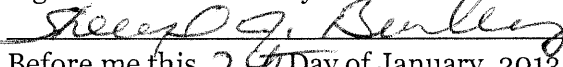
APPEAL AFFIDAVIT

I, Michael Halpin, the Treasurer of the Rock Island County Democratic Central Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

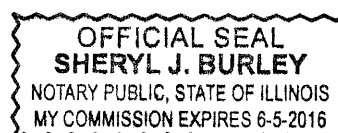
1. The violations alleged in the Board's December 18, 2012 notice were inadvertent, as evidenced by the fact that the Respondent made regular A-1 disclosures throughout the third and fourth quarters of 2012 and that there is nothing about the date or amount of contribution to suggest that the alleged violations were intentional or willful.
2. If a violation is negligent or inadvertent, the Board may reduce or waive any assessment.
3. The 8/18/12 contribution error was noticed in preparing the third quarter disclosure report. The 10/22/12 contribution error was discovered less than a week from the receipt of the contribution. Both contributions were disclosed and reported as soon as the Respondent was aware the contribution had gone unreported. Each was also disclosed in the Respondent's appropriate Illinois quarterly report and its corresponding Federal Election Commission report.
4. The amount of the contributions is relatively small in relation to other contributions received by the Respondent and in relation to Illinois' campaign contribution limits.
5. The alleged violations did not arise from a discrepancy between the date the contribution was reported transferred and the date it was reported received.
6. The contribution received 10/22/12, although reported more than two business days from its receipt, was reported only three business days late.
7. The above considerations all are relevant under 10 ILCS 5/9-10(c).
8. Accordingly, under these circumstances, the Respondent would respectfully ask that the Board waive the assessed civil penalties or, in the alternative, reduce the assessed penalties in light of the inadvertent nature of the alleged violations.

  
Michael Halpin, Treasurer

Signed and Sworn to by:

  
Before me this 24 Day of January, 2013

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 AS 004**

Wheatland Township Republican Org      ID# 704  
**Respondent**

**REPORT OF HEARING OFFICER**

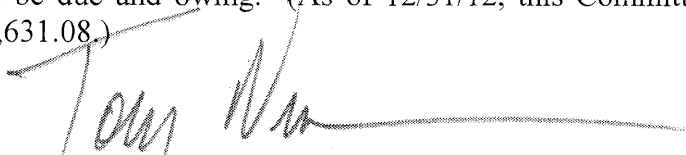
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 8/30/12, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$175 civil penalty (appealed, denied, paid) for delinquent filing of two Schedule A-1 contributions in the third quarter of 2011. The total assessment is \$500.

Terri A. Wintermute, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Ms. Wintermute states that she had been a Committee Treasurer for many years, up until 2008, so she was used to the old reporting rules that required A-1 filings only in the 30 days before an election. When she took over as Treasurer of this Committee in 2012, she says she adapted to the new reporting requirements, but couldn't get the old A-1 rule out of her head, causing her to omit the filing.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$250. If these recommendations are accepted by the Board, the \$250 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$2,631.08.)



---

Tom Newman – Hearing Officer  
January 28, 2013



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 704

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Wheatland Twp Republican Org  
c/o Wintermute, Treasurer  
3 Player Ct  
Bolingbrook, IL 60490-6609

7012 1010 0002 5104 2949

Dear Wheatland Twp Republican Org:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Roger Claar	8/30/2012	\$1000	*	25	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

W

State of Illinois )

County of: WILL )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JAN 14 PM 12:56

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12 AS004

Respondent(s). )

Wheatland Twp Republican Org  
T. Wintermute Treasurer

APPEAL AFFIDAVIT

I, Terri A Wintermute, the Treasurer of the  
(Name) (Chairman/Treasurer)

Wheatland Twp. Republican Org  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I apologize for failing to file an A-1. I have  
served as a Treasurer for many years in the past  
for the DuPage Township Republicans and as an  
Illinois Senate Candidate & never received a  
fine nor a letter. I thought A-1's were filed  
30-days prior to an election.

The new software, new State  
reports happened when I was

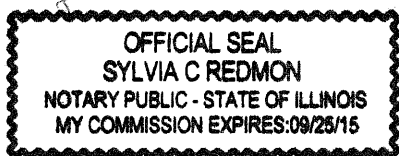
Terri A Wintermute  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Sylvia C. Redmon

before me this January Day of 11, 2013

Notary Public  
(seal)



TERRI A. WINTERMUTE  
TREASURER  
WHEATLAND TOWNSHIP REPUBLICAN ORGANIZATION  
C/O 3 PLAYER COURT  
BOLINGBROOK, IL 60490

Dear Sir or Madam:

I apologize for failing to file an A-1 report for the 8-31-2012 contribution of \$1,000 to the Wheatland Republican Organization.

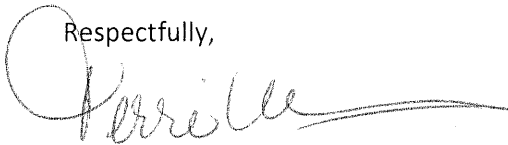
I am very embarrassed to have been fined. To be honest, it tarnishes my superior record of being a former Treasurer for the DuPage Township Republican Organization for about 15 years and then from being a Candidate for Will County Board, and then a 2-time Illinois Senate Candidate. As a Candidate who was very involved in my own finances, we reported upwards of \$1 million to the Illinois State Board of Elections without incident.

I stepped away from filing any reports with the Illinois State Board of Election after I lost the 42<sup>nd</sup> Illinois Senate Race in 2008. I winded down my account and then closed it.

Recently this year, I was elected Treasurer for the Wheatland Township Republican Organization. During that time many of the rules and requirements with the State Board of Election had changed. I quickly adapted to the new software, re-filed a D-1, started filing reports on a Quarterly basis...but I couldn't get it out of my head that an A-1 is only reportable in the 30-days preceding an Election. My previous reporting training was stuck in my head.

While I understand that the former Treasurer had a fine for a late A-1 last year, I ask that you please consider my past good record with the Illinois State Board of Elections and consider a lesser penalty to the Wheatland Township Republican Organization. Although I have explained to them, it was my error and no matter what fine is assessed by the Illinois State Board of Elections, that I will make a personal donation to cover that amount. I regret making this error.

Respectfully,



Terri A. Wintermute

Phone # 630-220-6828

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 AS 007**

Henderson County Democratic Central Committee ID# 1214  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 9/9/12, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Randy Gibb, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gibb states that the long-time Treasurer of the Committee, William Brown, was suffering from cancer and was unable to attend to Committee business as he had in the past. As a result, the A-1 filing was missed. Mr. Gibb says he took over as Treasurer a few days before Mr. Brown's death, and at that time discovered the contribution in question had not been timely reported.

While unfortunate, the circumstances described do not constitute a valid defense for failure to file the Schedule A-1. I must therefore recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. If these recommendations are accepted by the Board, as a first violation the civil penalty will be stayed. (As of 12/31/12, this Committee reported a funds available balance of \$5,026.84.)



Tom Newman – Hearing Officer  
January 29, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 1214

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Henderson County Democratic Central Comm  
Randy Gibb, PO Box 842  
Lomax, IL 61454-0842

7012 1010 0002 5104 2970

Dear Henderson County Democratic Central Comm:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IUOE Local 649 PAC	9/9/2012	\$1000	*	16	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 JAN 16 PM 12:13  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12AS007

Henderson County Democratic  
Respondent(s) )

Central Committee

APPEAL AFFIDAVIT

I, Randy Gibb, the Treasurer of the  
(Name) (Chairman/Treasurer)

Henderson County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Letter enclosed

Randy Gibb  
Signature of Chairman/Treasurer

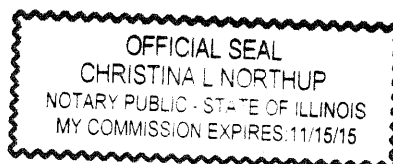
Signed and Sworn to by:

Randy Gibb

before me this 15 Day of January, 2013

Notary Public  
(seal)

Christina Northup



January 15, 2013

State Board of Elections,

At the time of the contribution, Mr. William "Bill" Brown, long time treasurer for our committee, was suffering from cancer and was not able to attend to the business of the committee as diligently as he had in the past. Mr. Brown passed away fourteen days after the contribution was made. A few days prior to his passing Bill asked if I would take over as treasurer. I accepted to do this and started going over the books. I was not officially the treasurer until our next meeting on October 17, 2012. I learned that the deadline for reporting this contribution had expired. I called the State Board of Elections and reported this and was told to go ahead and send all the information in on the quarterly report. Please consider the timing of the events in your decision.

Regards,

A handwritten signature in black ink, appearing to read "Randy Gibb". The signature is fluid and cursive, with the first name "Randy" written in a larger, more prominent script than the last name "Gibb".

Randy Gibb, Treasurer

Henderson County Democratic Central Committee

# Henderson County Democratic Central Committee

Richard W. Bigger, Jr., Chairman

Oct 17, 2012

President Dick Bigger, Larry Fox, Sally May  
Pres. Scott Walker, Larry Fox, Sally May  
Nancy Hinshaw, Dick Bigger, Randy Hill.  
Rickey Stahl, Scott Rhinehart, Dick called  
meeting to order. He called for a motion for a  
treasurer to replace Bill Brown (deceased)  
Dixie made motion to reconstitute Randy Hill  
2nd by Scott Rhinehart carried.

Res

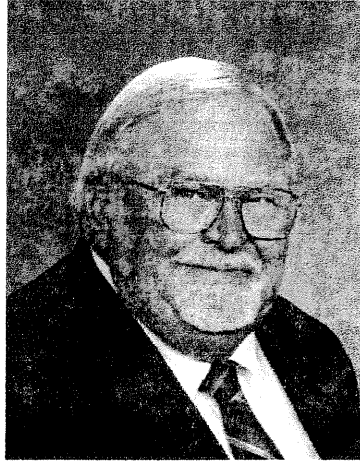
Meeting adjourn  
Dianne Brown



## Obituaries - 2012

### William E. Brown

William E. Brown, 74 of rural Carman, Illinois died at 5:13 p.m. Sunday September 23, 2012 at his home. He was born September 21, 1938 in Lomax, Illinois, the son of George C. and Isabelle Vaughan Brown. On October 7, 1961, he married Dianne Fulkerson in Lomax.



Mr. Brown was a graduate of Dallas City High School. He had been employed for thirty years at J.I. Case Company in Burlington. He had served as President of the U.A.W. Local #807 in Burlington for several years. He was a 32nd degree Mason and was a member of the Oquawka Lodge #123 AF & AM, the Scottish Rite Consistory of Peoria, Illinois and the Mohammed Shrine, also of Peoria. He was Treasurer for the Henderson County Democratic Party and had served as precinct committeeman for Carman Township for several years. He was a member of the Lomax/Carman Senior Citizens, Burlington Eagles Lodge, Burlington Moose Lodge, and the Lomax Christian Church. He was an avid coin collector, and enjoyed golfing and woodworking. He also built a 1929 Mercedes Benz kit car. He enjoyed following racing, both at the NASCAR level and at 34 Raceway near West Burlington.

Survivors include his wife, his mother of Stronghurst, one daughter- Tina (Richard) Waterman of Stronghurst, one son - Sheldon (Jodi) Brown of Tulsa, Oklahoma, six grandchildren, two step grandchildren, four great grandchildren, one brother- R. Lynn (Margie) Brown of Moorehaven, Florida, nine nieces and one nephew. He was preceded in death by his father.

Funeral services will be held at 10:00 a.m. Friday September 28, 2012 in the Lomax Christian Church. Burial will be in the Carman Cemetery. Friends may call after 2:00 p.m. Thursday at Banks & Beals Funeral Home in Stronghurst, where Masonic Rites will be held at 6:00 p.m. Thursday, followed by visitation with the family until 8:30 p.m. Memorials have been established for the Lomax Christian Church and the Lomax/Carman Senior Citizens. Condolences may be left at [www.banksandbeals.com](http://www.banksandbeals.com). [Send a condolence here.](#)

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 SQ 021**

Family Taxpayers Network ID# 4942

**Respondent**

**REPORT OF HEARING OFFICER**

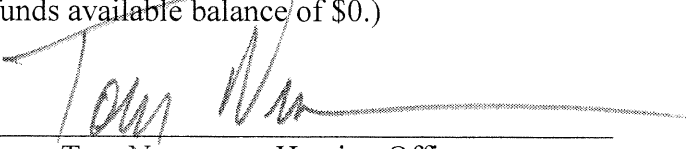
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 17, 2012, 2 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, unpaid) for delinquently filing the September 2011 Quarterly Report, a \$400 civil penalty (not appealed, unpaid) for delinquently filing the June 2011 Quarterly Report, and a \$200 civil penalty (not appealed, unpaid) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$1,200.

John Roeser, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Roeser states that he agrees that the Report was filed late, but he asks that the penalty be waived. He says the person responsible for filing the Committee's reports had been extremely ill for most of the year and was unable to file the Quarterly Report on time. Mr. Roeser adds that the Committee has not actually operated since 2009, but the report filer did not know how to close the Committee, and thought she had done so by informing the Board by letter. He also indicates that the Committee recently refiled its reports dating back several years to correct some errors, and now expects to file a Final Report shortly.

I can find no record of a letter on file from the Committee regarding closing down, but in any case no Final Report has yet been filed. Although I sympathize with the report filer's health issues, that does not excuse the Committee from the requirement for timely filing of all reports. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$1,200 will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$0.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 25, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Family Taxpayers Network, Sponsored by John Roeser ID# 4942  
8 E Main St  
Carpentersville, IL 60110-2624

7012 1010 0002 5104 3816

Dear Family Taxpayers Network, Sponsored by John Roeser:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 17, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31 2010	Semi-Annual	\$200
April 1 through June 30 2011	Quarterly	\$400
July 1 through September 31 2011	Quarterly	\$200
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1200</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

13 JAN -7 PM 2:09

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
*FAMILY TAXPAYERS NETWORK SPONSORED BY* )  
Respondent(s). *JOHN ROESER* )

Case No. 12SQ 021

APPEAL AFFIDAVIT

I, JOHN ROESER, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
FAMILY TAXPAYERS NETWORK,  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached statement

*John Roeser*  
Signature of Chairman/Treasurer

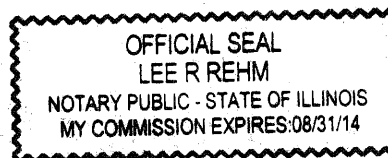
Signed and Sworn to by:

Lee R. Rehm

before me this 2<sup>ND</sup> Day of January, 2013

Notary Public  
(seal)

Lee R. Rehm



# Family Taxpayers Network

8 E. Main St.

Carpentersville, IL. 60110

---

January 2, 2013

Sharon Steward, Director  
Campaign Disclosure Division  
Illinois Board of Elections

Dear Ms. Sharon Steward,

We agree with your findings of late filing of our quarterly report for the period July 1 to September 10, 2012 . We ask that you reconsider and waive the penalties on the report due to the following two reasons. The first is that the person responsible for filing these reports had been extremely ill for most of the year and had missed a great deal of time at the work place. She could not come to the office on the 15<sup>th</sup>, when the report was due, to file on time.

The second reason is that this PAC has not operated since 2009. The person filing these reports did not know how to close out this PAC and kept filing the same reports with all the same amounts. We finally were able to go back to 2004 and correct all the errors so that we may shortly file a final report.

As far as the other reports you mention in your letter, the same person mistakenly thought that she closed out the PAC by means of informing you of this by letter. Therefore, she did not file any subsequent reports. She was notified by your office that the PAC was not closed out and that these reports must be filed. However, your response took a long time in coming and our defense would be that if we knew sooner, the reports, at least, two of them, would have been filed on time.

Thank you for your time and attention in this matter.

Sincerely

  
John Roeser

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 AM 092

Citizens for Joe Moore (ID 6380)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for the Failure to File Schedule A-1 Reports

During the 1<sup>st</sup> Quarter of 2012, the Committee received three contributions of \$1,000 or more, totaling \$4,000, and failed to report them on a Schedule A-1 Report, resulting in a \$2,000 civil penalty assessment. Additionally, this Committee was assessed the following civil penalties: a \$50 civil penalty (not appealed, paid) for delinquently filing the 2006 December Semi-Annual Report; a \$2,500 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Report during the 2007 Consolidated Primary Election; a \$2,000 civil penalty (appealed, denied, reduced, paid) for the failure to file 2 Schedule A-1 Reports during the 2007 Consolidated Primary Election, a \$500 civil penalty (not appealed, reduced paid) for delinquently filing a Schedule A-1 Report during the 2011 Consolidated Election and a \$500 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 Report during the 3<sup>rd</sup> Quarter of 2011. Since this is the Committee's third or subsequent Schedule A-1 violation, the fine is assessed at 100% or \$2,000.

Joseph A Moore, Candidate/Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on November 1, 2012.

Mr. Moore did not appear at the Appeal Hearing. This report and recommendation is based on the Appeal Affidavit.

In the Affidavit, Mr. Moore indicates that a campaign staff assistant submitted campaign disclosure reports for the committee and failed to file the contributions on a Schedule A-1 as subject of this appeal. The Affidavit states that this assistant is no longer employed by the campaign and fails to respond to Mr. Moore's attempts of communication. As a result, the Respondent is unable to explain the circumstances regarding this issue.

The Committee received a civil penalty assessment as a result of the failure to file Schedule A-1 Reports. A defense could not be provided because the person responsible for submitting the reports is no longer affiliated with the Committee and refuses to communicate with Committee Officers. I understand that this situation is frustrating; however this is an issue internal to the Committee's operation and does not constitute a valid defense. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation a \$2,000 civil penalty will be due (As of 9/30/12, this Committee reported a funds available balance of \$38,659.90)



Tara Molnar – Hearing Officer

Nov

12

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

Citizens for Joe Moore  
1431 W Fargo Ave  
Chicago, IL 60626-1810

ID# 6380

7011 2970 0003 6439 2741

Dear Citizens for Joe Moore:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
6981 N Sheridan Inc	2/29/2012	\$1500	*	27	\$750
Chakra	1/4/2012	\$1000	*	64	\$500
Comcast Financial Agency	3/22/2012	\$1500	*	12	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$2000, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois )  
 )  
County of Cook )

STATE BOARD OF ELECTIONS  
12 OCT -9 PM 12:20

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. )  
 )  
Citizens for Joe Moore )  
Respondent(s). )

Case No. 12AM092

APPEAL AFFIDAVIT

I, Joseph A. Moore, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens for Joe Moore  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. The responsibility for filing A1 disclosure reports belonged to a campaign staff assistant who is no longer in my employ.
2. I first received notice of the alleged delinquent filings when I received a letter from the State Board of Elections, dated September 4, 2012.
3. I have attempted to contact the ex-employee who was responsible for the filings to determine an explanation for the late filings. The individual has not returned my calls and I do not have her current email address.
4. At the time of the alleged delinquent filings, I was not involved in an election campaign and had no reason to hide the contributions. They were duly reported in my quarterly campaign disclosure reports.
5. I take the disclosure requirements seriously and have since secured the services of a professional who owns a business that files campaign disclosure reports for committees. I do not anticipate further delinquent filings.

Signed and Sworn to by:  
JOSEPH A. MOORE  
before me this 4 Day of  
OCTOBER, 2011 2012  
Elizabeth Vandercook  
Notary Public

Joseph A. Moore  
(Signature of Chairman/Treasurer)





**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 033**

Committee to Elect Daniel P Conness      ID# 9153  
**Respondent**

**REPORT OF HEARING OFFICER**

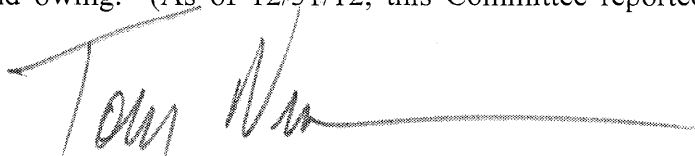
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 23, 2012, 6 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the June 2012 Quarterly Report. The total assessment is \$500.

Daniel P Conness, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Conness states that his is a small committee that sees activity once every four years for the most part. He says his wife used to help him with filing reports electronically, since he himself is not very computer literate. Mr. Conness says after a recent divorce it took time to find someone else to help him with the filing, and as a result of having to wait for that person's schedule, the Report was filed late.

Unfortunately, a lack of computer literacy is not a valid excuse for a late filing. Board staff can and does frequently provide a wide range of filing assistance to those who need it, and it is unfortunate that Mr. Conness did not choose to avail himself of that assistance. I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the prior assessment and the total \$500 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$1,700.42.)



---

Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 9153

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Committee to Elect Daniel P Conness  
1731 E Riverside Blvd, Apt E6  
Rockford, IL 61114-4829

Dear Committee to Elect Daniel P Conness:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 23, 2012, 6 day(s) late. As such, this committee has been assessed a fine of \$300.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2012	Quarterly	\$200
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$500</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JAN 14 PM 12:56

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Committee to Elect Daniel P. Conness )  
Respondent(s). )

Case No. 12 SQ 033

APPEAL AFFIDAVIT

I, Daniel P. Conness, the Chairman of the  
(Name) (Chairman/Treasurer)

Committee to Elect Daniel P. Conness

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached

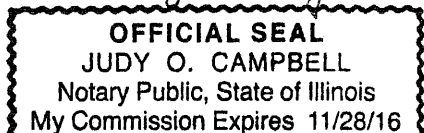
Daniel P. Conness  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Judy Campbell

before me this 11<sup>th</sup> Day of January, 2013

Notary Public  
(seal)



My name is Daniel P. Conness. I have a small campaign for a small local highway department. My Campaign is mostly used once every four years when my election comes up. My wife used to do all of the reporting because I am not very computer literate. Not just your program but computers in general. I am now recently divorced and had a hard time finding some body to help me. I was finally able to find some help with the computer, but I had to wait for his schedule and that's why my report was late.

Since then, I have made it a priority to learn how to operate a computer and was relieved that I was able to complete the 4<sup>th</sup> quarter report myself and file on time (January 7<sup>th</sup>).

I do realize that there has to be due dates and penalties for filing late, but as you can see my campaign is very small (\$1700.00 balance) and \$500.00 fine seems very high.

Thank you for your consideration.

A handwritten signature in black ink that reads "Daniel P. Conness". The signature is written in a cursive, flowing style.

Daniel P. Conness

Committee to elect Daniel P. Conness

1731 East Riverside Blvd E-6

Rockford, Illinois 61114

815-209-8073

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 JQ 029

Citizens to Elect Jon Stewart (ID12572)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 June Quarterly Report

The Report was received on July 28, 2012, 9 days late, resulting in a \$675 civil penalty. Additionally, this Committee was assessed a \$450 civil penalty (not appealed, not paid) for delinquently filing the 2011 June Quarterly Report; a \$100 civil penalty (not appealed, not paid) for delinquently filing the 2011 September Quarterly Report; and a \$1,575 civil penalty (not appealed, not paid) for delinquently filing the 2011 December Quarterly Report. The total assessment is \$2,800.

Jon Stewart, Chairman and Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on November 1, 2012.

Mr. Stewart was a candidate for the Illinois House in 1998 and 2008 and a Congressional candidate in 2000. He has been inactive in politics since 2008 but has maintained the Committee in an attempt to raise funds to pay off the Committee's debt. In January of 2012, his mother passed away and in February 2012, his father suffered a massive stroke. Consumed with obligations relating to his parent's illnesses and running a family business, Mr. Stewart missed the filing deadline. He stated there is no excuse for a delinquent filing; however this was not a willful violation and requests the fine be waived.

I sympathize with Mr. Stewart and his family during this difficult time and understand the emotional anguish experienced with the illness and death of a close relative. I do not believe that this violation was intentional however, the deadline was missed and civil penalties incurred. I recommended that the appeal be denied. If the Board accepts this recommendation, \$2,800 will be due. I further recommend that Mr. Stewart file a final report and reduce all residual funds to zero. Upon filing the final report, the fine will be abated should this Committee remained dissolved and refrain from establishing a successor Committee for a period for two years following the date of the Final Order imposing the fine. (As of 9/30/12, this Committee reported a funds available balance of \$2,162.29).



Tara Molnar – Hearing Officer

November 6, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/ 782-4141  
Fax: 217/ 782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312814-6440  
Fax: 312814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens To Elect Jon Stewart  
508 Mallard Ln  
Deerfield, IL 60015-4116

ID# 12572

7011 2970 0003 6439 4097

Dear Citizens To Elect Jon Stewart:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 28, 2012, 9 day(s) late. As such, this committee has been assessed a fine of \$675.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$450
July 1 through September 30 2011	Quarterly	\$100
October 1 through December 31 2011	Quarterly	\$1575
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$2800</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
 County of: COOK )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

**RECEIVED**

SEP 24 2012

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

State Board of Elections

vs. )

Case No. 12JQ029

Citizens To Elect Jon Stewart )  
 Respondent(s). )

APPEAL AFFIDAVIT

Jon Stewart the Chairman of the  
 (Name) (Chairman/Treasurer)  
Citizens To Elect Jon Stewart  
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I have experienced the death of my mother this Spring  
and my life has been overwhelmed with taking care  
of my father and his business. My father then suffered  
a stroke and was in intensive care. I humbly request  
the board hear my plea in person.

[Signature]  
 Signature of Chairman/Treasurer

Signed and Sworn to by John E Stewart  
 Notary Public, State of Illinois  
 My Commission Expires 10/20/14

before me this 24th Day of September 2012

Notary Public  
 (seal)

OFFICIAL SEAL  
 JOHN E STEWART  
 NOTARY PUBLIC STATE OF ILLINOIS  
 MY COMMISSION EXPIRES 10/20/14

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 SQ 094**

Citizens for Sweeney ID# 20608

**Respondent**

**REPORT OF HEARING OFFICER**


Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 18, 2012, 3 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee had previously been assessed a \$150 civil penalty (not appealed, stayed) for delinquent filing of the December 2011 Quarterly Report. The total assessment is \$450.

Michael Sweeney, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sweeney states that the weekend he planned to file the Report, his son was born, and as a result he forgot to file until the 18<sup>th</sup>. He adds that to ensure this doesn't happen again, he has closed the Committee.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty, and the total assessment of \$450 will be due and owing. However, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 12/20/12, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer

January 30, 2013



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 20608

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Sweeney  
PO Box 613  
Mount Prospect, IL 60056-0613

Dear Citizens for Sweeney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 18, 2012, 3 day(s) late. As such, this committee has been assessed a fine of \$300.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 1 through December 31 2011	Quarterly	\$150
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$450</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

W

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS  
13 JAN 16 PM 12:18

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Citizens for Sweeney )  
Respondent(s). )

Case No. 12 SQ 094

APPEAL AFFIDAVIT

I, Michael Sweeney, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens for Sweeney Committee  
(Name of the Committee)

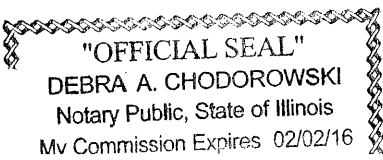
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The weekend I planned to file the Quarterly Report  
my son was born (10/12/12). It was very hectic leading  
up to this event & I forgot to file the report until the  
18th of Oct. To ensure that this never happens again  
I have closed the committee & request a stay of all fines.  
Respectfully Submitted,

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by: Debra A Chodorowski  
before me this 15 Day of Jan, 2013

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 095**

Citizens to Elect James C Schlader  
**Respondent**

ID# 20680

**REPORT OF HEARING OFFICER**

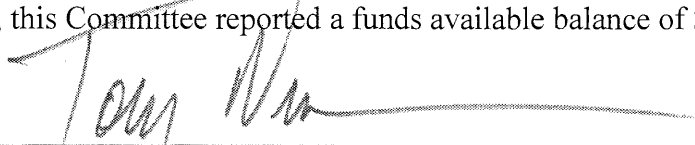
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on November 13, 2012, 19 days late, resulting in a civil penalty assessment of \$950. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$1,000.

James C Schlader, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schlader states that he has been helping his wife with her breast cancer surgery and treatment. He adds that he also underwent knee replacement surgery in October. He asks for reconsideration of the late filing penalty.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty, and the total assessment of \$1,000 will be due and owing. However, since this Committee has filed a Final Report (on 11/13/12), I further recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 12/31/12, this Committee reported a funds available balance of \$0.)



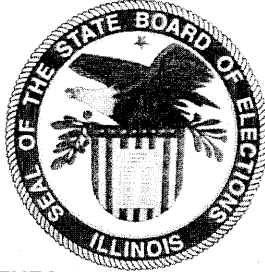
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Tom Newman – Hearing Officer  
January 29, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 20680

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens to Elect James C Schlader  
2123 Colby Dr  
McHenry, IL 60050-7119

Dear Citizens to Elect James C Schlader:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on November 13, 2012, 19 day(s) late. As such, this committee has been assessed a fine of \$950.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1000</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )

County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JAN 17 AM 8:45

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12SQ095CITIZENS TO ELECT JAMES C. SCHLADER  
Respondent(s). )

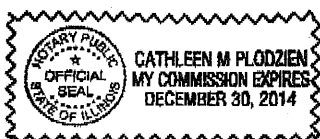
## APPEAL AFFIDAVIT

I, JAMES C. SCHLADER, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)CITIZENS TO ELECT JAMES C. SCHLADER  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I HAVE BEEN HELPING MY WIFE WITH HER BREAST CANCER  
SURGERY and Treatment. ALSO I HAVE HAD KNEE  
replacement surgery in OCTOBER.  
Please reconsider, REGARDS JIM SCHLADERJames C. Schlader  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Cathleen M. Plozdenbefore me this 17<sup>th</sup> Day of JANUARY, 2013Notary Public  
(seal)

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

12 JQ 089

Lake County Life PAC 22333  
**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The June 2012 Quarter Report

The June 2012 Quarterly Report was received by the Board on 7/31/12, 11 days late, resulting in a civil penalty assessment of \$825. In addition, this Committee had previously been assessed a \$50 civil penalty (appealed, denied, paid) for delinquently filing the June 2011 Quarterly Report; a \$525 civil penalty (appealed, denied, reduced, paid) for delinquently filing the March 2011 Quarterly Report; and a \$50 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012. The total assessment is \$825.

Robert Kadera, the treasurer of the committee, appeared at the November 2<sup>nd</sup> appeal hearing.

Mr. Kadera indicated that he volunteered to be the treasurer of this committee and he is an engineer by trade. Therefore, the campaign disclosure filings are rather foreign in nature to him. He has resigned from the position and he doesn't want to burden the committee with his mistake. He indicated that he is at the mercy of the Board and he requests that the fine amount be reduced for he is on a fixed income and he is going to pay the fine out of his own pocket.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the amount of \$825 will be due and owing. (As of 9/30/12, this Committee reported a funds available balance of \$2,395.26.)



Andy Nauman – Hearing Officer  
November 8, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/ 782-4141  
Fax: 217/ 782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312814-6440  
Fax: 312814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Lake County Life PAC  
100 N Atkinson Rd, Ste 112C  
Grayslake, IL 60030-7805

ID# 22333

7011 2970 0003 6439 4592

Dear Lake County Life PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 31, 2012, 11 day late. As such, this committee has been assessed a fine of \$825.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Schedule A-1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$875</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of: Cook )

CHICAGO

2012 OCT -4 AM 11:27

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Lake County Life PAC )  
Respondent(s). )

Case No. 12JQ089

APPEAL AFFIDAVIT

I, Robert A Kadera, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Lake County Life PAC ID # 22 333  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

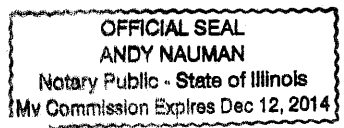
[Signature]

Signature of Chairman/Treasurer

Signed and Sworn to by:  
Robert Kadera

before me this 4 Day of October, 2012

Notary Public Andy Nauman  
(seal)





**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 SQ 127**

Friends of August H Griffin for Edgar County Clerk

ID# 22674

**Respondent**

**REPORT OF HEARING OFFICER**

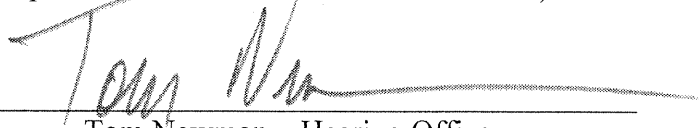
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 19, 2012, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a \$200 civil penalty (appealed, denied, paid) for delinquently filing the March 2012 Quarterly Report and a \$75 civil penalty (not appealed, paid) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$200.

August H Griffin, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Griffin states that as Edgar County Clerk, he was preoccupied with preparing for the November 2012 general election, and missed the filing deadline for the Report. He says there has been no activity in his account and he plans to close the Committee.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$200 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$20.)



Tom Newman – Hearing Officer

January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

December 18, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of August H Griffin for Edgar County Clerk ID# 22674  
7 Country Club Rd S  
Paris, IL 61944-1907

Dear Friends of August H Griffin for Edgar County Clerk:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 19, 2012, 4 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

W

State of Illinois )  
)  
County of: Edgar )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 JAN 14 PM 12:56**  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
Friends of August H. Griffin )  
for Edgar County Clerk )  
Respondent(s). )

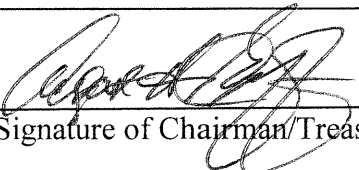
Case No. 12SQ 127

APPEAL AFFIDAVIT

I, August H. Griffin, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of August H. Griffin for Edgar County Clerk  
(Name of the Committee)

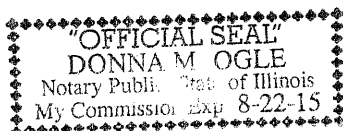
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As the Edgar County Clerk I was pre-occupied with preparing for  
the November 2012 General Election, and missed the deadline to file  
my report. As you can see, there has been no activity in my account and only  
a balance of \$20. I plan to close the account. Charging me a \$200 penalty  
will put a hardship on my family finances.

  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Donna M. Ogle  
before me this 10<sup>th</sup> Day of January 2013

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 129**

Friends of Chad Kalecki      ID# 22763  
**Respondent**

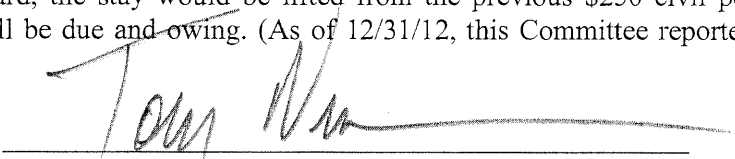
**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 18, 2012, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$400.

Chad L Kalecki, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kalecki states that the only Windows-based PC available to the Committee for filing its reports electronically is old and frequently has trouble connecting to the internet. He says the Report in question was filed late due to a significant computer problem which took several weeks to fix. Mr. Kalecki adds that the Committee has not been active since 2010 and he does not plan to participate in another election until at least 2014, but he says the Committee cannot be dissolved because of its outstanding liabilities.

While the computer problems described by Mr. Kalecki might normally qualify as an electronic filing defense, I am troubled by Mr. Kalecki's statement that those problems took several weeks to correct. This seems to indicate the Committee knew of the problems well before the filing deadline and could have explored other filing options, but chose not to. I must therefore recommend the appeal be denied. I should also point out that Mr. Kalecki is incorrect in his belief that the Committee's outstanding debt prevents it from being closed. It is permissible for a Committee to file a Final Report while still having unpaid debts, and remaining dissolved for a period of two years results in fines owed by the Committee being abated. It may be too late now for this to help the Committee if Mr. Kalecki plans to run for office in 2014, but the information is presented for future reference. If the appeal recommendation is accepted by the Board, the stay would be lifted from the previous \$250 civil penalty and the total assessment of \$400 will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$27.22.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 22763

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Chad Kalecki  
1003 Gerald Ave  
Saint Anne, IL 60964-5200

Dear Friends of Chad Kalecki:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 18, 2012, 3 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$250
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$400</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
)  
County of Kankakee )

STATE BOARD OF ELECTIONS

13 JAN -7 PM 2:09

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
)  
Friends of Chad Kalecki )  
)  
Respondent(s). )

Case No. 12 SQ 129

APPEAL AFFIDAVIT

I, Chad L. Kalecki, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of Chad Kalecki  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The only Windows based PC available to the Committee is several years old and frequently has trouble connecting to the internet. This computer holds the IDES software used to electronically file campaign disclosure reports. The other more reliable computers in use operate using Macintosh OSX, which is incompatible with the IDES software. The disclosure in question was filed late due to a significant computer malfunction which took several weeks to fix.

The committee has not participated in an election since 2010 and has a very low balance (<\$30.00). The implementation of this penalty would be a significant financial burden. There are currently no plans to participate in another election until at least 2014, however due to the outstanding liabilities the Committee has it cannot be dissolved. Therefore quarterly reports will continue to be filed. Please waive this penalty. I assure you the tardy filing will not happen again.

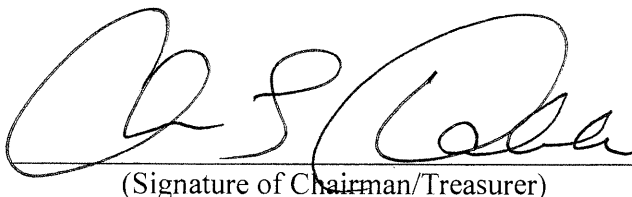
Signed and Sworn to by:

Angela Johnson

before me this 4 Day of

January, 2013

Notary Public

  
(Signature of Chairman/Treasurer)

OFFICIAL SEAL  
ANGELA L. JOHNSON  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 2-22-2014

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 JQ 115

Friends of Frank Soto (ID 23463)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 June Quarterly Report

The Report was received by the Board on July 17, 2012, 1 day late, resulting in a \$25 civil penalty. Additionally, this Committee was assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing a Schedule A-1 Report during 2011. The total assessment is \$75.

Robert Earl, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on November 2, 2012.

The Respondent did not appear at the appeal hearing. I contacted Mr. Earl for further information however he did not respond to my telephone call. The following recommendation is based on the written Appeal Affidavit.

In the Appeal Affidavit, Mr. Earl indicated that he did not have internet access for two days during the time the report was due.

I recommended that the appeal be denied for lack of adequate defense. If the Board accepts this recommendation, \$75 will be due. (As of 9/30/12, this Committee reported a funds available balance of \$7,256.)



---

Tara Molnar – Hearing Officer  
November 28, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Frank Soto  
Robert Earl Jr  
1971 Pinto Ct  
Wheaton, IL 60189

ID# 23463

Dear Friends of Frank Soto:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2011	Schedule A-1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$75</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet



State of Illinois )  
County of: DUPAGE )

STATE BOARD OF ELECTIONS

12 OCT -5 PM 1:14

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant )  
 )  
Vs. FRIENDS OF FRANK SOTO )  
 )  
Respondent(s). )

Case No. 12JQ115

APPEAL AFFIDAVIT

I, ROBERT EARL, the TREASURER of the  
(Name) (Chairman/Treasurer)  
FRIENDS OF FRANK SOTO  
(Name of the Committee)

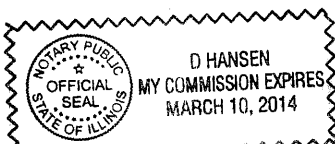
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

INTERNET ACCESS UNAVAILABLE FOR TWO DAYS

Robert Earl  
Signature of Chairman/Treasurer

Signed and Sworn to by: D Hansen  
before me this 3rd Day of October, 2012

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 147**

WSTU PAC  
**ID# 23609  
Respondent**

**REPORT OF HEARING OFFICER**

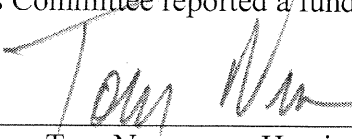
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 29, 2012, 10 days late, resulting in a civil penalty assessment of \$500.

Edward L. Hohman, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hohman states that the computer he used to file the Committee's reports was located in a shared, crowded space. He says as a result of the area being difficult to work in, he believes he accidentally filed a Schedule A for receipts, but not the D-2 form including the entire Quarterly Report. Mr. Hohman says once he learned the Report had not been received by the Board, he filed the Report that had actually been prepared two weeks earlier. He adds that the Committee has purchased a new laptop that can be used at home to prevent similar problems in the future.

In the IDIS electronic filing software, there is no way to file a Schedule A without filing a complete report. Records show the Committee did file a Schedule A-1 on the date of the filing deadline, but this was to report a large contribution as required. The Committee's Quarterly Report would have continued to be shown in the "Reports Due Now" window until it was actually filed, so I am at a loss to explain how Mr. Hohman could have thought the Quarterly Report had been filed. I recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$47,277.33.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 29, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 23609

WSTU PAC  
189 N Walnut St  
Elmhurst, IL 60126-2635

Dear WSTU PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 29, 2012, 10 days late. As such, this committee has been assessed a fine of \$500.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )

County of: DuPage )

STATE BOARD OF ELECTIONS

13 JAN 14 PM 12:56

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12SQ 147

Respondent(s). )

WSTU PAC  
23609

APPEAL AFFIDAVIT

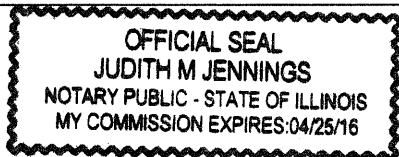
I, Edward L. Hohman the Chairman/Treasurer of the  
(Name) (Chairman/Treasurer)

WSTUPAC

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached



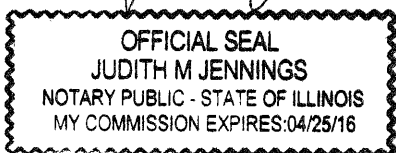
Edward L. Hohman  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Judith M. Jennings

before me this 11 Day of January, 2013

Notary Public  
(seal)



January 10, 2013

I, Edward L. Hohman, am the Chairman/Treasurer of the WSTU/PAC. I wish to explain why our 3<sup>rd</sup> Quarter Report was late. I am contesting this assessment and ask that you consider a stay of said assessment.

I attempted to file the report in a timely fashion. In order to file the report I am using a computer in the officers area of the West Suburban Teachers Union space. This is shared space with 4 other officers. It is a very crowded area and difficult to work in. While filing the report I accidentally hit **money in** which created a new Schedule A. I was unaware of this mistake. When I went to file I must have filed the Schedule A and not the form D2.

I was unaware that this occurred until you notified me by mail. I immediately traveled to the computer to see a red flag saying that I had never filed a 3<sup>rd</sup> quarter report. I verified this by consulting with your employee Andy Newman. At that time I simply filed the report as I had prepared it two weeks earlier.

To remedy this problem we have purchased a laptop computer that I can use at home. I can keep the records ongoing and not have to do batch input.

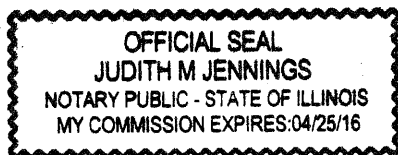
I ask that you consider our situation and wave the potential penalty.

Thank you,

*Edward L. Hohman*

Edward L. Hohman

Telephone 630-833-3432



STATE OF ILLINOIS	
COUNTY OF	<i>De Page</i>
Subscribed and sworn to before me this <u>11</u> day of <u>Jan.</u> , 20 <u>13</u> .	
County of Residence	Notary's signature
<u>Cook</u>	<i>Judith M. Jennings</i>
Commission of expiration date	Notary's name (printed)
<u>5/2016</u>	<u>Judith M Jennings</u>

150

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 AM 106

Committee to Elect Kevin W Horan (ID 23658)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for the Failure to File Schedule A-1 Reports

During the 1<sup>st</sup> Quarter of 2012, the Committee received four contributions from Marcella Meyer Horan, totaling \$23,500 and delinquenty reported them on a Schedule A-1 Report, resulting in a \$11,750 civil penalty assessment. Since this is the Committee's second Schedule A-1 Report violation, the fine is reduced to \$5,875 or 50% of the above-referenced amount. Additionally, this Committee was assessed a \$1,000 civil penalty (appealed, denied, reduced, stayed) for delinquenty filing a Schedule A-1 Report during 2011. The total assessment is \$6,875.

Marcella Horan, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on November 2, 2012.

Attorney James Nally appeared on behalf of the Respondent. Mr. Nally indicated the contributions were from the candidate's spouse. The Respondent assumed that unlimited contributions from the candidate's immediate family were not subject to Schedule A-1 Reporting requirements. Mr. Nally indicated that the Committee was established to support Mr. Horan's campaign for Cook County Subcircuit Judge in the 2012 General Primary. After losing the election, the Committee filed a final report on July 5, 2012.

I recommend the appeal be denied for lack of an adequate defense. 10 ILCS 5/9-10(c) requires Schedule A-1 Filing for contributions in excess of \$1,000 and indicates no exemption related to personal funds of the candidate or his or her immediate family. If the Board accepts this recommendation, the stay will be lifted from the previous assessed civil penalty and a total assessment of \$6,875 will be due. Since the Committee filed a Final Report, I further recommend that the assessment will be abated two years following the effective date of the Final Board Order if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). (As of 7/5/12, this Committee reported a funds available balance of \$0.)



Tara Molnar – Hearing Officer

November 26, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Committee to Elect Kevin W Horan  
27 N Wacker Dr, Ste 511  
Chicago, IL 60609-2800

ID# 23658

7011 2970 0003 6439 2871

Dear Committee to Elect Kevin W Horan:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Marcella Meyer Horan	3/6/2012	\$10,000	4/12/2012	25	\$5000
Marcella Meyer Horan	3/7/2012	\$2500	4/12/2012	24	\$1250
Marcella Meyer Horan	3/8/2012	\$1000	4/12/2012	23	\$500
Marcella Meyer Horan	3/13/2012	\$10,000	4/12/2012	20	\$5000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$11,750 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5875, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30, 2011	Schedule A-1	\$1000
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$6875</b>

CHICAGO

2012 SEP 19 PM 4:35

STATE BOARD OF ELECTIONS

State of Illinois )  
County of: COOK )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12AM106

Committee to Elect Kevin W. Horan )  
Respondent(s). )

APPEAL AFFIDAVIT

MARCELLA HORAN, the TREASURER of the  
(Name) (Chairman/Treasurer)

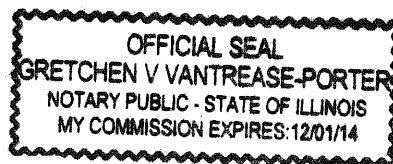
Committee to Elect Kevin W. Horan  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MITIGATING CIRCUMSTANCES.

Marcella Horan  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Gretchen V. Vantrese-Porter  
before me this 18 Day of September, 2012  
Notary Public  
(seal)





BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter of:

Comm TO ELECT KEVIN HORA  
Complainant(s)

v.

No: 12AM06

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

JAMES PNAWY PC

Address:

85 MICHIGAN #350

City/State/Zip:

CHICAGO IL 60603

Telephone:

312 422 5510

Fax No.:

312 346 7999

Email address:

jpnaw@att.net

Will you accept service of documents via E-mail transmission? Check one: ☒ Yes ☐ No

  
Signature

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 AD 007**

Citizens for Carl R Wasco for State Rep  
**Respondent**

ID# 23766

**REPORT OF HEARING OFFICER**

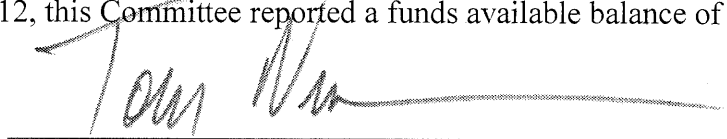
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,600 contribution on 10/10/12, and reported it on a Schedule A-1 received by the Board on 10/15/12, 1 day late, resulting in a civil penalty assessment of \$1,300.

Randy Larson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Larson states that the Committee entered the contribution and attempted to file the Report on October 10. He says at that time, they believed the A-1 had been successfully filed. When the Committee went to file another A-1 on October 15, Mr. Larson says they discovered that filing had both the old and newer contributions on it. As a result, he believes a computer error caused the original A-1 to not be filed, leading to the late filing.

It is unclear why the Committee believed the original A-1 filing had been successfully completed. The IDIS software provides a message saying "report filing successful" or "report filing failed" when a filing is attempted. Additionally, filing a Schedule A-1 in the IDIS software causes the A-1 to no longer appear on the "Reports Due Now" page, and removes a button at the bottom of the screen reading "A-1 due". I am aware of no circumstance under which this would not be true. Therefore, I can only conclude that user error resulted in the failure to timely file the required A-1, and as a result I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$130. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$5,566.01.)



Tom Newman – Hearing Officer  
January 30, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Carl R Wasco for State Representative ID# 23766  
5230 Spring Brook Rd  
Rockford, IL 61114-6350

7012 1010 0002 5104 4493

Dear Citizens for Carl R Wasco for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Realtors Political Action Committee	10/10/2012	\$2600	10/15/2012	1	\$1300

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1300 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$130, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
 County of: WINNEBAGO )

STATE BOARD OF ELECTIONS

13 JAN 15 PM 1:12

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )  
 )  
 Vs. )  
 )  
CITIZENS FOR CARL R. WASCO )  
 Respondent(s). )

Case No. 13 AD 007

## APPEAL AFFIDAVIT

I, RANDALL S. LARSON, the Treasurer of the  
 (Name) (Chairman/Treasurer)  
CITIZENS FOR CARL R. WASCO  
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

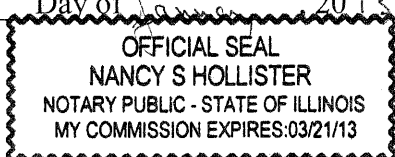
PLEASE SEE ATTACHED LETTER -  
THE COMMITTEE BELIEVES THERE WAS A COMPUTER ERROR  
WHEN THE IN KIND CONTRIBUTION FROM THE REALTORS  
PAC WAS ENTERED ON 10-10-12

Randall Larson  
 Signature of Chairman/Treasurer

Signed and Sworn to by:

Nancy S Hollisterbefore me this 10 Day of January, 2013


Notary Public  
 (seal)



Illinois State Board of Elections,

On 10-10-12 the committee entered the receipt of the in kind contribution from the REALTORS Political Action Committee for \$2,600.00 and followed the procedure to file the report to the board of Elections by hitting the file report icon. We did not print out the report at that time thinking it had been filed. Upon receipt of the contribution from the Policemen's Benevolent & Protective Association we filed that A-1 report and again hitting the file report icon. At that time the committee printed a copy of the A-1 for the file and discovered that the report also printed out what the committee thought had already been filed report of the in-kind contribution from the realtors. The Candidate Carl Wasco called and reported the error to the board of elections. We are filling this appeal because we believe it was just a computer error when the committee filled the in-kind report on 10-10-12. Thank you for your consideration.

Respectfully,

  
Randy Larson  
Treasurer

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 AM 112

Friends for Marty Moylan for State Representative (ID23798)

**Respondent**

**REPORT OF HEARING EXAMINER**


**Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report**

On February 1, 2012, the Committee received a \$1,000 contribution from the Democratic Majority and reported it on a Schedule A-1 Report on April 6, 2012, 39 days late resulting in a \$500 civil penalty assessment. As a first Schedule A-1 violation, the fine is reduced to \$50 or 10% of the assessment. Additionally, this Committee was assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the 2011 September Quarterly Report. The total assessment is \$100.

Michael Kasper, Attorney, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on November 2, 2012.

Mr. Kasper appeared on behalf of the Respondent and stated that the Committee inadvertently failed to report the contribution timely on the Schedule A-1 Report.

The Respondent expressed responsibility for the delinquent filing, therefore I recommend the appeal be denied. If the Board accepts this recommendation, the stay will be lifted from the previous assessment and a \$100 civil penalty will be due. (As of 9/30/12, this Committee reported a funds available balance of \$79,053.14)



Tara Molnar – Hearing Officer

November 30, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends for Marty Moylan for State Representative ID# 23798  
PO Box 204  
Des Plaines, IL 60016-0204

7011 2970 0003 6439 2932

Dear Friends for Marty Moylan for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Democratic Majority	2/1/2012	\$1000	4/6/2012	39	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through September 30 2011	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$100</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

State of Illinois )  
 )  
 County of Cook )

STATE BOARD OF ELECTIONS

12 SEP 27 AM 9:52

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )  
 )  
 Vs. )  
 )  
 Friends for Marty Moylan for State )  
 Respondent(s). Representative )

Case No. 12 AM 112

## APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
 (Name) (Chairman/Treasurer)

Friends for Marty Moylan for State Representative

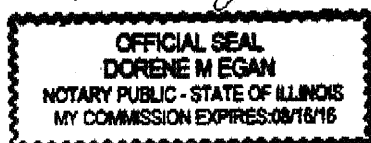
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Reasons to be presented at the hearing.

Signed and Sworn to by:  
Michael J. Kasper  
 before me this 26<sup>th</sup> Day of  
September, 2012  
Dorene M. Egan  
 Notary Public

[Signature]  
 (Signature of Chairman/Treasurer)





**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 AS 037**

Friends for Randy Frese ID# 23823

**Respondent**

**REPORT OF HEARING OFFICER**

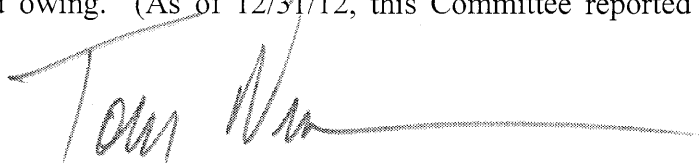
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received in-kind contributions of \$6,149, \$7,765.22 and \$7,313 on 9/19/12, and reported all three on a Schedule A-1 received by the Board on 10/15/12, 12 days late, resulting in a civil penalty assessment of \$10,613.61.

Dennis G Koch, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Koch states the in-kind contribution notifications were being sent to three different parties – the campaign manager, the candidate and the treasurer. He says during that time period multiple notices were received and the three contributions in question were thought to be duplicates of ones already filed. Mr. Koch adds that he was out of the office for business purposes during that time and did not discover that the A-1's needed to be filed until he returned.

The situation described by this Committee shows the importance of campaign coordination and proper oversight of committee receipts and expenditures. Unfortunately, while Mr. Koch's summary explains why the A-1 filing deadline was missed, it does not excuse the Committee from its obligation to timely report the in-kind contributions. Therefore, I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$1,061. If these recommendations are accepted by the Board, the \$1,061 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$749.76.)



Tom Newman – Hearing Officer

January 30, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 23823

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends for Randy Frese  
PO Box 1007  
Quincy, IL 62306-1007

7012 1010 0002 5104 3274

Dear Friends for Randy Frese:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Republican Party	9/19/2012	\$6149	10/15/2012	12	\$3074.50
Illinois Republican Party	9/19/2012	\$7765.22	10/15/2012	12	\$3882.61
Illinois Republican Party	9/19/2012	\$7313	10/15/2012	12	\$3656.50

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$10,613.61 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1061, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

W

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

13 JAN 15 PM 1:12

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends For Randy Frese )  
Respondent(s). )

Case No. 12AS037

APPEAL AFFIDAVIT

I, Dennis G Koch, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends For Randy Frese  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

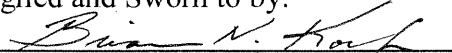
The in-kind contribution reports were being sent to three different parties - the campaign  
manager, the candidate and the treasurer. During that time period, multiple reports were  
received and the reports in question were thought to be duplicates of those already filed.

I was out of the office for business purposes during that time period and didn't notice  
that they needed to be filed until I returned. As soon as the error was discovered, the A-1

was filed.

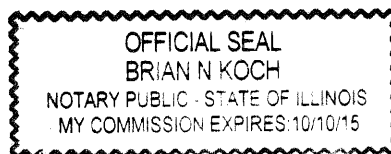
  
Signature of Chairman/Treasurer

Signed and Sworn to by:



before me this 15<sup>TH</sup> Day of Jan, 2013

Notary Public  
(seal)



STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

12 MQ 298

Citizens to Elect William S Boyd (ID 23872)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 March Quarterly Report

The Report was received by the Board on July 10, 2012, 59 days late, resulting in a \$5,000 civil penalty. Additionally, this Committee was assessed a \$400 civil penalty (not appealed, paid) for delinquently filing the 2011 December Quarterly Report; a \$75 civil penalty (not appealed, reduced, stayed) for a violation of contribution limits; a \$6,250 civil penalty (appealed, denied, reduced, paid) for the failure to file 8 Schedule A-1 Reports during the 4<sup>th</sup> Quarter of 2011. The total assessment is \$5,000

Linda Crane, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on November 2, 2012.

Linda Crane, Darrell Williams, the Committee's Treasurer, and Jarvis Houston, the Committee's Campaign Manager, appeared on behalf of the Respondent. Ms. Crane testified that in early spring of 2012, Mr. Williams disclosed to the Committee that he was diagnosed with prostate cancer. Prior to this time, Mr. Williams was seeking treatment however he had not informed the Committee of his diagnosis. Mr. Williams stated he had surgery in June of 2012. He filed the Quarterly report in July after he recovered from the procedure. Mr. Williams indicated that the cancer diagnosis and treatment was a personal hardship and he was unable to manage his illness combined with personal and business matters. Ms. Crane stated she was aware of the filing deadline but was unable to file the report in Mr. Williams's absence.

I sympathize with Mr. Williams during this difficult time and understand the personal distress experienced with a serious illness. I do not believe that this violation was intentional however, the deadline was missed and civil penalties incurred. I recommended that the appeal be denied. If the Board accepts this recommendation, \$5,000 will be due. (As of 9/30/12, this Committee reported a funds available balance of \$18,903.44.)



---

Tara Molnar – Hearing Officer

November 28, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens to Elect William S Boyd  
6969 South Constance Avenue  
Chicago, IL 60649

ID# 23872

7011 2970 0003 6439 2628

Dear Citizens to Elect William S Boyd:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 10, 2012, 59 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 5 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31, 2012	Quarterly	\$400
October 1 through December 31, 2012	Schedule A-1	\$625
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$6025</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

CHICAGO

2012 OCT -2 PM 3:20

STATE BOARD OF ELECTIONS

State of Illinois )  
)  
County of \_\_\_\_\_ )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. ) Case No. 12MQ298  
)  
William S. Boyd )  
Respondent(s). )

APPEAL AFFIDAVIT

I, Linda R. Crane, the Chairman of the  
(Name) (Chairman/Treasurer)

Citizens to Elect William S. Boyd

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

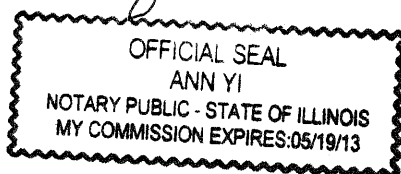
The filings were delayed because the Committee Treasurer was incapacitated by a cancer diagnoses that he did not disclose to the Committee. The Chairman of the Committee was unfamiliar with the filing procedures and was unable to complete the filings in a timely manner in the Treasurer's absence.

Signed and Sworn to by:

Ann Yi  
before me this 2nd Day of  
October, 2012

Notary Public

Linda R. Crane Boyd  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 AS 039**

Citizens for Charlie Meier ID# 24136

**Respondent**

**REPORT OF HEARING OFFICER**

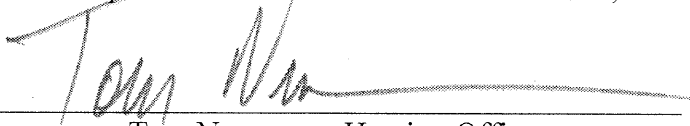
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$2,000 and two \$1,000 contributions on 9/25/12, and failed to report them on a Schedule A-1, resulting in a civil penalty assessment of \$2,000.

Jeff Rabenort, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rabenort states that the recent election cycle was the first at the state level for the Committee, and the missed A-1s were an inadvertent error. He says even a reduced penalty will create a financial situation for the Committee, so he asks that the penalty be waived.

While this may have been the first election at the state level for the Committee, the fact that numerous other A-1s were filed both before and after the contributions in question indicates the Committee was at least aware of the filing requirements. The missed A-1s were apparently an oversight. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend the penalty be reduced to 10% of the original assessment, or \$200. If these recommendations are accepted by the Board, the \$200 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds/available balance of \$22,308.33.)



Tom Newman – Hearing Officer

January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 24136

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Charlie Meier  
c/o Jeff Rabenort, Treas,  
203 S Abby Rd  
Okawville, IL 62271-2152

7012 1010 0002 5104 3298

Dear Citizens for Charlie Meier:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Marion Oelze	9/25/2012	\$2000	*	1	\$1000
IL State Medical Society PAC	9/25/2012	\$1000	*	1	\$500
Community Banc PAC	9/25/2012	\$1000	*	1	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.



State of Illinois )  
)  
County of : \_\_\_\_\_)

STATE BOARD OF ELECTIONS

13 JAN -2 PM 2:08

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
)  
Citizens for Charlie Meier )  
Respondent(s). )

Case No. 12 AS039

APPEAL AFFIDAVIT

I, Jeff Rabenort, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Citizens for Charlie Meier  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The recent election cycle was the first on the State level for Candidate Meier and his  
committee. Training on disclosure issues was obtained by the candidate and  
committee treasurer. All required filings, to date, have been made as required  
utilizing IDES software. The reporting in question was a first offense and inadvertent.  
Despite the reduced penalty, any penalty creates a financial situation. Committee thus  
prays for a waiver of this initial penalty and will be diligent in future disclosure.  
Thank you for your consideration.

Signature of Chairman/Treasurer

Jeffrey Rabenort  
618-559-4489 cell

Signed and Sworn to by:

Jeffrey Rabenort

before me this 31 Day of December, 2012

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 AJ 056**

Citizens for Plank

ID#24254

**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report**

The Committee received a \$1,320 contribution on 4/21/12 and reported it on a Schedule A-1 received by the Board on 7/15/12, 64 days late, resulting in a civil penalty assessment of \$660.

Jeremy Plank, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Plank states that the Committee was originally notified by the contributor that the In Kind Contribution was \$900 but later insisted the value was actually \$1,320. He asks the fine be waived and appeal be granted.

After contacting the Committee, Mr. Plank said he would provide a copy of the correspondence that shows the Committee was notified within 5 business days of filing the A-1. The Board never received this correspondence therefore I must recommend the appeal be denied for lack of an adequate defense. Furthermore, I recommend since there is no indication that this violation was anything other than inadvertent and this is the Committee's first violation involving a Schedule A-1, I also recommend that the penalty be reduced to 10% of the original assessment, or \$66. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$212.65.)



---

Kim Patrick – Hearing Officer  
January 25, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Plank  
228 E Lorena Ave  
Wood River, IL 62095-2020

ID# 24254

7011 2970 0003 6439 3786

Dear Citizens for Plank:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chris Ammann	4/21/2012	\$1320	7/15/2012	64	\$660

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$660 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$66, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
County of: \_\_\_\_\_)

**RECEIVED**

OCT - 4 2012

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

**State Board of Elections**

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 12AJ056

Jeremy Plank

Respondent(s).

APPEAL AFFIDAVIT

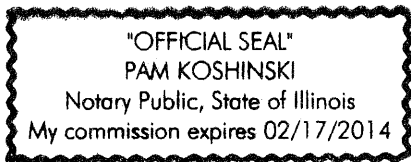
I, Jeremy Plank, the Chairman of the  
(Name) (Chairman/Treasurer)

Citizens For Plank

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

see attached letter.



[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Pam Koshinski

before me this 2 Day of Oct, 2012

Notary Public  
(seal)

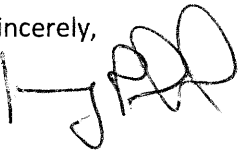
Jeremy Plank  
Citizens for Plank  
228 E Lorena Ave  
Wood River, IL 62095

September 17, 2012

To whom it may concern,

I would like to appeal the assessed fine related to ID #24254. This is an appeal of the fine related to not filing the A1 report within the 5 business day timeframe after receiving the in-kind contribution of a Wyndham Vacation as an auction item at my fundraiser. It was my original understanding that the contributor's cost in it was \$900. The contributor later insisted that the value and cost in it was, in fact, \$1,320. I would ask that the fine be waived and the appeal granted regarding this matter.

Sincerely,

A handwritten signature in black ink, appearing to read 'J. Plank', with a stylized flourish at the end.

Jeremy Plank  
Republican Candidate  
Madison County Auditor

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 176**

Citizens to Elect Nicole Gooden      ID# 24322  
**Respondent**

**REPORT OF HEARING OFFICER**

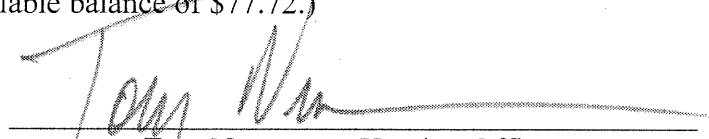
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 22, 2012, 5 days late, resulting in a civil penalty assessment of \$125.

Nicole Gooden, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Gooden states that she was not aware the filing deadline had passed because she did not receive proper notice from the Board. She says she has now noted the filing dates on her calendar for the rest of the year to help make sure she files on time.

The Committee was sent notice of the upcoming September Quarterly Report filing on 8/28/12. Additionally, each report packet sent to a committee includes a disclosure filing calendar, so the Committee had previously been notified of the filing deadlines. Those deadlines are also available on the Board's website. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$77.72.)



Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 24322

Citizens to Elect Nicole Gooden  
919 S 10th Ave  
Maywood, IL 60153-1924

Dear Citizens to Elect Nicole Gooden:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 22, 2012, 5 days late. As such, this committee has been assessed a fine of \$125.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

CHICAGO

State of Illinois )  
County of Cook )

2013 JAN -8 PM 2:56

~~STATE BOARD OF ELECTIONS~~

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Nicole Gooden )

Respondent(s) )

Case No

12SQ176

APPEAL AFFIDAVIT

I did not receive the proper notification  
that I normally receive that indicates my  
fees are due. I called the state board  
and found out after the fact. Please excuse  
the mistake. We will ensure this doesn't  
happen again. The board can not afford this  
mistake at this time. Please reconsider me.

Signature

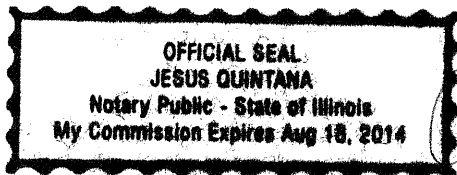
Nicole Gooden

Nicole Gooden

Date

1/5/2013

5th day of Jan. 2013





12/05/10

I Nicole Gooden, Chairman of Citizens to Elect Nicole Gooden would like the Board to reconsider the fine of 125.00 for my first mistake in filing. I was not aware the deadline had past and I did not receive proper notice. I have noted on my calendar all of the rest of filing dates for 2012 and plan to send in now the filing fee for this period ahead of time. My Committee can not afford this mistake and will do all possible to avoid being fine in the future.

Sincerely,

Nicole Gooden

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 AS 050**

Citizens to Elect Richard Schmack

ID# 24452

**Respondent**

**REPORT OF HEARING OFFICER**

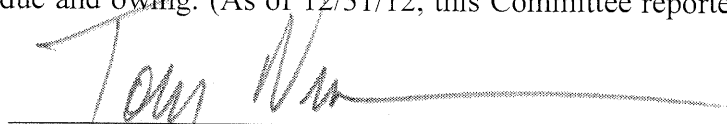
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received two \$1,000 contributions on 8/28/12 and reported both on a Schedule A-1 received by the Board on 10/4/12, 21 days late, resulting in a civil penalty assessment of \$1,000.

Cheryl Maness, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Maness states that there were computer problems when filing the Schedule A-1 electronically. She says a few days after the receipt of the two contributions, the Committee believed it electronically filed the A-1. Ms. Maness says on October 4 when they became aware that the report did not go through, they contacted support staff at the Board for assistance. She says after receiving help and having the filing password reset, the A-1 was successfully filed.

It is unclear why the Committee believed the original A-1 filing had been successfully completed. The IDIS software provides a message saying "report filing successful" or "report filing failed" when a filing is attempted. Additionally, filing a Schedule A-1 in the IDIS software causes the A-1 to no longer appear on the "Reports Due Now" page, and removes a button at the bottom of the screen reading "A-1 due". I am aware of no circumstance under which this would not be true. If an incorrect password was being used, the software would have indicated this as part of a message informing the committee their attempted filing was unsuccessful. Therefore, I can only conclude that user error resulted in the failure to timely file the required A-1, and as a result I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$100. If these recommendations are accepted by the Board, the \$100 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$506.59.)



Tom Newman – Hearing Officer

January 30, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 24452

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens to Elect Richard Schmack  
584 W State St  
Sycamore, IL 60178-1328

7012 1010 0002 5104 3410

Dear Citizens to Elect Richard Schmack:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Laborers' Local 32 PAC	8/28/212	\$1000	10/4/2012	21	\$500
North Central IL Laborers' District Council Political Action Committee	8/28/212	\$1000	10/4/2012	21	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

W

STATE BOARD OF ELECTIONS  
13 JAN 16 PM 12:18

State of Illinois )  
County of DeKalb )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
CITIZENS TO ELECT RICHARD SCHMACK )  
Respondent(s). )

Case No. 12 AS050

APPEAL AFFIDAVIT

I, Cheryl Maness, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens to Elect Richard Schmack  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

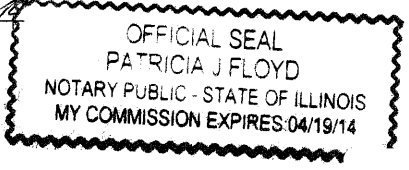
There were computer problems when filing the A-1 Report electronically. On August 28, 2012, a contribution was made of \$1,000.00 from both the Laborers' Local 32 PAC and the North Central IL Laborers' District Council Political Action Committee. A few days following the receipt of these contributions, it was believed that the schedule A-1 Report was electronically filed. On or about October 4, 2012, the Committee became aware that the report did not go through electronically, and contacted technical support at the Board of Elections for assistance. The technician provided support, then reset the password, then the report was sent while the technician was still on the telephone to ensure it went through.

Signed and Sworn to by:

Cheryl Maness  
before me this 10<sup>th</sup> Day of  
January, 2013

Cheryl Maness  
(Signature of Chairman/Treasurer)

Patricia J. Floyd  
Notary Public



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 SQ 203**

Committee to Elect Cheryl L Jones      ID# 24606  
**Respondent**

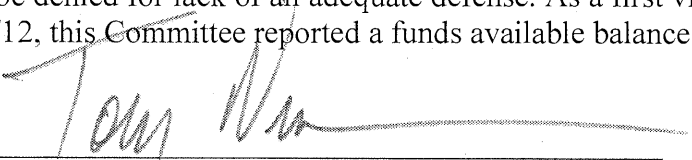
**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2012 Quarterly Report

The Report was received by the Board on October 29, 2012, 10 days late, resulting in a civil penalty assessment of \$250.

Cheryl L Jones, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Jones states that the Committee filed its Statement of Organization on 10/5/12, and mistakenly believed that it therefore would not be required to file a Quarterly Report until the fourth quarter of 2012. She adds that the Committee did not receive its political committee ID number from the Board until on or about 10/15/12.

The committee ID number is not essential for filing a Quarterly Report. Although the Committee did file the Statement of Organization in the fourth quarter, the creation date listed for the Committee was 9/28/12, meaning a Quarterly Report was required for the third quarter. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$2.40.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 29, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 24606

Committee to Elect Cheryl L Jones  
Karen J Smith  
1044 E Hickory  
Kankakee, IL 60901

Dear Committee to Elect Cheryl L Jones:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 29, 2012, 10 days late. As such, this committee has been assessed a fine of \$250.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of : \_\_\_\_\_ )

**RECEIVED**

JAN 17 2013

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Cheryl L. Jones )  
Respondent(s). )

Case No. 12 SQ 203

24606

APPEAL AFFIDAVIT

I, Cheryl L. Jones, the Chairman of the  
(Name) (Chairman/Treasurer)

Committee To Elect Cheryl L. Jones

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(1) Respondent did not receive Candidate's number dated:

10/12/2012 received on or about 10/15/2012. (2) We misunderstood

that the filing of our statement of organization on 10/05/2012

was to be used as the filing financial report, rather than the date

the committee was created on 09/28/2012. We believed the 4th

quarter was to be the filing rather than the 3rd quarter.

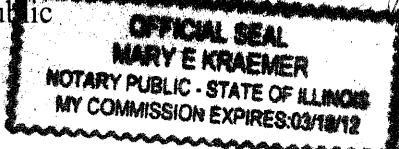
Cheryl L. Jones  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Mary E. Kraemer

before me this 16th Day of January, 2013

Notary Public  
(seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 AD 001**

Grundy County Democratic Central Committee      ID# 174  
**Respondent**

**REPORT OF HEARING OFFICER**

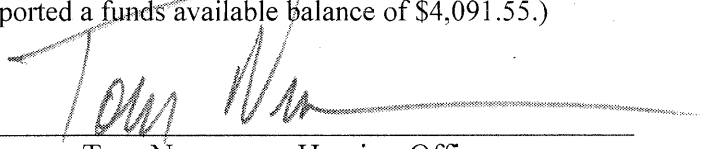
**Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports**

The Committee received two \$1,000 contributions on 10/13/12 and two \$1,000 contributions on 10/15/12, and reported all four on a Schedule A-1 received by the Board on 10/18/12, two days and one day late, respectively, resulting in a civil penalty assessment of \$2,000.

Yolanda Wilhelm, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Ms. Wilhelm states that when she entered the receipts into the IDIS electronic filing software her "reports due now" did not display a report needing to be filed. She says when she went back into the software on October 18, she saw the Schedule A-1 and filed it at that time. Ms. Wilhelm adds that after filing the A-1, she realized one of the four contributions in question was actually for \$100, not \$1000, and had been entered incorrectly. She attaches a copy of the check, showing an amount of \$100, and adds that the amount has been corrected on the Committee's Quarterly Report.

In regards to the \$100 contribution, the evidence shows the amount was initially entered incorrectly, and no A-1 filing was actually required for the contribution. I recommend the appeal be granted in regards to this one contribution. However, as for the other three contributions, whether the electronic filing software showed a Schedule A-1 due or not, the responsibility for filing the report was solely the Committee's. A committee that files its reports on paper cannot use as an excuse a claim that it was not reminded of the need to file a Schedule A-1 – nor should a committee that files electronically be able to use such an excuse. If there was an issue with the IDIS software preventing the A-1 filing, it should have been addressed at the time it occurred. I therefore recommend the appeal in regards to the remaining three \$1,000 contributions be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$150. If these recommendations are accepted by the Board, the \$150 civil penalty will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$4,091.55.)



Tom Newman – Hearing Officer  
January 25, 2013



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 174

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Grundy County Democratic Central Cmte  
PO Box 602  
Morris, IL 60450-0602

7012 1010 0002 5104 4424

Dear Grundy County Democratic Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
American Federation of Teachers Local 604	10/13/2012	\$1000	10/18/2012	2	\$500
IBEW	10/13/2012	\$1000	10/18/2012	2	\$500
Laborer's Union Local 75	10/15/2012	\$1000	10/18/2012	1	\$500
Will Gr Co Cent Trades/Labor	10/15/2012	\$1000	10/18/2012	1	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

State of Illinois )  
 )  
 County of: Grundy )

STATE BOARD OF ELECTIONS

12 DEC 28 PM 12:20

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )

Vs. )  
 )  
Grundy County Democratic Central Comm. )  
 Respondent(s). )

Case No. 13AD001

## APPEAL AFFIDAVIT

I, Yolanda L Wilhelm, the Treasurer of the  
 (Name) (Chairman/Treasurer)

Grundy County Democratic Central Committee  
 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

when i originally entered the amounts in question the "Reports due now" didn't display my  
reports. I went back into the report on the 18th of Oct. and saw the A1's so at  
that point i filed them. However, after reviewing the items I noticed that the contribution for  
will Co. Co. Cent Trades/Labor was actually \$100 and not \$1000. I have attached a copy of  
the actual check to reflect the error and corrected it on 12/15.

Yolanda L Wilhelm  
 Signature of Chairman/Treasurer

Signed and Sworn to by:

Katherine Anne Bodine

before me this 28th Day of December, 2012

Notary Public  
 (seal)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**12 AJ 017**

Citizens for Patrick O'Connor  
**Respondent**

ID# 4353

**REPORT OF HEARING OFFICER**

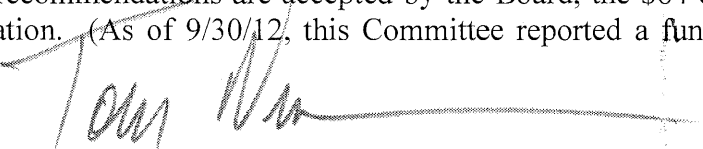
**Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports**

The Committee received a \$1,000 contribution on 4/9/12 and a \$1,287.77 contribution on 4/10/12, and reported both on a Schedule A-1 received by the Board on 5/10/12, 18 and 17 days late respectively, resulting in a civil penalty assessment of \$1,143.89.

Tom Lydon, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Lydon states the first contribution, for \$1,000, was reported incorrectly with the wrong date listed. He says the check from the contributor was dated 5/8/12, the Committee reported it on a Schedule A-1 on 5/16/12 and deposited the money on 5/17/12. Mr. Lydon says the second contribution, for \$1,287.77 was a wire transfer from Croatia that the Committee was not notified about until several days later when they received their bank statement. He says not knowing what the funds were to be used for, the Committee waited to report the contribution until the candidate returned from Croatia.

In regards to the first contribution, Mr. Lydon was able to provide evidence in the form of deposit records, showing the \$1,000 contribution deposited into the Committee's bank account on 5/17/12. Since this is defined as the date of receipt, the A-1 was actually reported early rather than late. I recommend the appeal be granted for this contribution. However, in regards to the second contribution, it is the Committee's responsibility to keep aware of money being deposited into its bank account, whether by wire transfer or some other method, so the contribution should have been reported within the required 5 business days. Additionally, not knowing what the funds were to be used for is no excuse for failing to report the contribution. For these reasons, I recommend the appeal be denied for this contribution. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$64. If these recommendations are accepted by the Board, the \$64 civil penalty will be stayed as a first violation. (As of 9/30/12, this Committee reported a funds available balance of \$135,820.42.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
November 30, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Patrick O'Connor  
2930 W. Catalpa Ave.  
Chicago, IL 60625-3905

ID# 4353

Dear Citizens for Patrick O'Connor:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Devon Realty	4/9/2012	\$1000	5/10/2012	18	\$500
Zagrebacka Bank	4/10/2012	\$1287.77	5/10/2012	17	\$643.89

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1143.89000000000001 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$114, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois )

STATE BOARD OF ELECTIONS

County of: COOK )

12 OCT -3 PM 1:53

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 12-A-2017

Chen for Patrick )

Respondent(s). )

O'Connor )

APPEAL AFFIDAVIT

I, THOMAS LYNN, the TREASURER of the  
(Name) (Chairman/Treasurer)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Margaret A. Dever

before me this 1st Day of Oct, 2012

Notary Public  
(seal) "OFFICIAL SEAL"  
MARGARET A. DEVER  
Notary Public, State of Illinois  
My Commission Expires 10/16/12



STATE BOARD OF ELECTIONS

12 OCT -3 PM 1:53

## 40th WARD REGULAR DEMOCRATIC ORGANIZATION

**Alderman Patrick J. O'Connor, Committeeman**

**5850 N. Lincoln Ave., Suite 101, Chicago, IL 60659**

**Phone (773)769-1100 Fax (773)769-3804**

**[www.aldermanoconnor.com](http://www.aldermanoconnor.com)**

® 483 MBE

October 1, 2012

An error was committed for the Devon Realty. Their check was dated 5/8/12. We filed a A-1 on 5/16/12 and the funds were deposited on 5/17/12. It was impossible that we received the check on 4/9/12.

Zagrebacka Bank was a wire transfer from Croatia on 4/6/12. We however were not notified until several days later about this transfer/deposit when we received our statement. Not knowing what the funds were to be used for, we waited for the Alderman to return from Croatia .

Very truly yours,

Tom Lydon  
Treasurer

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877-367-8370

www.fosterbank.com

604 00001 01

ACCOUNT: XXXXXXXXXXXX3289

DOCUMENTS:

PAGE: 1

05/31/2012

32

CITIZENS FOR OCONNOR COMMITTEEMAN 40TH  
5850 N LINCOLN AVE  
CHICAGO IL 60659-4613

30  
3  
29

=====

BUSINESS CHECKING ACCOUNT XXXXXXXXXXXX3289

=====

MINIMUM BALANCE

87,428.13

LAST STATEMENT 04/30/12

95,383.61

3 CREDITS

19,900.11

29 DEBITS

18,611.70

THIS STATEMENT 05/31/12

96,672.02

REF #.....DATE.....AMOUNT REF #.....DATE.....AMOUNT REF #.....DATE.....AMOUNT

05/17 7,885.36 05/24 8,064.75 05/31 3,950.00

CHECKS		
CHECK #..DATE.....AMOUNT	CHECK #..DATE.....AMOUNT	CHECK #..DATE.....AMOUNT
8115*05/01 300.00	8145 05/10 416.67	8155 05/18 1,120.00
8135*05/07 264.90	8146 05/04 29.44	8156 05/22 5,835.00
8137 05/07 716.91	8147 05/22 100.00	8157 05/21 375.00
8138 05/08 166.89	8148 05/11 450.00	8158 05/24 1,675.00
8139 05/07 306.13	8149 05/14 489.06	8159 05/29 475.00
8140 05/07 980.46	8150 05/29 9.99	8160 05/29 55.00
8141 05/07 45.60	8151 05/17 35.00	8161 05/29 100.00
8142 05/07 629.60	8152 05/21 40.00	8162 05/29 450.00
8143 05/07 1,076.49	8153 05/21 72.35	8163 05/29 301.81
8144 05/03 2,083.33	8154 05/23 12.07	

(\*) INDICATES A GAP IN CHECK NUMBER SEQUENCE

--- ITEMIZATION OF OVERDRAFT AND RETURNED ITEM FEES ---

*****		
	TOTAL FOR THIS PERIOD	TOTAL YEAR TO DATE
* TOTAL OVERDRAFT FEES:	.00	.00
* TOTAL RETURNED ITEM FEES:	.00	.00
*****		

\* \* \* CONTINUED \* \* \*



5005 Newport Drive • Rolling Meadows, IL 60008 • Phone: 773-688-7700

Member  
**FDIC**

## Deposits

08-Nov-12

Deposit #	Date	Reporting Name	Check #	Amount
737				
1.	5/9/2012	PETCO AUTO PARTS	3569	\$100.00
2.	5/9/2012	FRATERNAL ORDER OF POLICE	1891	\$100.00
3.	5/9/2012	MR. EMIL GIESE	5218	\$400.00
4.	5/16/2012	DEVON REALTY INC.	3298	\$1,000.00
5.	5/9/2012	MR. CORNELL KRIEGER	676	\$100.00
6.	5/9/2012	KOLPAK & LERNER ATTY. AT LAW	21088	\$100.00
7.	5/9/2012	MR. MATT BAULER	XASH	\$100.00
8.	5/9/2012	Mr. JOSEPH GUZZO JR.	32435	\$600.00
9.	5/9/2012	Mr. JOHN RUTHERFORD	2795	\$100.00
10.	5/9/2012	Mr. JAMES BARTON	1383	\$300.00
11.	5/9/2012	Mr. JOHN RUTHERFORD	2794	\$150.00
12.	5/9/2012	RHINO HOLDINGS/PLANET FITNESS	1369	\$100.00
13.	5/10/2012	MR. AND MRS. MICHAEL ACCIARI	2147	\$100.00
14.	5/10/2012	CLANCY'S PUBS OF CHICAGO	5782	\$100.00
15.	5/10/2012	KIKO MEAT MARKET INC.	3166	\$150.00
16.	5/10/2012	MR. PAUL DESTEFANO	321	\$100.00
17.	5/10/2012	MR. PAUL DESTEFANO	321	\$300.00
18.	5/16/2012	THE DRUNKEN PIG	3853	\$100.00
19.	5/16/2012	NORM'S AUTOMOTIVE	1377	\$200.00
20.	5/16/2012	Mr. JOSEPH GUZZO JR.	32445	\$300.00
21.	5/16/2012	Mr. TERRY DIAMOND	1014	\$100.00
22.	5/16/2012	MR. DANIEL MURPHY	4728	\$100.00
23.	5/13/2012	Mr. STEVAN PROSTRAN	9832	\$100.00
24.	5/13/2012	MR. RORY TRAUSSCH	158	\$100.00
25.	5/13/2012	Mr. and Mrs. TONY CONZALES	2737	\$100.00
26.	5/13/2012	Ms. MARY ANN ROTHWALZ	1290	\$150.00
27.	5/13/2012	Mr. RICHARD KRUSE ESQ.	2731	\$100.00
28.	5/13/2012	ST. DEMETRIOS GREEK ORTHODOX CHUR	1943	\$100.00
29.	5/13/2012	LATIN BLISS	7000	\$100.00
30.	5/17/2012	MR. & MRS. PATRICK J. O'CONNOR	288688	\$2,235.36
31.	5/17/2012	Mr. THOMAS HERGENREDER	2519	\$300.00
32.	5/2/2012	MRS. BARBARA O'CONNOR	4624	\$100.00
33.	5/2/2012	COMCAST	208805805	\$100.00

\$7,885.36

\$7,885.36



NOV-9-2012 16:02 FROM:  
Nov. 8. 2012 7:57PM

40th Ward

TO: 12177825959

P. 4/4

No. 4941 P. 2

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bank's deposit account rules.

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05/17/2012

3:56 PM

Br# 1 TTR 105 529# 57

Account XXXXX3289

DDA Deposit 7,885.35



31

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

12 AJ 023

Citizens for Dan Patlak 13999  
**Respondent**

**REPORT OF HEARING EXAMINER**

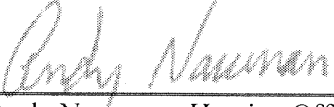
Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

This Committee received a \$1,962.36 in-kind contribution on 5/1/12 and reported it on a Schedule A-1 received by the Board on 6/4/12, 18 days late, resulting in a civil penalty assessment of \$981. Additionally, the Committee had previously been assessed a \$500 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> quarter of 2012; a \$60 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> quarter of 2012. The total assessment is \$981.

Dan Patlak, the candidate, appeared at the December 17<sup>th</sup> appeal hearing.

Mr. Patlak indicated that he was not aware that in-kind contributions were required to be filed on a Schedule A-1. Therefore, the delinquent filing was unintentional. Mr. Patlak requested the Board to grant this appeal. The State Board of Elections sent Mr. Patlak a notification that his committee had exceeded contribution limits, and he embarrassingly returned those contributions to the contributors as the letter indicated. However, the letter was sent in error as his opponent had already filed a notification of self funding with the State Board of Elections and contribution limits were already lifted. Therefore, Mr. Patlak indicated that the Board in essence caused his Committee and himself the embarrassment of explaining to his contributors that he violated the law when he did not and thus caused him to forfeit those contributions.

It is ultimately the Committee's responsibility to ensure reports are filed in a timely manner. I realize that the notice of contribution limits violation was sent to this Committee when it should not have been and I apologized to Mr. Patlak. I understand that in response to the letter the Committee returned the contributions that were identified in the letter to the contributors and that the Committee now has no recourse for recovering those contributions within contribution limits. However, I believe the contribution limits matter has no correlation with the Schedule A-1 violation that the Committee is appealing; therefore, I recommend the appeal be denied for a lack of an adequate defense. The Board may consider this unique situation; however, in my opinion the two matters are separate and not dependent on the other. Furthermore, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$491. If this recommendation is accepted by the Board, the \$491 civil penalty will be due and owing. (As of 9/30/12, this Committee reported a funds available balance of \$213,466.96.)

  
Andy Nauman – Hearing Officer  
December 19, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



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September 4, 2012

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Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens For Dan Patlak  
113 Berkshire Dr  
Wheeling, IL 60090-3956

ID# 13999

7011 2970 0003 6439 3465

Dear Citizens For Dan Patlak:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Gary Smith	5/1/2012	\$1962.36	6/4/2012	18	\$981

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$981 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$491, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31, 2012	Schedule A-1	\$560
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1051</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois )  
County of: \_\_\_\_\_ )

CHICAGO  
2012 OCT -2 AM 11:30

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
*Citizens for Dan Patlak* )  
Respondent(s). )

Case No. 12AJ023

13994

APPEAL AFFIDAVIT

I, Dan Patlak, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Citizens for Dan Patlak  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

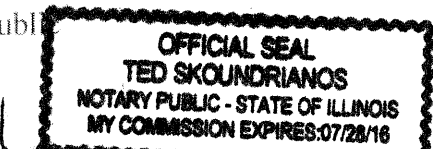
Per Section 125.425 Subsection d)(5)(C) I request the Board Waive the fine based on the following factors:

- ① The committee did in fact disclose the contribution however late.
- ② As this was an in-kind contribution, the committee did not know it had to be disclosed as an A-1 prior to the quarterly report & did immediately disclose the contribution upon being informed of this by a member of the Board disclosure division

Dan Patlak  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Ted Skoundrianos

before me this 30 Day of November 2012

Notary Public (seal)  


**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 MA 088

Palatine Township Republican Organization 22664

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing

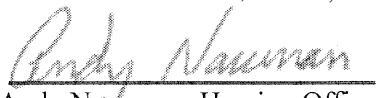
A Schedule A-1 in the 1<sup>st</sup> & the 2<sup>nd</sup> Quarter of 2012

This committee received a \$20,000 contribution on 1/4/12, and reported this contribution to the Board 64 business days late, resulting in a civil penalty assessment of \$10,000. This committee also received a \$4,500 contribution on 4/1/12, and reported this contribution to the Board 6 business days late, resulting in a civil penalty assessment of \$2,250. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2011. The total assessment is \$12,300.

The Respondent was represented by attorney A. Christine Svenson at the rescheduled 1/9/13 appeal hearing. Also attending was Lou Sands the treasurer of the committee.

Lou Sands indicated that he did not understand the Schedule A-1 filing requirements and regretfully did not get a Schedule A-1 filed timely for the Ken & Anne Griffin \$20,000 contribution. Additionally Mr. Sands indicated that his experience with the IDIS program was a trial and error type of a process. Christine Svenson indicated that the Committee did not list the deposit date for the receipt date for the Northwest Suburban Rep Lincoln Day Dinner Com contribution. Exhibit 1 shows that the committee made a deposit of \$4,500 on 4/16/12, and the contribution would therefore have been reported on a Schedule A-1 in a timely manner.

The treasurer is responsible for the filing of all reports and in the opinion of the hearing officer not understanding the filing requirements would not be an adequate defense. I recommend the appeal be denied in relation to the Ken & Anne Griffin \$20,000 contribution. However, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$5,000. In relation to the Northwest Suburban Rep Lincoln Day Dinner Com contribution I recommend the appeal be granted. The Committee did not introduce a deposit slip however Exhibit 1 shows that a \$4,500 deposit was made on 4/16/12 and when this contribution amount is reviewed in conjunction with their amended Quarterly Report this is the only reported receipt that could match up with this deposit. Therefore, I believe the Committee filed a Schedule A-1 for this contribution in a timely manner on 4/16/12. However, the Committee still needs to amend the June 2012 Quarterly Report to reflect the receipt date of 4/16/12. Therefore, I recommend the Committee amend the June 2012 Quarterly Report to reflect the actual receipt date within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. If this recommendation is accepted by the Board, the stay would be lifted from the previously assessed \$50 civil penalty and the amount of \$5,050 will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$17,782.53).

  
Andy Nauman – Hearing Officer  
February 5, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
September 4, 2012

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Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Palatine Township Republican Organization ID# 22664  
839 N Quentin Rd  
Palatine, IL 60067-2031

7011 2970 0003 6439 3113

Dear Palatine Township Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 2012 first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ken & Anne Griffin	1/4/2012	\$20,000	*	64	\$10,000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$10,000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5000, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Northwest Suburban Rep Lincoln Day Dinner Com	4/1/2012	\$4500	4/16/2012	6	\$2250

Your committee is subject to a fine of \$2250 for delinquent filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2250, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$7250.**

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS

12 SEP 21 PM 2:50

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
Palatine Township Republican Organization )  
Respondent(s). )

Case No. 12MA088

APPEAL AFFIDAVIT

I, Lou Sands, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Palatine Township Republican Organization  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In the matter of the A-1 on 1/4/12, this information had been put into  
the IDIS software and we believed the A-1 had been filed.

In the matter of the A-1 on 4/16/12, I have been inputting the date  
the check was written into the software. That check was written on 4/1/12.

It was deposited the day it was received, Thursday 4/12, and we filed on  
Monday, 4/16.

Lou Sands  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Leeticia Perez  
before me this 18<sup>th</sup> Day of Sept, 2012

Notary Public  
(seal)  
OFFICIAL SEAL  
LEETICIA PEREZ  
Notary Public - State of Illinois  
My Commission Expires Nov 16, 2015



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PAID TO THE ORDER OF  
PALATINE TOWNSHIP  
REPUBLICAN ORGANIZATION  
BY N. QUENTIN RUI  
PALATINE, VA 22061-2012

Statement Period: 04/01/2012 - 04/30/2012

Account Type: Bus Basics Checking

Account Number: [REDACTED]

Banking Center: Palatine

Banking Center Phone: 847-824-2104

Commercial Client Services: 1-800-559-5353

www.53.com

Exhibit 1

### Account Summary

04/01	Beginning Balance	\$5,066.54	Number of Checks	30
	Checks	\$3,506.26		
	Withdrawals / Credits	\$7.45		
	Deposits / Credits	\$3,522.64		
04/30	Ending Balance	\$6,522.64		

### Checks

3 checks totaling \$3,506.26

Number	Date Paid	Amount	Number	Date Paid	Amount	Number	Date Paid	Amount
10641	04/01	\$1,000.00	10642	04/01	\$1,000.00	10643	04/01	\$1,506.26

### Deposits / Credits

2 items totaling \$4,962.56

Date	Amount	Description
04/01	\$3,522.64	DEPOSIT
04/01	\$7.45	DEPOSIT

### Daily Balance Summary

Date	Amount	Date	Amount
04/01	\$5,066.54	04/01	\$5,066.54
04/01	\$5,066.54	04/01	\$5,066.54

### Real Life Rewards Summary

Account	Point Balance	As of Date	Points Set to Expire	Expiration Date
0000000000000000	0	04/30/12	0	04/30/12

Continuously earning real life rewards points on every purchase and use your points online at [fifththirdrewards.com](http://fifththirdrewards.com). For additional questions or support please visit [fifththirdrewards.com](http://fifththirdrewards.com) or call 1-800-559-5353.

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70-2504/719  
1228197

NORTHWEST SUBURBAN REPUBLICAN  
LINCOLN DAY DINNER COMMITTEE

1120

DATE Apr 18, 2012

PAY TO THE ORDER OF Palatine Twp. Rep. Org \$ 4500.00

Four Thousand Five Hundred and No/100 DOLLARS

American Chartered Bank  
3199 East Higgins Road • Schaumburg, Illinois 60173  
847.537-5460 • Member FDIC

MEMO Prelim Dist #1 Joseph C. Folini AP

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter of:

IL SBE

Complainant(s),

Vs.

Case No. 12 MA 088

Palatine Twp Rep. Org.

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

A. Christine Svenson PTRD  
(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

A. Christine Svenson

Address:

505 N. La Salle #350

City/State/ZIP

Chgo IL 60651

Telephone:

312 467 2900

FAX No.:

312 467 2902

EMAIL:

christine@svensonswathes.com

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No

A. Christine Svenson  
Signature

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 MA 114**

Friends of Natalie Manley ID# 23984

**Respondent**

**REPORT OF HEARING OFFICER**

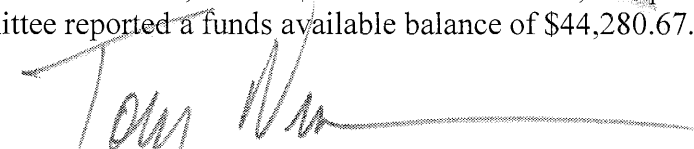
**Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports**

The Committee received a \$1,000 in-kind contribution on 2/1/12 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500. The Committee also received a \$1,000 contribution on 5/4/12, and reported it on a Schedule A-1 received by the Board on 5/21/12, 6 days late, resulting in a civil penalty assessment of \$500. The Committee also received a \$1,223.45 in-kind contribution on 7/31/12, and reported it on a Schedule A-1 received by the Board on 8/8/12, 1 day late, resulting in a civil penalty assessment of \$611.72. The total assessment is \$1,611.72.

Mary Lancaster, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Lancaster states that in regards to the \$1,000 in-kind contribution and the \$1,223.45 in-kind contribution, the Committee mistakenly reported the two in-kinds using the date on the notification form, rather than the date that notification was actually received from the contributor. She includes copies of the two notification forms. In regards to the \$1,000 contribution, she admits the Committee failed to timely file the Schedule A-1.

Based on the evidence provided by the Committee, it appears the two in-kind contributions were in fact timely filed, since the date the notice of the in-kind is received is considered to be the date of receipt. I recommend the appeal be granted in regards to the two in-kind contributions. I recommend the appeal be denied for the remaining contribution. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 12/31/12, this Committee reported a funds available balance of \$44,280.67.)



Tom Newman – Hearing Officer

January 30, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Natalie Manley  
3107 Ingalls Ave, Apt 3A  
Joliet, IL 60435-9315

ID# 23984

7012 1010 0002 5104 3670

Dear Friends of Natalie Manley:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Democratic Majority Committee	2/1/2012	\$1000	*	45	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the second quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
AFT Local 604 COPE II	5/4/2012	\$1000	5/21/2012	6	\$500

Your committee is subject to a fine of \$500 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

This committee also failed to timely file the following Schedule A-1's during the third quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Democratic Majority Committee	7/31/2012	\$1223.45	8/8/2012	1	\$611.72

Your committee is subject to a fine of \$611.72 for delinquently filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$612, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$912.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

W

State of Illinois )  
)  
County of : \_\_\_\_\_)

RECEIVED

JAN 17 2013

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF; )  
)  
ILLINOIS STATE BOARD OF ELECTIONS, )  
)  
Complainant )  
)  
Vs. )  
)  
Friends of Natalie Manley )  
Respondent(s). )

Case No. 12MA114

APPEAL AFFIDAVIT

I, Mary Lancaster, the Co-Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of Natalie Manley  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached

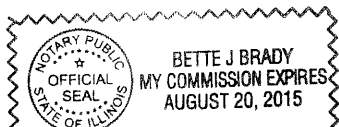
Mary E. Lancaster  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Bette J. Brady

before me this 15th Day of January, 2013

Notary Public  
(seal)



In response to correspondence dated December 18, 2012:

**1. Democratic Majority Committee, \$1,000 contribution**

The democratic majority committee contributed the vote builder program with a value of \$1,000 on February 1, 2012. The Friends of Natalie Manley committee became aware of this contribution on April 14, 2012. The committee was operating under the assumption that the contribution date of February 1, 2012 determined the required filing date of the A-1. Assuming that the 5 days to report had already passed and the quarterly report had subsequently been filed, the committee prepared and submitted an amended quarterly report, including the \$1,000 in-kind donation (see attached). Upon reviewing the State Board of Elections publication "A-1's Made Simple," it was determined that "in the case of in-kind contributions, the date of receipt is the date the public official, candidate, or committee receives notification of the in-kind from the contributor." The committee respectfully requests abatement of any penalties assessed related to this contribution as good faith reporting was completed as of the date the committee became aware of the donation.

**2. AFT Local 604 COPE II**

The Friends of Natalie Manley committee failed to timely file the A-1 related to this contribution.

**3. Democratic Majority Committee, \$1,223.45 contribution**

The democratic majority committee in kind payroll contribution of \$1,223.45 was paid on July 31, 2012. The Friends of Natalie Manley committee became aware of this contribution on August 2, 2012 (see attached). The A-1 report was filed on August 8, 2012, within the required 5 business days. The committee mistakenly recorded the date of contribution as July 31, 2012, rather than the day the committee received notification of the contribution. The correct date of contribution should have been August 2, 2012. The committee respectfully requests abatement of any penalties assessed related to this contribution as the A-1 was filed within the required time frame.



Illinois State Board of Elections  
Receipt for Report Filed  
Friends of Natalie Manley

The Quarterly Report for reporting period 1/1/2012 thru 3/31/2012  
(Amendment)

was received by the State Board of Elections on

4/14/2012 at 2:23:12PM

The confirmation number is: 462730

Receipt Printed: 4/14/2012 2:25:16PM

# FORM D-2

## REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report Amendment

4/14/2012 2:23:12 PM  
FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

Friends of Natalie Manley  
3107 Ingalls Ave  
#3A  
Joliet, IL 60435

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

CASH AVAILABLE AT  
THE BEGINNING OF THE  
REPORTING PERIOD  
\$ 10,240.27

### SECTION A - RECEIPTS

1. Individual Contributions:	
a. Itemized (from Schedule A)	\$ 400.00
b. Not-Itemized.....	\$ 440.00
2. Transfers In:	
a. Itemized (from Schedule A)	\$ 550.00
b. Not-Itemized.....	\$ 0.00
3. Loans Received:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
4. Other Receipts:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL RECEIPTS (1-4).....	\$ 1,390.00
5. In-Kind Contributions:	
a. Itemized (from Schedule I)	\$ 1,000.00
b. Not-Itemized.....	\$ 0.00
TOTAL IN-KIND.....	\$ 1,000.00

Name and address of person submitting this report if other  
than the committee's candidate or treasurer:

### SECTION B - EXPENDITURES

6. Transfers Out:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 50.00
7. Loans made:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
8. Expenditures:	
a. Itemized (from Schedule B)	\$ 1,245.00
b. Not-Itemized.....	\$ 174.75
9. Independent Expenditures:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL EXPENDITURES (6-9)...	\$ 1,469.75

### SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)...	\$ 1,450.97
b. Not-Itemized.....	\$ 0.00
TOTAL DEBTS AND OBLIGATIONS	\$ 1,450.97

### SECTION D - CASH BALANCE

Funds available at the beginning of the reporting period.....	\$ 10,240.27
Total Receipts (Section A).....	\$ 1,390.00
Subtotal.....	\$ 11,630.27
Total Expenditures (Section B).....	\$ 1,469.75
Funds available at the close of the reporting period.....	\$ 10,160.52
*****	
Investment Total.....	\$ 0.00

### VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Mary E Lancaster  
SIGNATURE OF TREASURER OR CANDIDATE

4/14/2012 2:23:12PM  
DATE

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## Description of Amended Information

---

To add to report an In-Kind contribution Section A Part 5

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## SCHEDULE A

### PART 1: INDIVIDUAL CONTRIBUTIONS

ITEMIZED RECEIPTS		DATE	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT
FULL NAME, MAILING ADDRESS, AND ZIPCODE		RECEIVED		FOR THIS REPORTING PERIOD
Illinois Broadcasters Association 200 Missouri Ave Carterville, IL 62918		2/27/2012	\$200.00	\$200.00
Leach, John 2407 Mayfair Ct Joliet, IL 60433		2/27/2012	\$200.00	\$200.00
TOTAL FOR THIS PART:				\$400.00

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## SCHEDULE A

### PART 2: TRANSFERS IN

ITEMIZED RECEIPTS		DATE	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT
FULL NAME, MAILING ADDRESS, AND ZIPCODE		RECEIVED		FOR THIS REPORTING PERIOD
Associated Fire Fighters Of IL PAC Fund 927 S Second Springfield, IL 62704		1/24/2012	\$250.00	\$250.00
Illinois Film Political Action Committee 15301 Ventura Blvd Bld E Sherman Oaks, CA 91403		2/27/2012	\$300.00	\$300.00
TOTAL FOR THIS PART:				\$550.00

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## SCHEDULE I IN-KIND CONTRIBUTIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE VENDOR	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE FOR REPORTING PERIOD
Democratic Majority Committee 1201 S Veterans Parkway Ste C Springfield, IL 62704  Illinois Democratic County Chairman's Assn PO Box 3445 Springfield, IL 62708-3445  Description: Subscription	2/1/2012	\$1,000.00	\$1,000.0

TOTAL FOR THIS PART: \$1,000.0

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## SCHEDULE B

### PART 8 - EXPENDITURES

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
AVSEC Printing 825 Plainfield Road Joliet, IL 60435			
Purpose: Fund raising - advertisement	1/31/2012	\$240.00	\$240.0
	Beneficiary: Friends of Natalie Manley		
Purpose: Fund raising - advertisement	3/13/2012	\$325.00	\$565.0
	Beneficiary: Friends of Natalie Manley		
Purpose: Fund raising - advertisement	3/30/2012	\$500.00	\$1,065.0
	Beneficiary: Friends of Natalie Manley		
Grand Haven Homeowners Association 1520 Grand Haven Road Romeoville, IL 60446			
Purpose: Fund raising - advertisement	3/11/2012	\$180.00	\$180.0
	Beneficiary: Friends of Natalie Manley		
TOTAL FOR THIS PART:			\$1,245.00

NAME OF POLITICAL COMMITTEE  
Friends of Natalie Manley

REPORTING PERIOD  
1/1/2012 thru 3/31/2012

FILED  
4/14/2012  
2:23:12 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Candidate 23984

## SCHEDULE C DEBTS AND OBLIGATIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE INCURRED	ORIGINAL AMOUNT	CUMULATIVE PAYMENT TO DATE ON DEBT	OUTSTANDING BALANCE AT THE CLOSE OF THIS PERIOD
Manley, Natalie A 3107 Ingalls Ave #3A Joliet, IL 60435				
	11/11/2011	\$65.00	\$0.00	\$65.00
	11/11/2011	\$74.64	\$0.00	\$74.64
	11/11/2011	\$44.49	\$0.00	\$44.49
	11/11/2011	\$18.54	\$0.00	\$18.54
	11/11/2011	\$18.15	\$0.00	\$18.15
	11/11/2011	\$300.00	\$0.00	\$300.00
	11/11/2011	\$25.00	\$0.00	\$25.00
	11/11/2011	\$100.00	\$0.00	\$100.00
	11/11/2011	\$750.83	\$0.00	\$750.83
	12/12/2011	\$7.63	\$0.00	\$7.63
	12/13/2011	\$5.87	\$0.00	\$5.87
	11/11/2011	\$6.54	\$0.00	\$6.54
	11/11/2011	\$23.85	\$0.00	\$23.85
	11/11/2011	\$10.37	\$0.00	\$10.37
TOTAL FOR THIS PART:				\$1,450.91



# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

**TO:**

Friends of Natalie Manley  
3107 Ingalls Ave, Apt 3A  
Joliet, IL 60435-9315

**FROM:**

Democratic Majority  
1201 S. Veterans Parkway, Ste C  
Springfield, IL 62704

Occupation & Employer (if applicable)

## In-Kind Contribution(s)

Vendor	Date	Value	Description
Illinois Democratic County Chairman's Assn P.O. Box 3445 Springfield, IL 62708-3445	2/1/12	\$1,000	VoteBuilder Program

The political committee must report these donations on their Form D-2, Section A, Part 5.

**Democratic Majority**

Signature of Contributor

Page 1 of 1

Revised 1/1/11 [www.elections.il.gov](http://www.elections.il.gov)

Received by Committee April 14, 2012

Illinois State Board of Elections  
Receipt for Report Filed  
Friends of Natalie Manley

An A-1 Report

was received by the State Board of Elections on

8/8/2012 at 5:07:29PM

The confirmation number is: 473062

Receipt Printed: 8/8/2012 5:09:08PM

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

**TO:**

Friends of Natalie Manley  
3107 Ingalls Ave, Apt 3A  
Joliet, IL 60435-9315

**FROM:**

Democratic Majority  
1201 S. Veterans Parkway, Ste C  
Springfield, IL 62704

Occupation & Employer (if applicable)

## In-Kind Contribution(s)

Vendor	Date	Value	Description
Katharyn Langenfeld 1128 W. Diversey Pkwy, Apt 1R Chicago, IL 60614	7/31/12	\$1,223.45	Payroll

The political committee must report these donations on their Form D-2, Section A, Part 5.

**Democratic Majority**

Signature of Contributor

Page 1 of 1

Revised 1/1/11 [www.elections.il.gov](http://www.elections.il.gov)

Received by Committee August 2, 2012

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**12 MA 120**

Friends of Farkas

ID# 24372

**Respondent**

**REPORT OF HEARING OFFICER**

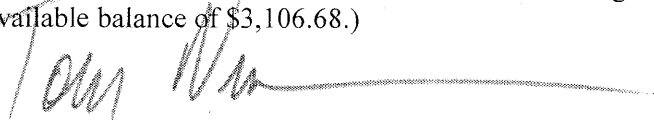
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$5,000 contribution on 8/16/12 and reported it on a Schedule A-1 received by the Board on 9/7/12, 10 days late, resulting in a civil penalty assessment of \$2,500. The Committee also received a \$1,000 contribution on 10/9/12 and reported it on a Schedule A-1 received by the Board on 10/12/12, 1 day late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the June 2012 Quarterly Report. The total assessment is \$3,050.

Glenn Farkas, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Farkas says in regards to the \$5,000 contribution, he entered it into the IDIS electronic filing software, but he was under the impression the software provided a live and interactive connection with the Board's database. He believed that entering the contribution was all that was required, and did not learn until later that the information had to actually be uploaded to the Board. In regards to the \$1,000 contribution, Mr. Farkas says the contribution was received on 10/9/12 but was not deposited until the following day, making the A-1 filing for the contribution timely. He includes copies of the check and deposit slip as evidence of this.

In regards to the \$1,000 contribution, it is apparent the A-1 was filed on time. I recommend the appeal for this contribution be granted, but also recommend the Committee be required to amend its December 2012 Quarterly Report to reflect the correct date of receipt, with said report to be filed within 30 days of the Final Board Order in this matter. In regards to the \$5,000 contribution, it is unclear why Mr. Farkas believed simply entering the contribution information onto his computer was all that was required to report it to the Board. Nowhere in the IDIS help menu, instructions or within any of the Board's educational materials is Mr. Farkas' assumption stated or implied. Additionally, inputting a contribution of \$1,000 or more into the IDIS software causes an A-1 report to appear under "Reports Due Now" and creates a reminder button that says "A-1 due" and remains until the report is filed. I therefore recommend the appeal in regards to the \$5,000 contribution be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$250. If these recommendations are approved by the Board, the stay would be lifted from the prior \$50 civil penalty, and the total assessment of \$300 will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$3,106.68.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
January 31, 2013

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 24372

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Farkas  
2482 W Lake Ave  
Glenview, IL 60026-8036

7012 1010 0002 5104 3786

Dear Friends of Farkas:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the their quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Otto	8/16/2012	\$5000	9/7/2012	10	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Dan & Melanie Peterson	10/9/2012	\$1000	10/12/2012	1	\$500

Your committee is subject to a fine of \$500 for delinquent filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$500.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2012	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$550</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

State of Illinois )  
County of : Cook )

STATE BOARD OF ELECTIONS

13 JAN -4 PM 2:13

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of FARKAS )  
Respondent(s). )

Case No. 12MA120

APPEAL AFFIDAVIT

I, Glenn FARKAS, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of FARKAS  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See enclosed letter

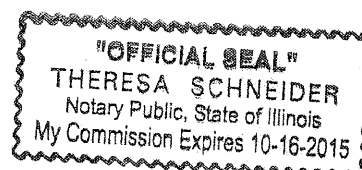
[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Glenn Farkas

before me this 4 Day of Jan, 2013

Notary Public Theresa Schneider  
(seal)



State Board of Elections

Jenny Ronymous

2329 S MacArthur Blvd

Springfield, IL 62704

Dear Ms. Ronymous:

I am appealing the civil penalty imposed on my political committee, Friends of Farkas, for two contributions that I received and apparently reported the A-1s inaccurately. The A-1 for the contribution received from Otto Engineering was indeed submitted ten days late, but I would ask for the Board of Elections to waive this fine for two reasons. First, I was under the impression that the electronic platform used by the state (IDIS) was a live and interactive connection with your database. Whenever I received a contribution, I would enter it into IDIS the next day and believed I was fulfilling my duties to report all contributions. I was not aware until later that I actually had to "push" the information to your servers. Second, as a first-time candidate for any type of elected office, I did my best to learn the requirements and follow the rules. I was asked by my party to fill a vacancy for the District 9 Senate seat after a candidate withdrew from the race after the primaries. I did not get started until June, and acted as my own campaign manager, treasurer, marketer, and whatever other position needed to get tasks done. I was in over my head with little assistance from the party, and raised less than \$10,000 altogether in the campaign.

The contribution from Dan & Melanie Peterson for \$1000 was received on October 9, 2012 and deposited on October 10, 2012 (see enclosed copies of check and deposit slip). Since it was deposited on October 10<sup>th</sup> and the A-1 received on October 12<sup>th</sup>, it is officially not late because it would fall within the two day window permitted by the state.

If you should have any further questions, please call me at 312-720-3866. Thank you.

Glenn Farkas



**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,  
**Complainant**

v.

**12 CD 089**

Friends of Brian Sleet,  
**Respondent.**

ID# 22880

**REPORT OF HEARING EXAMINER**

This matter is a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2011, September 2011 and December 2011 Quarterly Reports of Campaign Contributions and Expenditures.

**STATE’S EXHIBITS**

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on April 16, 2012, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; and Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held.

**COMPLAINANT’S CASE-IN-CHIEF**

The Respondent filed a Statement of Organization on August 10, 2010 with the purpose of supporting the candidacy of Brian E. Sleet for Alderman of the 6th Ward. The Respondent filed a Final report on June 25, 2012, which shows zero funds available. The Respondent failed to file the June 2011, September 2011 and December 2011 Quarterly Reports, leading to the filing of the instant Complaint.

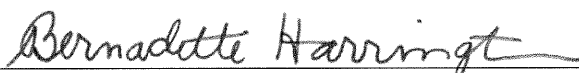
**RESPONDENT’S CASE-IN-CHIEF**

Brian E. Sleet, the Candidate and Chairman of the Committee, appeared in person at the Closed Preliminary Hearing and stated that a computer crash and subsequent technical difficulties associated with electronic filing prevented Respondent from filing the reports in question and a Final report. Following the Closed Preliminary Hearing, the Respondent filed a Final Report but

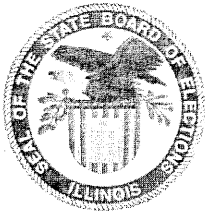
failed to file the delinquent quarterly reports. The Respondent appeared in person at the Board meeting on January 22, 2013 and subsequently filed the delinquent reports.

### **CONCLUSIONS AND RECOMMENDATIONS**

In light of the Respondent's January 22, 2013 filing of the required quarterly reports, I recommend that the Complaint be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the reports.

A handwritten signature in cursive script, reading "Bernadette Harrington", is written over a horizontal line.

Bernadette Harrington – Hearing Officer  
February 11, 2013



FORM  
D-4

COMPLAINT FOR VIOLATION OF  
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections  
2329 S MacArthur Blvd.  
Springfield, IL 62704

vs.

Case No. 12CD089

NAME AND ADDRESS OF RESPONDENT:

22880

Friends of Brian E Sleet  
PO Box 19322  
Chicago, IL 60619-0322

Aaron Clay  
1130 S Michigan Ave # 2607  
Chicago, IL 60605

Brian E Sleet  
203 E 82nd St, # 2  
Chicago, IL 60619

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS ☒ Yes ☐ No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the June 2011 Quarterly, September 2011 Quarterly and December 2011 Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

April 16, 2012

DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS       )  
                                      )  
COUNTY OF COOK       )       SS

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                       )  
State Board of Elections,               )  
                                      )  
                  Complainant(s),       )  
                  vs.                       )       12 CD 089  
                                      )  
Friends of Brian Sleet,                )  
                                      )  
                  Respondent(s).        )

**ORDER**

TO:   Friends of Brian Sleet       ID# 22880  
      PO Box 19322  
      Chicago, IL 60619

This matter coming to be heard this 19<sup>th</sup> day of June, 2012 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2011, September 2011 and December 2011 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is June 20, 2012.

DATED: 6/20/2012

  
\_\_\_\_\_  
William M. McGuffage, Chairman

STATE OF ILLINOIS           )  
  ) SS  
COUNTY OF SANGAMON )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                                 )  
   )  
State Board of Elections                         )  
                  Complainant(s),                 )  
                  Vs.                                 ) 12 CD 032  
   )  
Frist Ward Organization,                         )  
                  Respondent(s).                 )

**FINAL ORDER**

TO:   Frist Ward Organization                         Jesse Rueben Juarez  
      1075 N Marshfield Ave  
      Chicago, IL 60622

This matter coming to be heard this 22<sup>th</sup> day of January, 2013, following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10, 5/9-13 and 5/9-14 in that Respondent failed to file the June 2010 Semi-Annual, December 2010 Semi-Annual, March 2011 Quarterly, June 2011 Quarterly, September 2011 Quarterly, and December 2011 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the Committee is in violation of the above referenced provisions.

IT IS HERBY ORDERED:

1. The recommendation of the Hearing Officer and General Counsel is adopted, and
2. That the committee file all outstanding reports within 10 business days of the effective date of this Order and that failure to do so will subject the Committee to a civil penalty not to exceed \$5000.00; and the matter will be referred to the Attorney General for enforcement, and
3. The effective date of this Order is January 23, 2013 and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/23/2013



William M McGuffage, Chairman

STATE BOARD OF ELECTIONS  
2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Sharon Steward, Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel  
Re: Civil Penalty Assessments Necessitating a Final Board Order.  
Date: February 6, 2013

Listed below are committees that have been assessed a civil penalty for the delinquent filing of their Statement of Organization, December 2011, June 2012, September 2012 Quarterly reports, Schedule A-1s and "multi-assessments." These violations were not appeals & should be issued a Final Board Order.

Statement of Organization D-1			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
24467	Citizens for Hejna**	\$ 2,050	None
24528	Friends for Warren L Dixon	\$ 1,350	None
24553	Ctzns to Elect Beth Goncher	\$ 550	None
24618	Friends of Tim Couri**	\$ 550	None
24657	1 Cent for Children of LaSalle Co Schools	\$ 200	None
24666	Antoinette J Puccio-Johnson Camp Contributions	\$ 150	None
24685	Stacey Sidwell**	\$ 500	None
December 2011 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
21642	Oak Lawn Leadership Team**	\$ 5,000	3 Q
June 2012 Quarterly Report			
1148	Edwards Co Rep Central Cmte	\$ 975	3 semi, 1 Q
17646	Citizens for Kurt**	\$ 1,500	1 Q, 4 A1 (paid)
19248	Cmte to Elect Kevin T Malone**	\$ 4,500	1 pre (paid), 2 Q
19534	Ctzns for Theodore S Potkonjak for Circuit Judge**	\$ 1,750	2 semi, 1 Q (paid), 1 Q
21647	12th Ward Ad Book Committee	\$ 1,575	2 semi, 3 Q
23867	Cmte to Re-Elect Andre' B Ashmore	\$ 1,800	1 Q, 5 A1 (paid)
24004	Friends of Carlos Claudio**	\$ 475	3 A1
24243	Thornton Twp Young Democrats	\$ 1,375	None
September 2012 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
20	Citizens for Emil Jones	\$ 50	None
86	United Mine Workers of America Coal Miners PAC	\$ 50	None
283	CAR of Illinois	\$ 100	None
443	W Central IL Labor Council COPE Comm	\$ 25	None
584	Williamson Co Republican Central Cmte	\$ 50	None
591	Morgan Co Democratic Central Cmte	\$ 50	None
630	North Central IL Laborers Council PAC	\$ 100	None
639	Sheet Metal Workers Intl Assn LU #73 PAC	\$ 300	None
749	Boone Co Republican Central Cmte	\$ 50	Pre, semi, Q, A1
785	Cmte to Elect Judge Milton Wharton	\$ 150	None

965	Illinois Council on Long Term Care	\$ 450	None
1209	Wayne County Republican Party	\$ 300	None
1272	Illinois Psychologists PAC	\$ 100	None
5050	Cass County Republican Central Cmte	\$ 150	3 pre, Semi, Q
5301	Aurora Twp Democratic Central Cmte	\$ 50	Semi, A1 (paid), Q
5509	Caseyville Twp Democratic Committeeman	\$ 50	None
5572	Charles R Garnati Campaign Comm	\$ 25	None
5822	Illinois Lumbermens PAC ILLUM-PAC	\$ 400	None
6403	Committee to Elect Dave Dobill	\$ 325	None
6611	Six Mile Democrat Club	\$ 325	None
6764	Geneva Citizens for Excellent Schools	\$ 250	None
7046	Citizens for Arlene Mulder	\$ 150	None
7281	Friends of Dennis Magee	\$ 175	None
10275	Citizens for Debbie Cimarossa	\$ 100	None
11372	IBEW Local 197 Political Action Fund	\$ 500	None
11393	Cmte to Elect Pamela Whisler	\$ 250	None
12482	Citizens for Konicki	\$ 375	None
13550	Coalition for a Better Dolton	\$ 25	None
13816	North Central Democrats	\$ 50	None
14395	Jim Ardis for Council Committee	\$ 450	None
14593	United Vision	\$ 100	None
15121	Friends of Mary Beth Tighe	\$ 1,200	4 semi, 2 pre (paid),
15337	Friends of Annazette R Collins	\$ 250	4 VBO, 1 pre, 11 A1 (paid), 2 A1
15383	Kirsch for Council**	\$ 550	2 semi, Pre, Q (paid)
15394	Citizens for Steven R Burris	\$ 225	None
15706	The Integrity Party	\$ 75	None
15753	Citizens for David Webb	\$ 25	7 semi, 3 A1 (paid), 1 semi, 6 A1
15842	Committee to Elect Sherman Sorrell	\$ 225	None
15883	Citizens for Michael J Walkup	\$ 250	None
16108	Citizens for Tim Baldermann	\$ 25	None
16208	Illinois Fire Chief's Assn PAC	\$ 450	Pre, 2 Q (paid)
16227	Cmte to Elect Wexstten 5th District Appellate Judge	\$ 50	None
16435	Friends of John Pankau**	\$ 225	None
16958	Cmte to Elect Mike "Junior" Burke Williamson Co Coroner	\$ 25	None
17246	Citizens for Edith "Edie" Newsome	\$ 75	None
17650	Committee to Elect Brent Gentry	\$ 50	None
18097	Dundee Twp Democratic Central Cmte	\$ 750	A1, semi, Q (paid), Q
18217	DeWitte for Mayor Campaign Comm**	\$ 100	None
18461	Rainey for Alderman	\$ 225	None
18524	Citizens for Gary Grasso	\$ 50	2 semi, 2 A1 (paid), Q
18807	Kenneth Kessler for Mayor	\$ 50	None
19110	Hispanic Leadership Org	\$ 25	None

19136	Friends of Debra Shore	\$ 50	None
19143	Friends of Mitroff**	\$ 100	1 Q
19379	Friends of ECC	\$ 250	None
19472	Mindy Kuntz Hagan for Treasurer	\$ 150	None
20113	Committee to Elect Pete Colarelli	\$ 50	None
20116	Citizens to Re-Elect mayor Pradel	\$ 500	None
20130	Voices of Worth	\$ 100	None
20175	Citizens for Unes	\$ 50	None
20189	Griffin for Alderman	\$ 50	None
20194	Citizens for Cathy & Elen	\$ 200	None
20195	Friends of Dan Irving	\$ 400	1 Q
20202	Friends of Rodney S Craig for Village Pres	\$ 50	1 Q
20205	Friends of Thomas Malesh	\$ 450	None
20304	Friends of Steve Dove Alderman	\$ 100	1 A1, 1 Q (paid)
20437	Citizens for Bartolozzi**	\$ 100	None
20603	Young Democrats of Illinois	\$ 1,650	1 semi, 3 Q
20889	Friends of Dominique C Ross	\$ 300	1 Q
21009	Friends of Don Gray	\$ 225	2 Q (paid)
21128	Hinsdale First	\$ 750	1 pre, 3 Q
21162	Citizens for Cynor	\$ 350	None
21440	Friends of Tony Michelassi	\$ 1,000	2 semi, 3 Q (paid), 2 Q
21531	Calumet Township Regular Rep Org**	\$ 150	1 semi, 2 Q
21609	Citizens for Peter Poulos	\$ 275	3 semi
21655	Continued Progress Party**	\$ 50	None
21881	Citizens for Natalie Coleman	\$ 450	None
21958	Citizens for Ryan "Todd" Weihofen	\$ 100	A1, 1 Q (paid)
22021	Citizens for Vernier	\$ 250	1 Q
22069	Downers Grove Elementary Education Assn	\$ 100	1 semi
22091	Friends of Todd Connor**	\$ 225	None
22204	Committee to Elect Greg Brownfield**	\$ 525	None
22308	Friends of Rafael Rivadeneira	\$ 825	1 Q
22353	Committee to Elect Glenda Young	\$ 50	None
22368	Ron Wait for Judge**	\$ 25	None
22390	Friends of David J Coleman**	\$ 750	1 Q
22411	Citizens for Hagen	\$ 200	None
22436	Friends of Judge Pamela Leeming**	\$ 500	3 A1
22479	Citizens for Michael Carroll**	\$ 750	1 semi, 2 Q (paid)
22759	Lawson for Lawndale**	\$ 750	4 Q
22805	Egan Forward 43	\$ 400	2 Q (paid)
22869	Friends for Olmetti	\$ 75	4 Q, 1 A1
22885	Committee to Elect TFPD Trustee	\$ 125	None
22935	Citizens for Foster**	\$ 1,450	1 Q, 1 A1 (paid)
22941	Friends of Monica Silva	\$ 25	None
23006	Citizens for Burch	\$ 200	\$ Q
23033	Tom Stone for Mayor**	\$ 225	None
23041	United Steelworkers District 7	\$ 600	none



23050	Citizens for Mike Inman	\$ 325	None
23209	Citizens for Steve Chirico	\$ 225	None
23343	Friends of Scott McFarland	\$ 25	None
23478	Cmte to Elect Commonfields of Cahokia Water Dist	\$ 100	None
23479	Cmte to Elect Cahokia School Dist 187 Board**	\$ 100	None
23488	Citizens for O'DeKirk	\$ 50	None
23628	Downers Grove Elementary Education Assn	\$ 100	None
23667	Friends of Gerald T Dietz	\$ 200	None
23722	Friends of Alex Arroyo	\$ 25	A1
23745	Citizens for Danielle Rowe**	\$ 250	None
23780	Committee to Elect Kevin E Kunkel	\$ 300	None
23796	Carpenters Local Union #141 PAC	\$ 50	None
23799	Cliff Curges for State Senate	\$ 250	None
23836	Dove for Senate	\$ 100	1Q
23857	43rd Ward United PAC	\$ 150	2 Q, 1 A1
23894	Citizens for Corey Brackmann	\$ 50	None
23960	Friends of Crews**	\$ 25	None
24001	Sangamon Co Young Democrats	\$ 50	1Q
24050	Naperville Ctzns for Smart Meter Awareness	\$ 225	None
24122	Citizens for Brad Halbrook	\$ 200	None
24151	Friends of William G Godwin**	\$ 250	None
24168	Friends of Pacione**	\$ 25	None
24170	Citizens to Elect Deborah J Fortier	\$ 600	1Q
24184	Committee to Elect Ringhausen**	\$ 350	None
24187	Friends of Bob Bednar	\$ 100	1 Q, 2 A1
24257	Camp to Elect Paula Newcomb Circuit Judge**	\$ 650	None
24284	Custom Coating Innovations Inc	\$ 25	None
24317	Friends of Shantiel Simon	\$ 250	None
24329	Countryside Citizens for Change	\$ 75	None
24342	Committee to Protect IL School Dists - Dean Casper**	\$ 350	1Q
24366	9th Ward Democratic Org	\$ 25	None
24395	Midlothian Village Pride party	\$ 25	None
24419	Lampe for Clinton County Coroner**	\$ 50	None
24436	Doc for Illinois Legislature 2	\$ 475	None
24445	Bergmann for State's Attorney	\$ 50	None
24454	Citizens for Marc Voegelé	\$ 225	None
24459	IL Safety-Net Hospital Alliance PAC	\$ 250	None
24472	Friends of David Moore	\$ 275	None
24481	Friends of Lex Green	\$ 225	None
24507	Friends of Tim Parker	\$ 50	None
24515	Friends to Elect Wayne Brown**	\$ 250	None
24540	Ctzns Elect Anthony Coleman for Mayor of N Chicago	\$ 725	None
24548	Citizens for Susan Thornton	\$ 75	None
24549	Citizens for Jim Webster	\$ 375	None
24560	Dems United Calumet City 2013	\$ 175	None
24561	WE Are Dixon Supporting Lee Co Schools Facility Tax	\$ 625	None

24565	Friends of Gloria Lochner	\$ 25	None
24566	Tom Page for Mayor	\$ 250	None
24570	Citizens for Wauconda Water Security**	\$ 350	None
24574	Rafael "Ralph" Avila	\$ 800	None
24578	Madison County Young Democrats	\$ 350	None
24579	NorWest Twps Republican Central Cmte	\$ 100	None
<b>SCHEDULE A-1 (12 AM)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
19094	Friends of Zachary C Wilson	\$ 3,270.60	\$ 327
20859	Citizens to Elect Pat Carey	\$ 937.50	\$ 94
22624	Southland Success PAC	\$ 3,500	\$ 350
23996	Friends for Ricardo Lugo**	\$ 916.66	\$ 92
<b>SCHEDULE A-1 (12 AJ)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
419	Sierra Club, IL Chapter PAC	\$ 5,000	\$ 500
14879	Building Owners and Managers Assn PAC	\$ 969	\$ 969
15364	Mexican American PAC	\$ 1,250	\$ 1,250
19976	Crete Township Democrats	\$ 1,000	\$ 100
21272	Friends of Tom Cullerton	\$ 1,200	\$ 120
22716	Liz for the 17th	\$ 500	\$ 50
24217	Friends of Greg Bedell	\$ 500	\$ 50
24426	Village Independent Party of Lyons	\$ 500	\$ 50
<b>SCHEDULE A-1 (12 AS)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
282	Hardin County Republican Central Cmte	\$ 500	\$ 50
604	Shelby County Democratic Central Cmte	\$ 500	\$ 50
1053	Clark County Republican Central Cmte	\$ 750	\$ 75
4707	Frankfort Township Republican Org	\$ 500	\$ 50
5239	Cumberland County Republican Central Committee	\$ 500	\$ 50
6380	Citizens for Joe Moore	\$ 500	\$ 500
6693	Township Officials of Illinois PAC	\$ 500	\$ 50
7463	Friends for Lauzen	\$ 1,000	\$ 100
13281	Citizens for Skidmore	\$ 798	\$ 80
13402	Committee to Elect Tom Templeton	\$ 500	\$ 50
17675	Citizens for Gaessner	\$ 1,000	\$ 100
18030	Illinois School Administrators PAC	\$ 1,000	\$ 1,000
22181	Committee to Elect Rich Morthland**	\$ 500	\$ 250
22755	Chicago Latino Public Affairs Cmte	\$ 550	\$ 275
23678	Citizens to Elect Garrett Peck	\$ 6,982	\$ 698
24189	People for the Election of Nobel Y Dowell Jr	\$ 1,250	\$ 125
24285	Friends of Mike Clemmons	\$ 1,000	\$ 100
24340	Citizens for Catron for Bond County Circuit Clerk	\$ 500	\$ 50
24348	Citizens for Dee Beaubien**	\$ 750	\$ 75
24417	David Guzzardo Campaign Fund	\$ 500	\$ 50
24444	Citizens for Mark Shaw	\$ 500	\$ 50
24448	Friends of Juan Antonio Diaz	\$ 1,250	\$ 125
24458	Citizens to Elect Mike Dabney	\$ 500	\$ 50

24480	Friends of Sherri Griffith**	\$ 1,000	\$ 100
24504	Committee to Elect Bob Large	\$ 600	\$ 60
24519	Friends of Kevin C Wilson	\$ 1,000	\$ 100
24534	Cmte to Elect Greg Dodson for Marion Co Coroner	\$ 500	\$ 50
24544	Cmte to Elect Teddy Cunningham**	\$ 500	\$ 50
<b>SCHEDULE A-1 (13 AD)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
19417	Citizens for Frank M Gambino	\$ 1,000	\$ 100
23741	Friends of John McGehee	\$ 1,250	\$ 125
24422	Committee to Elect Michael Crockett Jr	\$ 500	\$ 50
24531	Committee to Elect Chalen D Tatum Sr**	\$ 1,500	\$ 150
22598	GOPAC Illinois Legislative Fund	\$ 17,500	\$ 1,750
<b>MA "Multi-assessment" (A1s from 2 quarters)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
24362	Citizens for Marcus C Evans Jr	1250/500	125/250
<b>MA "Multi-assessment" (Quarterly &amp; A-1)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Percent Reduction</b>
24149	6th Ward Democratic Organization	650/1250	125
24563	Committee to Elect Jennifer Pritchett	125/657.37	66
<b>MA "Multi-assessment" (2 Quarterlies)</b>			
<b>Cmte No</b>	<b>Cmte Name</b>	<b>Amt of Fine</b>	<b>Previous Violations</b>
822	NISMACNA Contractors Assn Inc**	5000/3075	2 semi, 2 Q (paid)
16367	Citizens to Elect Eddie Washington	5000/2000	5 A1, 1 semi (paid)
19871	Citizens for Karin M Norington Reaves**	5000/4725	1 pre, 2 semi (paid), 4 Q
21011	Committee for John J Curry	2300/1450	1 semi
21057	1st Ward Democratic Committeeman Fund	5000/300	D-1, 3 semi, 4 Q, 10 A1
22811	VotingWomen.org**	2175/1200	None
22987	Carol for Chicago**	5000/4650	108 A1, 2 Q
24158	Citizens to Elect Paul J Santucci**	700/825	1 Q
24293	Elect Karen Boyd Williams	1625/100	None

**STATE BOARD OF ELECTIONS**  
**2329 S MacArthur Blvd**  
**Springfield, Illinois 62704**  
**217/782-4141**

To: Rupert T. Borgsmiller, Executive Director, Members of the Board  
 Re: Payment of Civil Penalties - Informational  
 Date: 2/7/2013

The following committees have made payment of outstanding civil penalties for the period  
 of 12/7/2012 - 2/7/2013

Cmte ID	Cmte Name	Amt of Check
24200	Citizens for David Friess	\$150.00
18556	Friends of Michele Skryd	\$375.00
17024	JUSTPAC, the Political Action Committee of the IL Civil	\$3,750.00
22294	Friends of Michael Alvarez	\$800.00
16892	Citizens for Pat Dowell	\$100.00
19891	ActBlue Illinois	\$250.00
13732	Citizens for Antonio "Tony" Munoz	\$250.00
547	Chicago Title Staff PAC	\$150.00
23817	PCA Great Lakes Region Political Action Fund	\$700.00
21302	Friends of Miriam Shabo	\$115.00
8185	Family-PAC	\$500.00
8185	Family-PAC	\$100.00
21533	Change 4 Bensenville	\$1,600.00
24046	Citizens for Judge McGlynn	\$197.00
18844	Aurora Township Democratic Team	\$75.00
21125	50th Ward Regular Democratic Organization	\$1,000.00
19534	Citizens for Theodore S Potkonjak for Circuit Judge	\$1,061.35
22767	Friends of Camille Y Lilly	\$875.00
22390	Friends of David J Coleman	\$157.58
23736	Mary Schorr Lake 17	\$462.50
19353	Scott J Helton for Lake County Board	\$100.00
18963	Citizens for Noak	\$100.00
10703	West 47th Club	\$350.00
17549	Citizens for Curtis McCall	\$100.00
24493	Friends of Burt Gerl for County Board	\$275.00
10893	Italian-American Political Coalition	\$100.00
22816	Friends of Greg Mitchell	\$450.00
18183	Citizens for Spitz	\$1,375.00
16931	Kane County Conservative Coalition	\$150.00
1086	NOW/Equality/PAC	\$150.00
<b>TOTAL</b>		<b>\$15,818.43</b>

**Year to Date Totals:**

12/7/12 to 1/11/13: \$28,761.50

1/11/13 to 2/7/13: \$15,818.43

**Payment Plans:**

945 Cook County Democratic Women  
 20809 Friends for Proco Joe Moreno  
 21302 Friends of Miriam Shabo

# State Board of Elections



*From the desk of....Jane Gasperin, Director of Election Information*

**Phone: 217-782-1555**

**Email: [jgasperin@elections.il.gov](mailto:jgasperin@elections.il.gov)**

**To:** Rupert Borgsmiller, Executive Director  
**Re:** Consolidated Primary & Special Congressional  
Election Day Assignments, February 26, 2013  
**Date:** February, 2013

## **SPRINGFIELD OFFICE (5:30 a.m. – 10:00 p.m.)**

<b>STAFF</b>	<b>HOURS WORKING</b>	<b>BREAK TIMES</b>
Kay Walker	5:30 a.m. – 3:00 p.m.	10:30 a.m. – 11:30 a.m.
Kyle Thomas	6:00 a.m. – 3:30 p.m.	11:00 a.m. – Noon
Steve Sandvoss	6:00 a.m. – 3:30 p.m.	11:30 a.m. – 12:30 p.m.
Bruce Brown	6:00 a.m. – 3:30 p.m.	10:00 a.m. – 11:00 a.m.
Amy Evans	6:00 a.m. – 3:30 p.m.	11:30 a.m. – 12:30 p.m.
Gary Nerone	9:00 a.m. – 6:30 p.m.	1:00 p.m. – 2:00 p.m.
Cheryl Hobson	10:00 a.m. – 7:30 p.m.	2:00 p.m. – 3:00 p.m.
Brent Davis	12:30 p.m. – 10:00 p.m.	5:00 p.m. – 6:00 p.m.
Bernadette Harrington	12:30 p.m. – 10:00 p.m.	6:00 p.m. – 7:00 p.m.
Dianne Felts	12:30 p.m. – 10:00 p.m.	6:00 p.m. – 7:00 p.m.

## **CHICAGO OFFICE (6:00 a.m. - 9:00 p.m.)**

<b>STAFF</b>	<b>HOURS WORKING</b>	<b>BREAK TIMES</b>
Jim Tenuto	6:00 a.m. – 3:30 p.m.	10:30 a.m. – 11:30 p.m.
Marc Petrone	6:00 a.m. – 3:30 p.m.	11:00 p.m. – Noon
Rose Rodriguez	6:00 a.m. – 3:30 p.m.	Noon – 1:00 p.m.
Ken Menzel	10:30 a.m. – 9:00 p.m.	2:30 p.m. – 3:30 p.m.
Darcell McAllister	10:30 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Rick Fulle	10:30 a.m. – 9:00 p.m.	4:30 p.m. – 5:30 p.m.

# Memorandum

*From the desk of....Cristina Cray, Director of Legislation*

*Phone: 217-782-1577*

*Email address: ccray@elections.il.gov*

**To:** Chairman McGuffage  
Vice Chairman Smart  
Members of the Board  
Executive Director Borgsmiller  
**Subject:** DOJ Consent Decree  
**Date:** February 5, 2013

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Pursuant to the Consent Decree, entered into with the United States Department of Justice (DOJ), please be advised that the State Board of Elections (SBE) has provided two of the three mandated reports to date.

Pursuant to Section (9), Page 11, the SBE sent a report to the DOJ on January 16, certifying that all absentee ballots were transmitted no later than January 15, 2013, to all qualified UOCAVA voters by the voter's requested method of transmittal.

In addition, pursuant to Section (10), Page 11, all UOCAVA voters have been provided individual notice both electronically and through express mail (according to the preference of the voter) of the final list of names of certified candidates who qualify to appear on the ballot for the February 26, 2013 special primary.

The final report on the special congressional election will be due to the DOJ on March 11.

If you have any questions, please do not hesitate to contact me.

Thank you.

State Board of Elections  
2329 So. MacArthur Blvd.  
Springfield, IL 62704

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## INTEROFFICE MEMORANDUM

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To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: Pre-tests for the 2013 Consolidated Election

Date: January 31, 2013

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The following jurisdictions have been selected for pre-tests for the next election. They are as follows:

Clark  
Douglas  
Jefferson  
Perry  
Union  
Williamson

# Judges Schools CP/CE 2013

<b>Date</b>	<b>Jurisdiction</b>	<b>No. of Schools</b>	<b>Attendance</b>	<b>Zone</b>
Thursday, February 21, 2013	Saline	3	0	One
Tuesday, March 12, 2013	Hardin	1	0	One
Tuesday, March 12, 2013	Pope	1	0	One
Wednesday, March 13, 2013	Galesburg	3	0	Three
Thursday, March 14, 2013	Montgomery	4	0	Two
Monday, March 18, 2013	Marion	3	0	One
Tuesday, March 19, 2013	Cass	2	0	Two
Thursday, March 21, 2013	Fayette	2	0	One
Monday, March 25, 2013	Monroe	4	0	One
Tuesday, March 26, 2013	Monroe	3	0	One
Wednesday, March 27, 2013	Pike	2	0	Two



# State Board of Elections



*From the desk of....Jane Gasperin, Director of Election Information*

*Phone: 217-782-1555*

*Email: [jgasperin@elections.il.gov](mailto:jgasperin@elections.il.gov)*

**To:** Rupert T. Borgsmiller, Executive Director  
**Re:** Counting of GARS Annuitant Trustees  
**Date:** February 4, 2013

On February 1, 2013 we counted ballots for the General Assembly Retirement System. The Annuitant Trustee election was held on January 1, 2013 through January 31, 2013.

A total of 316 ballots were sent out and 160 ballots were returned. The number of valid ballot counted 154. There were 6 rejected ballots.

There were three candidates running, with one to be elected.

The Annuitant Trustees received the following votes:

Candidate	Votes Received
Jack D. Davis	41
Philip W. Collins	98
Robert L. Bergman	15

# MEMORANDUM

FROM: Tom Newman, Deputy Director, Division of Campaign Disclosure

TO: Rupert Borgsmiller, Executive Director  
Chairman McGuffage  
Vice Chairman Smart

RE: Campaign Finance Reform Task Force

Date: February 11, 2013

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The Illinois Campaign Finance Reform Task Force held meetings in Chicago on January 28, 2013 and February 11, 2013, to review and discuss a report titled "Independent Expenditures and Illinois Elections." The report is mandated by 10 ILCS 5/9-8.5(h-5), which called for it to be presented to the Governor and General Assembly by February 1, 2013. Due to the fact that the draft report was only completed and provided to Task Force members shortly before the first scheduled meeting, the Task Force opted to schedule a final vote on the publication at the February 11, 2013 meeting, allowing more time for the report to be considered.

At the February 11 meeting, with Task Force members present in Chicago and via the telephone, several minor amendments were made to the report and a vote was then taken to approve the report, subject to email confirmation by members of the changes made. The report includes a number of discussion items related to independent expenditures, including disclosure requirements for non-profit organizations and so-called federal "Super PACs", better defining "coordination" as it relates to independent expenditures, and the possibility of increasing some reporting requirements for independent expenditures. The report stops short however of making formal recommendations in regards to these issues at this time.

The Task Force next faces a March 1, 2013 deadline to submit a report dealing with the effectiveness of enforcement mechanisms, whether the disclosure requirements and the definition of "receipt" result in accurate reporting, issues related to audits, the effect of using the same election cycle for all members of the General Assembly and the impact of the self-funding provision in regards to contribution limits. A public hearing on these issues is planned for February 25 or 26, with the exact date and time yet to be determined.

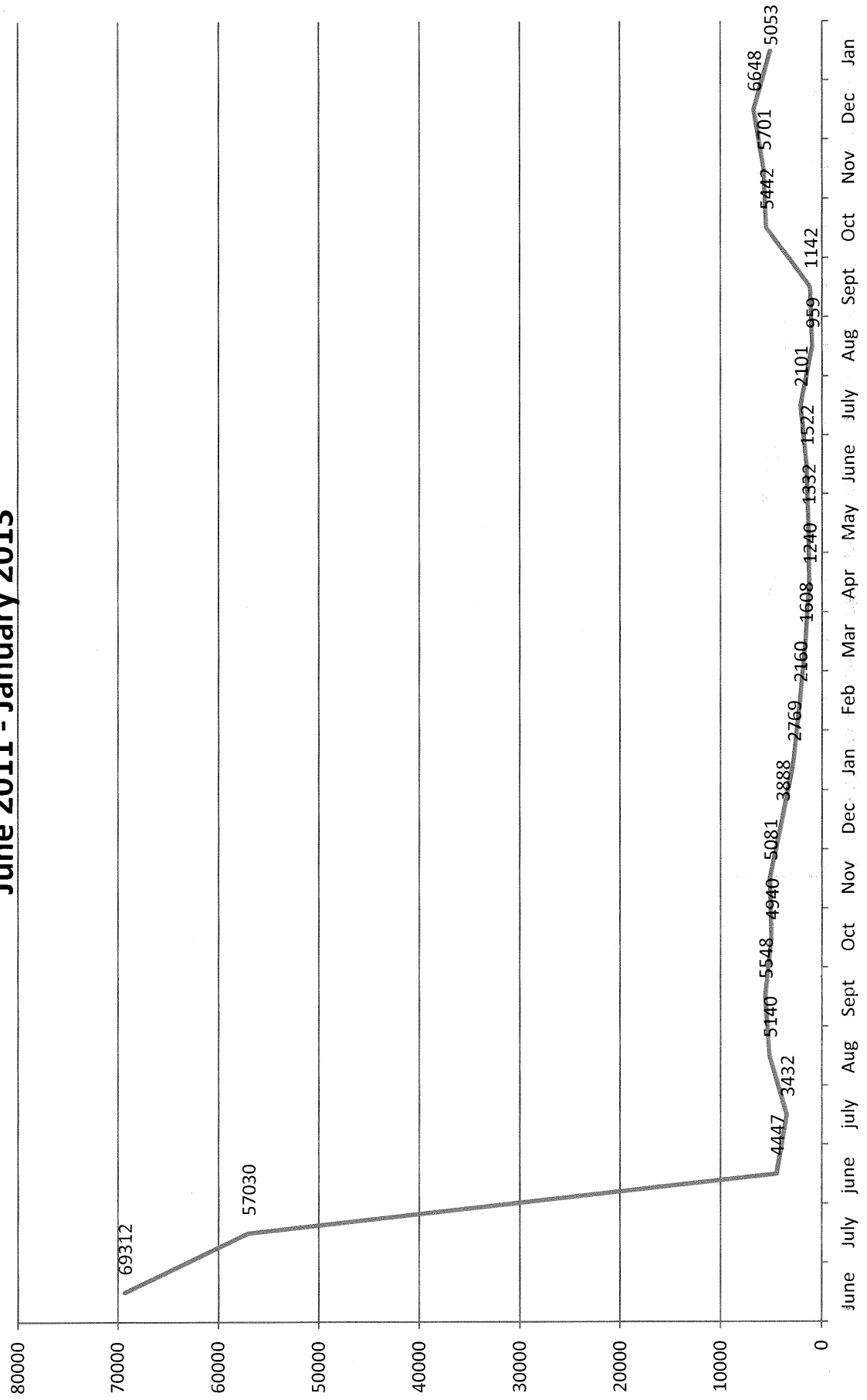
Jurisdiction	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Adams County	8	6	4	16	20	19	22	5	1	6	6	5	6	9	1	14	20	28	29	21	15	1/23/2012
Alexander County	5	6	5	5	5	3	2	1	1	2	2	4	5	3	3	2	4	4	6	6	6	2/6/2009
Bond County	9	8	12	1	4	2	3	4	8	9	8	7	11	13	15	15	19	26	27	29	26	12/16/2011
Boone County	8	6	5	10	11	12	10	11	13	3	5	8	5	6	7	7	6	13	15	20	3	2/6/2009
Brown County	0	1	2	4	3	0	0	0	0	2	2	1	1	1	3	0	1	7	7	6	7	9/6/2012
Bureau County	0	0	4	2	3	0	1	5	2	2	1	4	2	1	3	1	0	2	2	2	5	10/9/2012
Calhoun County	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	1	1	0	1	2	0	0	0	0	1	2	3	4	3	4	4	3	6	7	6	10	2/29/2012
Cass County	1	0	0	2	0	0	1	0	4	2	2	3	3	2	8	5	4	10	9	6	7	5/11/2012
Champaign County	16	17	10	195	132	68	74	64	72	78	83	16	26	28	54	26	81	499	502	548	326	2/6/2009
Christian County	2	3	2	1	1	1	3	2	4	5	2	3	4	3	3	2	2	4	2	4	3	2/29/2012
Clark County	5	5	4	4	5	3	3	4	3	4	4	3	1	1	1	1	1	9	5	2	1	9/3/2010
Clay County	62	67	57	46	45	28	27	26	27	39	33	36	39	39	39	37	44	46	46	50	53	2/6/2009
Clinton County	21	11	3	6	14	7	8	1	3	10	6	9	15	14	13	8	11	18	25	19	25	2/29/2012
Coles County	4	4	2	6	6	7	5	5	2	3	0	2	2	3	6	3	2	27	23	23	27	9/17/2012
Cook County	1,679	1,717	1,270	1,943	2,085	1,874	1,879	1,391	793	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	2/6/2009
Crawford County	11	13	7	21	21	18	20	19	18	7	8	4	5	5	8	4	6	6	7	8	9	5/26/2009
Cumberland County	0	1	0	0	0	0	0	0	0	0	0	0	0	0	2	1	0	1	3	0	0	N/A
DeKalb County	4	4	4	12	14	8	12	9	7	24	4	5	2	10	12	10	39	49	49	62	53	7/27/2012
DeWitt County	2	6	3	2	5	3	4	6	2	9	2	3	7	4	12	10	2	7	12	12	12	2/6/2012
Douglas County	0	0	0	0	1	0	0	3	1	1	1	2	0	0	1	0	0	7	11	10	5	10/9/2012
DuPage County	47	29	27	60	27	42	79	35	26	40	46	15	10	15	44	6	47	275	286	351	391	2/6/2009
Edgar County	0	0	1	0	0	0	1	2	2	2	2	3	3	5	8	1	1	4	3	5	6	11/6/2009
Edwards County	4	4	5	4	3	2	3	3	2	1	1	1	2	2	2	2	1	1	1	1	1	2/6/2009
Effingham County	0	1	0	2	1	0	1	1	1	4	3	1	3	2	4	0	1	3	5	3	1	1/16/2013
Fayette County	9	14	12	17	12	3	2	1	2	3	6	6	3	3	2	1	3	1	5	7	11	10/18/2012
Ford County	1	1	2	0	0	0	0	0	1	2	0	0	2	5	12	4	3	1	5	6	9	12/7/2012
Franklin County	58	60	61	60	59	43	12	10	0	3	5	4	5	7	14	14	20	26	28	30	6	7/27/2012
Fulton County	4	4	5	6	6	9	6	8	6	16	17	19	5	4	10	9	10	11	13	15	12	2/6/2009
Gallatin County	1	1	1	1	1	2	0	0	0	1	1	2	0	0	0	0	0	0	0	0	0	n/a
Greene County	4	3	7	1	3	2	2	0	0	0	0	2	2	2	2	1	3	6	8	10	9	4/9/2012
Grundy County	2	3	9	10	4	0	1	1	10	17	8	2	2	3	7	5	8	30	29	18	25	9/6/2012
Hamilton County	0	0	2	0	1	0	1	1	1	0	0	1	1	1	2	2	0	0	2	4	3	11/16/2012
Hancock County	2	1	0	2	2	2	5	3	1	0	0	0	0	0	0	0	0	1	0	1	1	10/23/2012
Hardin County	2	1	0	0	0	0	0	0	1	0	1	2	0	3	0	0	0	0	0	0	2	1/14/2013
Henderson County	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	1	0	0	0	0	0	n/a
Henry County	13	5	6	5	7	5	3	2	0	8	7	8	9	10	15	14	18	25	26	28	1	11/30/2012
Iroquois County	1	2	1	5	8	3	1	1	3	1	1	2	1	2	5	2	6	6	9	6	3	2/6/2009
Jackson County	17	20	15	14	14	12	8	6	5	12	5	5	10	11	5	3	13	31	33	37	23	8/27/2009
Jasper County	1	2	2	4	4	5	5	6	5	1	1	1	2	1	2	0	1	1	1	1	1	10/17/2012
Jefferson County	0	1	0	0	0	0	0	1	1	0	1	4	5	2	4	3	7	7	9	12	12	1/7/2011



Jurisdiction	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Jersey County	17	17	17	19	20	20	20	22	22	22	22	23	27	26	28	27	29	34	35	45	42	2/6/2009
JoDavies County	0	0	0	0	2	1	1	0	0	0	0	0	0	0	0	0	0	3	3	4	5	10/9/2012
Johnson County	0	1	0	0	0	0	0	0	0	1	1	1	0	0	0	0	0	0	0	1	1	12/17/2012
Kane County	21	16	5	27	35	34	42	28	33	24	26	17	8	10	52	10	12	70	76	115	124	3/25/2011
Kankakee County	12	18	7	90	79	64	21	7	16	10	8	12	17	16	14	12	24	29	31	35	33	2/6/2009
Kendall County	4	2	5	6	13	7	10	6	15	13	2	1	9	8	21	4	8	73	83	99	86	5/11/2012
Knox County	1	5	9	9	11	14	15	15	18	0	1	2	5	5	8	7	12	4	5	7	6	11/5/2012
Lake County	54	32	16	36	46	41	62	76	80	27	34	19	70	98	165	11	16	85	94	126	150	2/6/2009
LaSalle County	16	9	4	14	15	15	13	12	17	8	4	5	16	20	25	22	38	49	53	45	41	5/11/2012
Lawrence County	1	1	1	0	0	0	0	0	1	1	1	1	1	1	1	1	3	3	2	2	3	5/18/2012
Lee County	0	0	0	0	1	0	1	1	0	1	0	0	1	2	1	0	0	6	9	3	2	11/6/2012
Livingston County	4	1	1	3	2	4	2	1	2	2	3	0	4	1	8	5	4	11	11	13	14	5/18/2012
Logan County	5	4	4	4	3	2	6	6	7	1	1	2	4	2	8	3	3	8	11	16	10	3/18/2011
Macon County	3	1	2	45	19	15	18	26	33	51	12	6	23	12	15	12	41	86	80	92	93	2/6/2009
Macoupin County	1	0	1	5	7	5	5	3	1	2	2	2	0	0	4	1	5	9	10	12	10	8/7/2012
Madison County	27	20	13	18	30	25	27	15	16	15	19	17	21	23	31	26	28	46	50	55	47	2/6/2009
Marion County	29	22	20	33	23	13	14	8	9	20	15	16	16	14	15	12	14	24	28	16	22	2/6/2009
Marshall County	2	6	0	2	3	0	5	2	2	0	1	3	2	0	0	0	3	0	0	2	1	12/10/2012
Mason County	0	0	0	0	0	0	0	0	2	2	1	2	0	1	1	1	1	0	1	2	1	1/9/2013
Massac County	3	3	3	3	4	3	3	2	4	4	4	1	1	1	2	2	2	2	2	1	2	12/13/2012
McDonough County	2	2	3	5	4	4	4	4	2	0	0	1	1	0	3	1	0	20	19	21	18	10/9/2012
McHenry County	69	74	4	10	22	18	59	74	30	7	22	35	57	73	30	16	30	54	64	98	89	11/13/2009
McLean County	9	13	5	32	18	10	10	9	10	32	31	32	48	51	72	38	18	27	46	50	44	2/6/2009
Menard County	1	1	1	1	1	3	3	4	0	4	3	4	2	4	3	3	3	3	3	4	5	2/6/2009
Mercer County	113	12	12	10	12	10	13	11	5	9	9	10	5	4	7	7	10	11	11	17	7	12/3/2010
Monroe County	6	7	0	2	0	2	0	0	0	4	3	3	4	6	8	5	5	20	21	16	11	2/29/2012
Montgomery County	13	7	10	1	0	0	0	0	2	1	1	1	1	1	1	1	1	1	2	5	3	11/20/2012
Morgan County	2	0	9	12	6	5	6	2	0	0	0	1	0	0	3	3	4	15	16	17	14	7/27/2012
Moultrie County	0	1	0	0	0	0	0	1	1	2	2	0	0	0	1	0	1	5	1	1	1	2/7/2013
Ogle County	1	1	3	3	5	2	3	2	4	1	1	1	1	0	0	0	2	8	11	8	7	10/9/2012
Peoria County	4	0	3	5	4	9	12	8	5	6	3	9	9	3	7	8	6	14	13	21	17	2/6/2009
Perry County	0	0	0	0	1	0	0	0	0	0	0	4	0	0	1	0	1	2	2	3	1	2/5/2013
Platt County	2	2	1	0	1	0	0	0	1	1	1	2	2	3	5	4	4	6	6	7	9	9/6/2012
Pike County	12	13	3	0	5	7	10	2	0	1	1	3	3	3	5	5	8	11	11	14	15	4/9/2012
Pope County	1	1	2	1	1	0	0	0	2	1	0	0	0	1	0	0	0	2	2	1	0	N/A
Pulaski County	1	1	2	2	4	3	4	5	2	3	3	3	3	2	3	3	5	9	9	8	9	2/6/2009
Putnam County	0	0	0	0	0	1	0	0	1	1	0	0	1	0	1	0	0	1	2	0	0	N/A
Randolph County	1	3	0	0	2	2	3	3	6	8	7	10	7	6	9	9	10	17	20	28	24	1/23/2012
Richland County	5	6	6	7	7	6	5	5	5	5	6	4	3	2	2	2	2	3	3	5	4	2/6/2009
Rock Island County	177	75	70	63	66	62	59	33	32	47	44	50	52	54	53	52	66	99	97	105	31	2/6/2009
Saline County	7	8	8	2	5	3	3	1	0	0	2	6	0	2	0	0	0	1	2	1	1	1/17/2013

Jurisdiction	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Sangamon County	15	21	14	16	17	21	26	26	23	19	18	12	12	16	14	8	22	38	40	46	30	2/6/2009
Schuyler County	2	0	0	0	1	1	1	0	2	3	0	0	1	1	2	2	0	3	7	4	3	10/9/2012
Scott County	2	3	8	3	2	6	8	2	0	0	0	0	3	3	4	3	6	5	7	5	5	5/18/2012
Shelby County	1	1	0	3	1	0	1	0	1	0	3	1	2	3	1	5	1	3	5	3	4	2/29/2012
Stark County	1	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	2	2	11/21/2012
St. Clair County	46	38	16	16	13	16	13	6	17	22	23	26	36	35	47	44	47	107	113	124	125	2/6/2009
Stephenson County	3	2	4	7	11	7	7	7	15	16	16	20	24	25	28	28	34	53	53	56	53	10/22/2010
Tazewell County	39	29	21	27	21	24	41	52	38	100	58	35	13	15	28	23	21	16	21	45	19	2/6/2009
Union County	24	22	20	25	23	23	22	15	8	11	13	12	13	13	14	11	12	26	22	25	22	7/22/2011
Vermilion County	4	3	2	3	7	9	8	10	13	5	6	8	5	13	4	2	10	16	16	18	19	2/29/2012
Wabash County	1	1	3	6	7	2	0	1	0	0	0	1	3	3	5	4	5	2	2	0	1	2/5/2013
Warren County	1	2	1	5	3	3	5	5	6	2	2	3	2	3	6	6	3	17	15	8	5	2/6/2009
Washington County	6	6	6	6	12	4	5	4	3	7	6	7	10	3	4	4	8	7	8	7	5	10/9/2012
Wayne County	34	37	34	16	15	1	0	0	3	8	8	10	12	11	12	12	16	22	21	23	27	1/23/2012
White County	3	3	4	5	4	2	1	1	0	2	2	3	5	5	4	4	2	0	2	1	2	12/27/2012
Whiteside County	6	2	2	2	3	3	1	1	1	2	2	3	3	4	3	2	4	20	27	26	12	6/13/2012
Will County	193	138	50	233	245	58	83	38	99	69	39	36	65	47	90	29	67	449	465	515	517	2/6/2009
Williamson County	45	46	45	48	49	32	15	15	2	5	6	5	13	15	21	18	19	20	20	24	26	2/29/2012
Winnebago County	10	5	4	6	5	13	20	5	18	6	6	14	7	10	9	8	8	51	57	86	17	11/13/2009
Woodford County	0	0	0	2	2	2	6	4	2	7	7	17	15	9	12	4	4	5	7	7	5	1/15/2013
City of Aurora	2	3	4	20	23	28	40	33	43	5	5	5	2	19	22	0	25	119	124	129	125	9/6/2012
City of Bloomington	5	8	4	4	7	6	8	11	5	15	16	15	21	22	30	10	4	8	19	22	16	2/6/2009
City of Chicago	1,383	1,626	1,349	1,703	2,020	2,017	2,028	1,557	960	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	2/6/2009
City of Danville	8	3	6	4	5	7	9	4	3	2	6	10	3	5	3	3	3	0	9	11	6	2/6/2009
City of East St. Louis	6	11	4	3	2	3	6	5	7	2	2	2	8	2	1	0	0	15	13	16	20	7/27/2012
City of Galesburg	3	3	5	6	7	9	6	8	7	3	2	2	2	2	2	3	8	16	16	10	8	3/29/2010
City of Peoria	20	9	14	19	22	30	34	35	40	101	61	27	25	8	22	19	11	25	29	45	34	2/6/2009
City of Rockford	10	10	10	12	15	20	24	12	34	17	17	25	9	13	16	11	11	84	94	123	32	2/6/2009
	4,503	4,447	3,432	5,140	5,548	4,940	5,081	3,888	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	

# Statewide Duplicate Voter Registrations June 2011 - January 2013



<u>County</u>	Total Voters Registered as of 2/7/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 1/10/13	% Reg. vs. 18 and Over as of 2/7/2013
ALEXANDER	5,593	8,238	67.89%	6,353	87.90%	88.04%
CLARK	12,622	16,335	77.27%	12,617	100.15%	100.04%
CLINTON	24,235	37,762	64.18%	29,180	82.85%	83.05%
HARDIN	3,247	4,320	75.16%	3,444	94.51%	94.28%
JASPER	6,876	9,698	70.90%	7,494	91.40%	91.75%
MASSAC	10,906	15,429	70.69%	11,911	90.53%	91.56%
MENARD	8,790	12,705	69.19%	9,704	90.47%	90.58%
MERCER	12,015	16,434	73.11%	12,714	94.63%	94.50%
OGLE	35,359	53,497	66.10%	40,253	87.85%	87.84%
PULASKI	4,558	6,161	73.98%	4,756	96.51%	95.84%
RICHLAND	11,625	16,233	71.61%	12,625	92.04%	92.08%
ROCK ISLAND	88,232	147,546	59.80%	114,359	77.05%	77.15%
STARK	4,134	5,994	68.97%	4,583	90.20%	90.20%
UNION	12,013	17,808	67.46%	13,980	85.78%	85.93%
City of East St. Louis	20,789	27,006	76.98%	19,098	109.30%	108.85%

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>AGENCY TOTALS</b> <b><u>MONTH ENDING: Jan. 31, 2013</u></b>	<b><u>FY13</u></b> <b><u>APPROPRIATION</u></b>	<b><u>YEAR TO DATE</u></b> <b><u>EXPENDITURE</u></b>	<b><u>OBLIGATED</u></b> <b><u>MONEY</u></b>	<b><u>BALANCE</u></b>	<b><u>% OF</u></b> <b><u>EXPENDITURE</u></b>
PERSONAL SERVICE	\$4,196,600.00	\$2,183,614.60	\$0.00	\$2,012,985.40	52.03%
STATE PAID RETIREMENT	\$168,100.00	\$86,452.64	\$0.00	\$81,647.36	51.43%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,300.00	\$161,633.07	\$0.00	\$159,666.93	50.31%
CONTRACTUAL SERVICE	\$1,101,500.00	\$429,873.51	\$179,633.19	\$491,993.30	39.03%
TRAVEL	\$120,200.00	\$47,494.29	\$0.00	\$72,705.71	39.51%
PRINTING	\$41,000.00	\$9,232.90	\$0.00	\$31,767.10	22.52%
COMMODITIES	\$39,600.00	\$11,359.86	\$0.00	\$28,240.14	28.69%
EQUIPMENT	\$125,700.00	\$7,403.20	\$32,681.75	\$85,615.05	5.89%
TELECOMMUNICATIONS	\$145,500.00	\$53,032.44	\$0.00	\$92,467.56	36.45%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,318.70	\$0.00	\$3,581.30	26.91%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$185,166.40	\$0.00	\$276,133.60	40.14%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$6,740,700.00</b>	<b>\$3,176,581.61</b>	<b>\$212,314.94</b>	<b>\$3,351,803.45</b>	<b>47.13%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$110,912.88	\$119,281.66	\$319,805.46	20.17%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$0.00	\$0.00	\$644,800.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$0.00	\$0.00	\$1,119,100.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$3,272.74	\$0.00	\$296,727.26	1.09%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$30,416.75	\$39,913.25	\$29,670.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$4,061,000.00</b>	<b>\$33,689.49</b>	<b>\$39,913.25</b>	<b>\$3,987,397.26</b>	<b>0.83%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$10,801,700.00</b>	<b>\$3,210,271.10</b>	<b>\$252,228.19</b>	<b>\$7,339,200.71</b>	<b>29.72%</b>



**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD</b> <b><u>MONTH ENDING: Jan. 31, 2013</u></b>	<b><u>FY13</u></b> <b><u>APPROPRIATION</u></b>	<b><u>YEAR TO DATE</u></b> <b><u>EXPENDITURE</u></b>	<b><u>BALANCE</u></b>	<b><u>% OF</u></b> <b><u>EXPENDITURE</u></b>
<b>CONTRACTUAL SERVICE</b>				
1205 Freight Express & Drayage	\$3,000.00	\$390.99	\$2,609.01	13.03%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00		\$1,000.00	0.00%
1239 Rental, NEC	\$200.00	\$147.51	\$52.49	73.76%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$7,475.90	\$9,524.10	43.98%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$800.00		\$800.00	0.00%
<b>TRAVEL</b>	<b>\$17,000.00</b>	<b>\$11,588.17</b>	<b>\$5,411.83</b>	<b>68.17%</b>
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b><u>BOARD GRAND TOTAL</u></b>	<b><u>FY13</u></b> <b><u>APPROPRIATION</u></b>	<b><u>YEAR TO DATE</u></b> <b><u>EXPENDITURE</u></b>	<b><u>OBLIGATED</u></b> <b><u>MONEY</u></b>	<b><u>BALANCE</u></b>
<b>CONTRACTUAL SERVICE</b>	<b>\$23,600.00</b>	<b>\$8,014.40</b>		<b>\$15,585.60</b>
<b>TRAVEL</b>	<b>\$17,000.00</b>	<b>\$11,588.17</b>		<b>\$5,411.83</b>
<b>EQUIPMENT</b>	<b>\$700.00</b>	<b>\$0.00</b>		<b>\$700.00</b>
<b>INTEREST PAYMENTS</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b>TOTAL</b>	<b>\$41,300.00</b>	<b>\$19,602.57</b>	<b>\$0.00</b>	<b>\$21,697.43</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ADMINISTRATION</b> <b>MONTH ENDING: Jan. 31, 2013</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$742,400.00	\$330,449.27	\$411,950.73	44.51%
1129 State Paid Retirement	\$29,700.00	\$13,240.08	\$16,459.92	44.58%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$56,800.00	\$24,151.75	\$32,648.25	42.52%
<b>CONTRACTUAL SERVICE</b>				
1201 Petty Cash	\$500.00	\$48.98	\$451.02	9.80%
1205 Freight Express & Drayage	\$700.00		\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$3,369.57	\$9,630.43	25.92%
1223 Repair/Maint. Real Property	\$2,000.00		\$2,000.00	0.00%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$35,800.00	\$1,595.62	\$34,204.38	4.46%
1232 Rental, Motor Vehicles	\$4,600.00	\$3,600.00	\$1,000.00	78.26%
1233 Rental, Real Property	\$392,000.00	\$260,595.00	\$131,405.00	66.48%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$490.00	\$510.00	49.00%
1240 Statistical & Tabulation Services	\$12,800.00	\$10,088.97	\$2,711.03	78.82%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$75,000.00	\$24,049.18	\$50,950.82	32.07%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,500.00	\$1,779.00	\$721.00	71.16%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$506.50	\$1,693.50	23.02%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$4,353.78	\$7,146.22	37.86%
<b>TRAVEL</b>				
1291 In-State	\$12,700.00	\$2,671.28	\$10,028.72	21.03%
1292 Out-of-State	\$1,000.00		\$1,000.00	0.00%
1295 Mileage	\$300.00	\$233.10	\$66.90	77.70%
<b>PRINTING</b>	\$9,800.00	\$3,516.05	\$6,283.95	35.88%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$14,600.00	\$3,010.84	\$11,589.16	20.62%
1306 Food for Human Consumption				
1335 Rock Salt	\$100.00	\$22.99	\$77.01	22.99%
1391 Household & Cleaning Supplies	\$100.00	\$91.43	\$8.57	91.43%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$15.99	\$84.01	15.99%
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%
1398 Equipment, NEC	\$500.00	\$24.33	\$475.67	4.87%
1399 Commodities, NEC	\$600.00	\$270.00	\$330.00	45.00%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$5,000.00	\$800.48	\$4,199.52	16.01%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
<b>TELECOMMUNICATIONS</b>				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$20,672.34	\$30,327.66	40.53%
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00	\$29,538.35	\$53,261.65	35.67%
1728 Videoconferencing	\$7,200.00	\$2,821.75	\$4,378.25	39.19%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
<b>OPERATION OF AUTO EQUIPMENT</b>				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$1,318.70	\$1,581.30	45.47%
1899 Auto. Expenses, NEC				
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>ADMINISTRATION GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>% OF</b> <b>EXPENDITURE</b>
PERSONAL SERVICE	\$742,400.00	\$330,449.27		44.51%
STATE PAID RETIREMENT	\$29,700.00	\$13,240.08		44.58%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		0.00%
SOCIAL SECURITY	\$56,800.00	\$24,151.75		42.52%
CONTRACTUAL SERVICE	\$555,900.00	\$310,476.60	\$131,400.00	55.85%
TRAVEL	\$14,000.00	\$2,904.38		20.75%
PRINTING	\$9,800.00	\$3,516.05		35.88%
COMMODITIES	\$16,100.00	\$3,445.57		21.40%
EQUIPMENT	\$6,000.00	\$800.48		13.34%
TELECOMMUNICATIONS	\$145,500.00	\$53,032.44		36.45%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,318.70		26.91%
INTEREST PAYMENTS	\$0.00	\$0.00		0.00%
<b>TOTAL</b>	<b>\$1,581,100.00</b>	<b>\$743,335.32</b>	<b>\$131,400.00</b>	<b>\$706,364.68</b> <b>47.01%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b> <b>MONTH ENDING: Jan. 31, 2013</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,658,900.00	\$846,449.65	\$812,450.35	51.02%
1129 State Paid Retirement	\$66,400.00	\$33,544.59	\$32,855.41	50.52%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$127,000.00	\$62,897.13	\$64,102.87	49.53%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,600.00		\$2,600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$24,900.00		\$24,900.00	0.00%
1266 Court Reporting & Filing Services	\$200.00	\$108.50	\$91.50	54.25%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$615.00	\$3,385.00	15.38%
1275 Subscription & Information Services	\$1,000.00	\$258.00	\$742.00	25.80%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$459.00	\$3,141.00	12.75%
1277 Association Dues	\$2,200.00	\$95.00	\$2,105.00	4.32%
1279 Employee Tuition & Fees	\$800.00		\$800.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$59.95	\$40.05	59.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$400.00		\$400.00	0.00%
<b>TRAVEL</b>	\$55,000.00	\$18,892.13	\$36,107.87	34.35%
<b>PRINTING</b>	\$10,300.00	\$1,858.85	\$8,441.15	18.05%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$3,300.00		\$3,300.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$110,912.88	\$439,087.12	20.17%
<b>ELECTION CODE BOOKS</b>	\$15,000.00		\$15,000.00	0.00%
<b>Redistricting</b>				
<b>Election Judge Reimbursements</b>	\$1,347,100.00		\$1,347,100.00	0.00%
<b>Stipends</b>	\$644,800.00		\$644,800.00	0.00%
<b>Early Voting Election Judges</b>				
<b>State HAVA Match</b>				
<b>Voting System Integrity Center</b>				
<b>Electronic Canvassing Implementation</b>	\$300,000.00	\$3,272.74	\$296,727.26	1.09%
<b>Electronic Canvassing Interest Payments</b>				
<b>IDIS Replacement System</b>	\$100,000.00	\$30,416.75	\$69,583.25	0.00%
<b>Supp. Approp. - Election Day Judge</b>	\$0.00		\$0.00	0.00%

<b>ELECTIONS GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,658,900.00	\$846,449.65		\$812,450.35	51.02%
<b>STATE PAID RETIREMENT</b>	\$66,400.00	\$33,544.59		\$32,855.41	50.52%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$127,000.00	\$62,897.13		\$64,102.87	49.53%
<b>CONTRACTUAL SERVICE</b>	\$42,300.00	\$1,695.45		\$40,604.55	4.01%
<b>TRAVEL</b>	\$55,000.00	\$18,892.13		\$36,107.87	34.35%
<b>PRINTING</b>	\$10,300.00	\$1,858.85		\$8,441.15	18.05%
<b>EQUIPMENT</b>	\$3,300.00	\$0.00		\$3,300.00	0.00%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$110,912.88	\$119,281.66	\$319,805.46	20.17%
<b>ELECTION CODE BOOKS</b>	\$15,000.00	\$0.00		\$15,000.00	0.00%
<b>Redistricting</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Election Judge Reimbursements</b>	\$1,347,100.00	\$0.00		\$1,347,100.00	0.00%
<b>Stipends</b>	\$644,800.00	\$0.00		\$644,800.00	0.00%
<b>Early Voting Election Judges</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>State HAVA Match</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Voting System Integrity Center</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Electronic Canvassing Implementation</b>	\$300,000.00	\$3,272.74		\$296,727.26	1.09%
<b>Electronic Canvassing Interest Payments</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>IDIS Replacement System</b>	\$100,000.00	\$30,416.75	\$39,913.25	\$29,670.00	0.00%
<b>Supp. Approp. - Election Day Judge</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$4,920,100.00</b>	<b>\$1,109,940.17</b>	<b>\$159,194.91</b>	<b>\$3,650,964.92</b>	<b>22.56%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL</b> <b>MONTH ENDING: Jan. 31, 2013</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$302,600.00	\$176,960.00	\$125,640.00	58.48%
1129 State Paid Retirement	\$12,100.00	\$7,084.24	\$5,015.76	58.55%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,200.00	\$13,216.45	\$9,983.55	56.97%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$11,766.81	\$88,233.19	11.77%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$5,373.00	\$10,627.00	33.58%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00	\$419.00	\$1,581.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$160.00	\$840.00	0.00%
1277 Association Dues	\$1,200.00	\$684.00	\$516.00	57.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,500.00		\$7,500.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$6,000.00	\$2,998.05	\$3,001.95	49.97%
<b>TRAVEL</b>	\$12,000.00	\$4,033.83	\$7,966.17	33.62%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$2,000.00	\$148.35	\$1,851.65	7.42%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$302,600.00	\$176,960.00		\$125,640.00	58.48%
<b>STATE PAID RETIREMENT</b>	\$12,100.00	\$7,084.24		\$5,015.76	58.55%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$23,200.00	\$13,216.45		\$9,983.55	56.97%
<b>CONTRACTUAL SERVICE</b>	\$155,300.00	\$21,400.86	48,233.19	\$85,665.95	13.78%
<b>TRAVEL</b>	\$12,000.00	\$4,033.83		\$7,966.17	33.62%
<b>EQUIPMENT</b>	\$2,000.00	\$148.35		\$1,851.65	7.42%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$507,200.00</b>	<b>\$222,843.73</b>	<b>\$48,233.19</b>	<b>\$236,123.08</b>	<b>43.94%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Jan. 31, 2013</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$787,600.00	\$409,950.68	\$377,649.32	52.05%
1129 State Paid Retirement	\$31,600.00	\$16,404.05	\$15,195.95	51.91%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$30,086.76	\$30,213.24	49.90%
<b>CONTRACTUAL SERVICE</b>				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00	\$1,060.00	\$140.00	88.33%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
<b>TRAVEL</b>				
1291 In-State	\$7,000.00	\$379.34	\$6,620.66	5.42%
1292 Out-of-State	\$3,300.00	\$974.52	\$2,325.48	29.53%
1295 Mileage	\$1,000.00	\$942.28	\$57.72	94.23%
<b>PRINTING</b>	\$20,200.00	\$3,858.00	\$16,342.00	19.10%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$800.00	\$121.20	\$678.80	15.15%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$787,600.00	\$409,950.68		\$377,649.32	52.05%
<b>STATE PAID RETIREMENT</b>	\$31,600.00	\$16,404.05		\$15,195.95	51.91%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$60,300.00	\$30,086.76		\$30,213.24	49.90%
<b>CONTRACTUAL SERVICE</b>	\$1,900.00	\$1,060.00		\$840.00	55.79%
<b>TRAVEL</b>	\$11,300.00	\$2,296.14		\$9,003.86	20.32%
<b>PRINTING</b>	\$20,200.00	\$3,858.00		\$16,342.00	19.10%
<b>EQUIPMENT</b>	\$800.00	\$121.20		\$678.80	15.15%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$913,700.00</b>	<b>\$463,776.83</b>	<b>\$0.00</b>	<b>\$449,923.17</b>	<b>50.76%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**INFORMATION TECHNOLOGY**  
**MONTH ENDING: Jan. 31, 2013**

	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<b>PERSONAL SERVICE</b>	\$705,100.00	\$419,805.00	\$285,295.00	59.54%
1129 State Paid Retirement	\$28,300.00	\$16,179.68	\$12,120.32	57.17%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$54,000.00	\$31,280.98	\$22,719.02	57.93%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$15,000.00		\$15,000.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$13,131.14	\$53,568.86	19.69%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,600.00	\$888.30	\$711.70	55.52%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$83,800.00		\$83,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00	\$4,131.00	\$3,869.00	51.64%
1284 Computer Software	\$119,400.00	\$68,001.76	\$51,398.24	56.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$18,200.00	\$490.00	\$17,710.00	2.69%
<b>TRAVEL</b>				
1291 In-State	\$4,800.00	\$3,092.90	\$1,707.10	64.44%
1292 Out-of-State	\$5,400.00	\$4,260.90	\$1,139.10	78.91%
1295 Mileage	\$700.00	\$425.84	\$274.16	60.83%
<b>PRINTING</b>	\$700.00		\$700.00	0.00%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$22,500.00	\$6,977.93	\$15,522.07	31.01%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$900.00	\$887.67	\$12.33	98.63%
1399 Commodities, NEC				
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$300.00	\$274.95	\$25.05	91.65%
1515 EDP Equipment	\$112,600.00	\$6,058.22	\$106,541.78	5.38%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<u>INFORMATION TECHNOLOGY GRAND TOTAL</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<b>PERSONAL SERVICE</b>	\$705,100.00	\$419,805.00		\$285,295.00	59.54%
<b>STATE PAID RETIREMENT</b>	\$28,300.00	\$16,179.68		\$12,120.32	57.17%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$54,000.00	\$31,280.98		\$22,719.02	57.93%
<b>CONTRACTUAL SERVICE</b>	\$322,500.00	\$87,226.20		\$235,273.80	27.05%
<b>TRAVEL</b>	\$10,900.00	\$7,779.64		\$3,120.36	71.37%
<b>PRINTING</b>	\$700.00	\$0.00		\$700.00	0.00%
<b>COMMODITIES</b>	\$23,500.00	\$7,914.29		\$15,585.71	33.68%
<b>EQUIPMENT</b>	\$112,900.00	\$6,333.17	\$32,681.75	\$73,885.08	5.61%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$1,257,900.00</b>	<b>\$576,518.96</b>	<b>\$32,681.75</b>	<b>\$648,699.29</b>	<b>45.83%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>IVRS LUMP SUM</b>	<b>YEAR TO DATE</b>
<b><u>MONTH ENDING: Jan. 31, 2013</u></b>	<b><u>EXPENDITURE</u></b>
<b>PERSONAL SERVICE</b>	\$164,217.50
1129 State Paid Retirement	\$6,568.86
1161 Retirement	
1170 Social Security	\$11,931.96
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,198.80
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	
<b>PRINTING</b>	
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
<b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
<b>SUPP. APPROP. - IVRS</b>	
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$461,300.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>	<b>\$185,166.40</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$276,133.60</b>
<b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$1,119,100.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>	<b>\$0.00</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$1,119,100.00</b>
<b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$1,580,400.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>	<b>\$185,166.40</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$1,395,233.60</b>
<b>SUPP. APPROP - IVRS</b>	<b>\$0.00</b>
<b>TOTAL SUPP. APPROP. - IVRS</b>	<b>\$0.00</b>
<b>REMAINING SUPP. APPROP. - IVRS</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Jan. 31, 2013</u>						
<b>CASH BALANCE AT BEGINNING OF YR</b>	<b>\$927,591.71</b>	<b>\$0.00</b>	<b>-\$53,938.40</b>	<b>\$5,854,560.12</b>	<b>\$7,358,754.80</b>	<b>\$14,086,968.23</b>
Program Revenues from Federal Govt			\$152,706.03			\$152,706.03
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$1,655.88			\$11,656.27	\$14,218.85	\$27,531.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$1,655.88</b>	<b>\$0.00</b>	<b>\$152,706.03</b>	<b>\$11,656.27</b>	<b>\$14,253.50</b>	<b>\$180,271.68</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$45,738.00	\$0.00	\$0.00	\$0.00	\$0.00	\$45,738.00
STATE-PAID RETIREMENT	\$1,830.22	\$0.00	\$0.00	\$0.00	\$0.00	\$1,830.22
RETIREMENT	\$17,380.58	\$0.00	\$0.00	\$0.00	\$0.00	\$17,380.58
SOCIAL SECURITY	\$3,334.10	\$0.00	\$0.00	\$0.00	\$0.00	\$3,334.10
GROUP INSURANCE	\$16,637.74	\$0.00	\$0.00	\$0.00	\$0.00	\$16,637.74
CONTRACTUAL SERVICE	\$495.00	\$0.00	\$0.00	\$544,447.14	\$0.00	\$544,942.14
TRAVEL	\$8,598.16	\$0.00	\$0.00	\$0.00	\$0.00	\$8,598.16
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$169.42	\$0.00	\$0.00	\$0.00	\$0.00	\$169.42
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$91,072.71	\$0.00	\$7,294.93	\$394,997.30	\$0.00	\$493,364.94
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$185,255.93</b>	<b>\$0.00</b>	<b>\$7,294.93</b>	<b>\$939,444.44</b>	<b>\$0.00</b>	<b>\$1,131,995.30</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$263,107.73	\$0.00	\$1,357,279.49	\$1,620,387.22
<b>TOTAL CASH EXPENDITURES</b>	<b>\$185,255.93</b>	<b>\$0.00</b>	<b>\$270,402.66</b>	<b>\$939,444.44</b>	<b>\$1,357,279.49</b>	<b>\$2,752,382.52</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$743,991.66</b>	<b>\$0.00</b>	<b>-\$171,635.03</b>	<b>\$4,926,771.95</b>	<b>\$6,015,728.81</b>	<b>\$11,514,857.39</b>



**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 101 - DISCRETIONARY FUNDS**  
**MONTH ENDING: Jan. 31, 2013**

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE
<b>PERSONAL SERVICE</b>	\$45,738.00	\$45,738.00
1129 State Paid Retirement	\$1,830.22	\$1,830.22
1161 Retirement	\$17,380.58	\$17,380.58
1170 Social Security	\$3,334.10	\$3,334.10
1180 Group Insurance	\$16,637.74	\$16,637.74
<b>CONTRACTUAL SERVICE</b>		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$495.00	\$495.00
1276 Registration Fees & Conf. Exp. (Employee)	\$0.00	
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$0.00	
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
<b>TRAVEL</b>		
1291 In-State & 1293 In-State (to vendor)	\$2,418.05	\$2,418.05
1292 Out-of-State	\$4,019.08	\$4,019.08
1295 Mileage	\$2,161.03	\$2,161.03
<b>PRINTING</b>		
<b>COMMODITIES</b>		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
<b>EQUIPMENT</b>		
1510 Office Furniture & Equipment		
1515 EDP Equipment	\$0.00	
1561 Training Equipment		
6625 Leasehold Improvements		
<b>TELECOMMUNICATIONS</b>		
1721 Rental, Telephone Serv. & Equip.	\$169.42	\$169.42
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
<b>OPERATION OF AUTO EQUIPMENT</b>		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
<b>INDIRECT COST REFUNDS</b>		
1993 Indirect Cost Allocation Refund	\$91,072.71	\$91,072.71
<b>GRANTS</b>		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAL)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
<b>SECTION 101 GRAND TOTAL</b>			
<b>PERSONAL SERVICE</b>	\$45,738.00	\$45,738.00	
<b>STATE PAID RETIREMENT</b>	\$1,830.22	\$1,830.22	
<b>RETIREMENT</b>	\$17,380.58	\$17,380.58	
<b>SOCIAL SECURITY</b>	\$3,334.10	\$3,334.10	
<b>GROUP INSURANCE</b>	\$16,637.74	\$16,637.74	
<b>CONTRACTUAL SERVICE</b>	\$495.00	\$495.00	\$13,375.00
<b>TRAVEL</b>	\$8,598.16	\$8,598.16	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$169.42	\$169.42	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$91,072.71	\$91,072.71	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$185,255.93</b>	<b>\$185,255.93</b>	<b>\$13,375.00</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Jan. 31, 2013**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments, NEC

<b>SECTION 102 GRAND TOTAL</b>	<b>FY13 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00	
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Jan. 31, 2013**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

\$7,294.93

\$7,294.93

**GRANTS**

4453 Reimbursement to Governmental Units  
4470 Grants to Local Governments (VAID II)  
4470 Grants to Local Governments (VAID III)  
4470 Grants to Local Governments (VAID IV)

\$263,107.73

\$263,107.73

**SECTION 261 GRAND TOTAL**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

\$7,294.93

\$7,294.93

**GRANTS**

\$263,107.73

\$263,107.73

**TOTAL**

**\$270,402.66**

**\$270,402.66**

**\$0.00**

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 251 - REQUIREMENTS**  
**MONTH ENDING: Jan. 31, 2013**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security  
 1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1223 Repair & Maintenance Real Property  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1232 Rental, Motor Vehicles  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1273 Advertising  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

\$503,849.55

\$503,849.55

\$10,146.86

\$10,146.86

\$30,450.73

\$30,450.73

**TRAVEL**

1291 In-State  
 1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1308 Educational & Instructional Materials  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1561 Training Equipment  
 6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

\$394,997.30

\$394,997.30

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
 4453 Reimbursement to Govt Units (Phase II)  
 4453 Reimbursement to Govt Units (ALA)  
 4453 Reimbursement to Govt Units (EDG)  
 4470 Grants to Local Governments (VRS)  
 4479 Payments to Other State Agencies

\$0.00

**SECTION 251 (OLD REQ) GRAND TOTAL**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE  
 STATE PAID RETIREMENT  
 RETIREMENT  
 SOCIAL SECURITY  
 GROUP INSURANCE  
 CONTRACTUAL SERVICE  
 TRAVEL  
 PRINTING  
 COMMODITIES  
 EQUIPMENT  
 TELECOMMUNICATIONS  
 OPERATION OF AUTO EQUIPMENT  
 INDIRECT COST REFUNDS  
 GRANTS

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$544,447.14  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$394,997.30  
 \$0.00

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$544,447.14  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$394,997.30  
 \$0.00

\$360,857.33

**TOTAL**

\$939,444.44

\$939,444.44

\$360,857.33

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
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**SECTION 251 - ADDITIONAL REQUIREMENTS**  
**MONTH ENDING: Jan. 31, 2013**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
4453 Reimbursement to Govt Units (Phase II)  
4453 Reimbursement to Govt Units (ALA)  
4453 Reimbursement to Govt Units (EDG)  
4470 Grants to Local Governments (VRS)  
4479 Payments to Other State Agencies

\$1,357,279.49

\$1,357,279.49

**SECTION 251 (NEW REQ) GRAND TOTAL**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**GROUP INSURANCE**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

\$0.00

\$0.00

**GRANTS**

\$1,357,279.49

\$1,357,279.49

**TOTAL**

**\$1,357,279.49**

**\$1,357,279.49**

**\$0.00**

Start Date	End Date	Activity	Division
2 /1 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
2 /1 /2013		Begin preparation of packet materials for 2013 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed March 1, 2013. 10 ILCS 5/9-15.	CAMP DISC
2 /4 /2013		First day for early voting and the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
2 /5 /2013		Complete profile of pre-election Referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
2 /5 /2013		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VOSS
2 /7 /2013		Notify election authorities to send referenda information for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
2 /8 /2013		First day for election authority to submit computerized voter registration file for the Consolidated Primary 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
2 /8 /2013		Send notice (form) to election authorities to obtain voting system information to be used for the Consolidated Election. (Rules and Regulations)	VOSS
2 /8 /2013		Send notice (form) to election authorities in order to obtain early voting system information to be used for the Consolidated Election.	VOSS
2 /8 /2013		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the December 15, 2012 submission	VRS
2 /13/2013		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 5/24B-9, 24C-9	VOSS

Start Date	End Date	Activity	Division
2 /15/2013		Date those jurisdictions using in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VOSS
2 /15/2013		Complete development of the COUNTY OFFICERS booklet for 2013. Project began 11/28/2012. 10 ILCS 5/1A-8 (1,2)	ET&RD
2 /15/2013		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VOSS
2 /15/2013		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VOSS
2 /15/2013		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
2 /18/2013		Last day for election authorities to submit request for extension to file computerized voter registration information for the Consolidated Primary 2013 submission. Rules and Regulations	VRS
2 /18/2013		Last day for election authority to submit computerized voter registration file for the Consolidated Primary 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
2 /19/2013		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
2 /19/2013		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for the General Consolidated. 10 ILCS 5/24B-9	VOSS
2 /19/2013		Last day for election authority to notify public of time, date, and place of public test for Consolidated Primary. 10 ILCS 5/24B-9	VOSS
2 /19/2013		(3rd Wednesday) Governor's Budget Message. [15 ILCS 20/50-5]	LEG

Start Date	End Date	Activity	Division
2 /19/2013		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VOSS
2 /20/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
2 /21/2013		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
2 /21/2013		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
2 /22/2013		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the Consolidated Election. (Rules and Regulations)	VOSS
2 /22/2013		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the Consolidated Election.	VOSS
2 /22/2013		(date subject to change) Bill Introduction Deadline. Last day to file a legislative bill with either HOUSE. (House/Senate Rule)	LEG
2 /23/2013		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. [10 ILCS 5/7-16, 16-5.01] NOTE THIS IS 3 DAYS BEFORE THE PRIMARY*	LEG
2 /25/2013		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC



<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
2 /25/2013		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the consolidated primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
2 /25/2013		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next Consolidated Primary Election. 10 ILCS 5/24B-9, 24C-9	VOSS
2 /26/2013		Notify election authorities of requirement to submit computerized voter registration information for the Consolidated General 2013 submission in a format prescribed by the SBE	VRS
2 /26/2013		CONSOLIDATED PRIMARY ELECTION	EL INFO
2 /27/2013		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VOSS
2 /27/2013		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VOSS
3 /1 /2013		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
3 /5 /2013		Notify election authorities of their need to give public test notification and re-tabulation notification for General Consolidated.	VOSS
3 /5 /2013		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG
3 /5 /2013		Begin review of the computer operator's logs for the performance of automatic tabulating equipment used in the Consolidated Primary Election. (Rules and Regulations)	VOSS
3 /8 /2013		Begin testing of the automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS

<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
3 /10/2013		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the consolidated election, must be reported within two business days following its receipt. 10 ILCS 5/9-10©	CAMP DISC
3 /10/2013		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
3 /11/2013		Complete draft of Board's field and office plan for Consolidated Election.	EL INFO
3 /12/2013		Last day for voter registration or transfer of registration prior to Consolidated Election. 10 ILCS 5/4, 5, 6	EL INFO
3 /12/2013		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight Feb 26, 2013. 10 ILCS 5/19-8&20-8	LEG
3 /13/2013		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
3 /13/2013		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
3 /18/2013		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
3 /18/2013		Last day for election authority to notify public of time, date, and place of re-tabulation for Consolidated Primary. 10 ILCS 5/24B-15	VOSS
3 /19/2013		Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
3 /19/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
3 /19/2013		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VOSS

<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
3 /19/2013		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
3 /20/2013		Send notice to election authorities who failed to submit a computerized voter registration file, for the Consolidated Primary 2013 submission. Rules and Regulations	VRS
3 /20/2013		Send to each election authority a current computerized voter registration error report, from the Consolidated Primary 2013 submission	VRS
3 /22/2013		(date subject to change) Committee deadline Final day for HOUSE committee action on HOUSE bills. (House Rule) Final day for SENATE committee action on SENATE bills. (Senate Rule)	LEG
3 /22/2013		First day for election authority to submit computerized voter registration file for the Consolidated General 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
3 /29/2013		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VOSS
3 /29/2013		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VOSS
3 /29/2013		Target date for completion of the 2011 FEDERAL AND STATE OFFICERS publication. Completion will depend on how soon required data is received from the members of the General Assembly. Project began 11/28/2012. 10 ILCS 5/1A-8 (1, 2, 11)	ET&RD
3 /29/2013		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VOSS

4. Follow up.
5. Comments from the general public.
6. Next regular Board meeting at 10:30 a.m. on Monday, March 18, 2013 in Springfield or call of the Chairman, whichever occurs first. (pg.)
7. Executive session. (pgs.)